



# STIC Search Report

## EIC 3600

STIC Database Tracking Number: 108729

TO: James Zurita  
Location: PK5-7B25  
Art Unit: 3625  
Tuesday, November 25, 2003

Case Serial Number: 09/624732

From: Elizabeth Deal *ED*  
Location: EIC 3600  
PK5-Suite 804  
Phone: 305-5783

[elizabeth.deal@uspto.gov](mailto:elizabeth.deal@uspto.gov)

### Search Notes

Dear James,

Attached are the results of the above-referenced search. If you have any questions or comments, please feel free to contact me.

Libby

09-624732

STN

(FILE 'CONFSCI, INVESTEXT' ENTERED AT 12:54:45 ON 25 NOV 2003)

DELETE HISTORY

L1 14392 S (SECURITIES OR STOCKS OR BONDS OR MUTUAL(1W) FUNDS OR SHARES O  
L2 4951406 S INDUSTRY OR SECTOR#  
L3 501569 S LEADER# OR (LEADING OR BEST OR TOP OR MAJOR OR PRINCIPAL OR D  
L4 373 S L1 AND L2 AND L3  
L5 72 S L1 AND (L2(5A)L3)  
L6 44 S L5 AND PD<19990211

EKD

11/25/2003

STN

L6 ANSWER 1 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Extended Stay America, Inc.

L6 ANSWER 2 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Japan Banks: Mitsubishi Group

L6 ANSWER 3 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Japan Banks

L6 ANSWER 4 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Maxtor

L6 ANSWER 5 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Prosper S.A.

L6 ANSWER 6 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Reliance Group

L6 ANSWER 7 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI MONEY MANAGER INTERVIEW: AMERICAN ASSET MGMT GROUP (EAJ500)

L6 ANSWER 8 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Financials Weekly/UK - Industry Report

L6 ANSWER 9 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Pirelli SpA - Company Report

L6 ANSWER 10 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Bankboston - Company Report

L6 ANSWER 11 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Ingram Micro Incorporated - Company Report

L6 ANSWER 12 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Pairgain - Company Report

L6 ANSWER 13 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Phoenix Duff & Phelps - Company Report

L6 ANSWER 14 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Technip - Company Report

L6 ANSWER 15 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

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TI Full Circle Industries PLC - Company Report  
L6 ANSWER 16 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Alco Standard - Company Report  
L6 ANSWER 17 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Mannesmann Mobilfunk - Company Report  
L6 ANSWER 18 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI General Instrument - Company Report  
L6 ANSWER 19 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Olympic Steel - Company Report  
L6 ANSWER 20 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Ericsson - Company Report  
L6 ANSWER 21 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Olympic Steel - Company Report  
L6 ANSWER 22 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Paribas Group - Company Report  
L6 ANSWER 23 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Third-World Country Funds Factbook - Industry Report  
L6 ANSWER 24 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Third-World Country Funds Factbook - Industry Report  
L6 ANSWER 25 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Closed-End Country Funds Review - Industry Report  
L6 ANSWER 26 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Third-World Country Funds Factbook - Industry Report  
L6 ANSWER 27 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Third-World Country Funds Factbook - Industry Report  
L6 ANSWER 28 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Philips-Van Heusen - Company Report  
L6 ANSWER 29 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN  
TI Autostrade - Company Report  
L6 ANSWER 30 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN



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TI Weekly Portfolio Perspective - Topical Report  
L6 ANSWER 31 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI British Airways - Company Report  
L6 ANSWER 32 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Cellular Telephone Industry, Part Two - Industry Report  
L6 ANSWER 33 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Shop Talk: Retailing Stocks - Industry Report  
L6 ANSWER 34 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Communications & Media Sector - Industry Report  
L6 ANSWER 35 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Dafsa - Company Report  
L6 ANSWER 36 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Flakt Ab - Company Report  
L6 ANSWER 37 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Rank Xerox Limited - Company Report  
L6 ANSWER 38 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Warner Communications Inc. - Company Report  
L6 ANSWER 39 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Dafsa - Company Report  
L6 ANSWER 40 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Groupe Dafsa Kompass - Company Report  
L6 ANSWER 41 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Groupe Dafsa Kompass - Company Report  
L6 ANSWER 42 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Groupe Dafsa Kompass - Company Report  
L6 ANSWER 43 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Alsthom-Atlantique - Company Report  
L6 ANSWER 44 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN  
TI Groupe Dafsa Kompass - Company Report

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L6 ANSWER 1 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 10 Nov 1998

TT Projected 1999 Net Asset Value Analysis

TEXT

Projected . . . cap rate	8.3x
ESA's Net Asset Value (305 properties)	\$1,832,1487
minus Long-Term Debt, YE 1999	(\$578,000)
plus Cash, YE 1999	\$98,388
Equity Market Value	\$1,340,762
Divided by 96.723 million shares	
12-Month Price Target, November 1999	\$13.98

SH Summary

TEXT

Summary

. . . reiterate our BUY (1)  
investment rating of ESA for aggressive growth-oriented investors  
seeking to participate in the exceptional growth dynamics of the  
extended-stay industry with the industry leader.

L6 ANSWER 2 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 29 Sep 1998

SH Mitsubishi Group Firms To Tie Up On Investment Trusts

TEXT

INDUSTRY . . . the Mitsubishi  
group, the four companies are girding for the heated competition  
expected to emerge as Japan's Big Bang deregulations lower the barriers  
dividing banking, insurance and securities brokerages.  
(9/11/98, Nikkei  
Shimbun)

Comment: Although the announcement is positive, we would expect  
the impact on the BOTM share price to be. . . to develop into comprehensive  
business cooperation in various business fields, because the Mitsubishi  
group financial institutions are financially healthy and they are all  
industry leaders. Because of this, tend to act  
independently. Moreover,  
BOTM's own strategy remains unclear regarding which areas the bank will  
focus on more. . .

L6 ANSWER 3 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 14 Sep 1998

SH Mitsubishi Group Firms To Tie Up On Investment Trusts

TEXT

INDUSTRY . . . the Mitsubishi  
group, the four companies are girding for the heated competition

EKD 11/25/2003

STN

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group financial institutions are financially healthy and they are all  
industry leaders. Because of this, tend to act  
independently. Moreover,  
BOTM's own strategy remains unclear regarding which areas the bank will  
focus on more. . .

L6 ANSWER 4 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 4 Aug 1998  
SH Financial Overview

TEXT

. . . period  
to employees of the firm. Of this amount, 220,000 shares were awarded  
to the company's senior management team and the remaining 170,000  
shares were allocated to various other officers. The 1998  
Stock Option  
Plan essentially converted options granted by HEA in 1996 from a  
variable plan with. . . the second quarter of 1998.

Key to Maxtor's continued success will be its sustained  
time-to-market advantage. The company has been the industry  
\*\*\*leader\*\*\* in  
time-to-market for the last three product introductions in the desktop  
HDD market. We believe that Maxtor currently has a two- to. . .

L6 ANSWER 5 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 25 May 1998  
SH Strategy And Investment Program

TEXT

Strategy . . . of seven companies  
in the sector with a market share of 3% or above. The company's  
strategic goal is to become a leader in the consolidation of the  
sector, achieving a 15-20% market share within the next few years.  
The  
economies of scale are obvious in this sector; consolidation is  
expected. . . current 3% to 15-20%. Prosper intends to become one of  
the few major players in the pharmaceuticals distribution market in  
Poland.

The investment program is divided into five major  
parts, outlined  
in the table below.

L6 ANSWER 6 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 19 Jun 1998

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SH Investment Highlights

TEXT

1,  
PRESS . . . strong  
underwriting expertise in several specialty insurance segments, an  
adequate statutory capitalization and reserve position, an  
above-average level of reinsurance recoverables, and an **investment**  
**allocation** to noninvestment-grade **bonds** and common stocks  
that is  
declining, but still moderately exceeds industry norms.

DCR has also reaffirmed the 'A+' (Single-A-Plus) claims paying  
ability. . . 1990s, as the company sold several  
non-core operations to focus on its property/casualty and title  
insurance businesses. Reliance also has been an **industry**  
**\*\*\*leader\*\*\*** in  
gradually shifting its property/casualty business mix from commodity  
market segments to specialized, non-traditional segments and to  
providing additional value added services to. . .

L6 ANSWER 7 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 20 Apr 1998

SH AMERICAN ASSET MGMT GROUP 1,

TEXT

AMERICAN . . . have  
designed the strategy for our more risk-averse clients to provide them a  
manner in which to shift to a more conservative **investment**  
**\*\*\*allocation\*\*\*** ,  
while maintaining an exposure to equities. The portfolios will contain  
up to 65% in REITs, with the balance in utilities, Treasuries, or. . .  
portion of our other portfolios to take advantage of the  
higher yield and steady growth prospects. Companies we look for are the  
**dominant players** in their **sector** or have an  
interesting niche.

L6 ANSWER 8 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 3 Oct 1997

TT Life Insurance Profit And Loss Account 1996-99

TEXT

1,  
Profit . . . Return on Long  
Term Business 149.0 152.0  
Balance on General Business Technical  
Account (2.5) (3.0)  
Inv Return on Shareholders' Assets Net  
of  
Transfer to General 18.0 21.0  
**Allocated Investment Return**  
Transferred from LTBT 7.0 7.0  
Other Income 11.0 12.0  
Other Expenditure (12.0) (13.0)  
Management Services Company 20.0 37.0

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1,

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Operating Profit from Continuing Operations	190.0	213.0
---	-------	-------

Exceptional. . . . . (,)

SH Property - Investment Summary

TEXT

Property

Sector Stance - Neutral

Since late September the **sector leaders** have all risen by over 6% reflecting the increased prospect of Britain's early entry into EMU and its potential impact on bond. . . .

L6 ANSWER 23 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 24 Nov 1993

TT Morgan Stanley Emerging Markets Debt Fund Valuation & Rating 1993

TEXT

(,)  
MORGAN . . . . in or organized under the laws of emerging market countries. The Fund may borrow up to 33 1/3% of total assets for investment purposes.

ASSET ALLOCATION:

% of Net Assets

NEW FUND

TOTAL RETURN PERFORMANCE:

VALUATION ANALYSIS:

Current Disc./Prem.: -2%  
52-Week Range: +8% to -7%  
Avg. Discount/Premium: +6%

(PRICED AS OF 11/05/93)

(,)  
SECTOR BREAKDOWN:

% of Net Assets

NEW FUND

TOP TEN HOLDINGS:

Company	Industry	% of Net Assets
---------	----------	-----------------

NEW FUND

(Graphical Material Omitted - Call (800) 662-7878, (212) 952-7060, Or UK (071) 815-3860 For Price And. . . .

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(,)

STN

TT Morgan Stanley Emerging Mkt Fund Val., Region Asset, Rating 1991-93

TEXT

MORGAN . . . 1.92%

Recent Dividends:

\$1.49 paid 1/15/93

\$0.02 paid 10/15/92

\$0.04 paid 01/15/92

INVESTMENT OBJECTIVE:

Long-term capital appreciation through investment primarily in emerging market equity and debt securities.

ASSET ALLOCATION: June 30, 1993:

	% of Net Assets
Common Stocks	83.3
Foreign Currency on Deposit	2.7
Sovereign Debt	2.8
U.S. Short Term Investments	13.8
Other Assets/Liabilities.	

L6 ANSWER 25 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 24 Nov 1993

TT Morgan Stanley Emer Mkts Debt Fund Fact Sht & Rating 1989-93

TEXT

MORGAN . . . in or organized under the laws of emerging market countries. The Fund may borrow up to 33 1/3% of total assets for investment purposes.

ASSET ALLOCATION:

% of Net Assets

NEW FUND

TOTAL RETURN PERFORMANCE:

VALUATION ANALYSIS:

Current Disc./Prem.: -2%

52-Week Range: +8% to -7%

Avg. Discount/Premium: +6%

(PRICED AS OF 11/05/93)

SECTOR BREAKDOWN:

% of Net Assets

NEW FUND

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TOP TEN HOLDINGS:

Company	Industry	% of Net Assets
---------	----------	-----------------

NEW FUND

(Graphical Material Omitted - Call (800) 662-7878, (212) 952-7060, Or  
UK (071) 815-3860 For Price And. . .

TT Morgan Stanley Emerging Mkts Fund Fact Sht & Rating 1991-93

TEXT

MORGAN . . . paid 1/15/93  
\$0.02 paid 10/15/92  
\$0.04 paid 01/15/92

INVESTMENT OBJECTIVE:

Long-term capital appreciation through investment primarily in  
emerging market equity and debt **securities**.

ASSET ALLOCATION: June 30, 1993:

	% of Net Assets
Common Stocks	83.3
Foreign Currency on Deposit	2.7
Sovereign Debt	2.8
dU.S. Short Term Investments	13.8
Other Assets/Liabilities. . .	

L6 ANSWER 30 OF 44 INVESTTEXT COPYRIGHT 2003 TFS on STN

PD 5 Oct 1992

SH ACG - Rating Revision & Market Price

TEXT

Upgrades

ACM . . . positions. We believe the fund  
offers a competitive yield (8.6% versus the peer group average of 8.8%)  
that is enhanced through asset **allocation** to **investment**  
grade corporate  
bonds.

SH AMPX - Company Profile & Rating

TEXT

New . . . accumulate shares  
below the \$8 level as attractive. Ampex is a leading manufacturer of  
high performance equipment for the global professional video production  
**industry** and a worldwide **leader** in the manufacture of  
magnetic  
recording media for the video, audio, and instrumentation recording  
industries. Of increasing importance, Ampex is an emerging. . .

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L6 ANSWER 32 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 27 Dec 1991

SH McCaw Cellular Summary

TEXT

Simply . . . that we've already presented in this report. First, in terms of the potential return on investment (as measured by free cash flow divided by net capital investment), Table 12 shows that McCaw beats the industry average by about 18%. Our valuation analysis, summarized on Table 10, indicates that we. . .

SH McCaw's Competitive Positioning

TEXT

McCaw's . . . at least in the early years of the decade. In addition, the company's national presence and its size make it a leader in the industry in terms of promoting industry development. Its recent announcement of a national program to link its clusters will give it a competitive. . .

L6 ANSWER 33 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 1 May 1991.

SH Investment Conclusion

TEXT

SHOP . . . earnings growth rate and fine cash-generating characteristics.

\* Figures on sales per square foot for closely followed retailers reveal some between the best industry participants and lesser competitors. As one might expect, the stocks of companies with the highest sales per square foot also seem to command. . . Macy's, a Wet Seal (junior apparel) and a Cavalli (young men's apparel), all in San Francisco.

Shop Talk is aimed at helping investment professionals make asset allocation and stock selection decisions regarding large-capitalization, growth-oriented retailers. With respect to stock purchase selections, we seek opportunities to buy high-quality situations at favorable. . .

L6 ANSWER 34 OF 44 INVESTEXT COPYRIGHT 2003 TFS on STN

PD 6 Oct 1989

SH Recommendations

TEXT

Recommendations:

. . . (\$32.25) and

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Shaw Cablesystems (\$12.50). Although somewhat more speculative, we believe above-average upside potential over the next year exists in GTC Transcontinental (\$6.25) (industry leader in the highly competitive advertising flyer market), Quebecor Inc. (A\$17.63, B\$15.38) (costly daily newspaper start-up, competition in printing and forest products exposure), Hollinger. . .

TT Company Valuation Data 1983<sup>1</sup>/90

TEXT

. . . 21.35%

Toronto Sun  
Corporation  
(2)

26.00 21.00 27.00 3.85%

Torstar  
Corp.

'B' (+) (5) 35.50 28.00 40.00 12.68%

PUBLISHING/  
PRINTING  
INDEX

1,

(+) Non-voting or subordinated-voting shares.

(1) Current price divided by forecasted 1988 EPS, 1989 EPS and 1990 EPS

respectively.

(2) Estimated EPS stated on basis of continuing operations.

(3) Convertible debentures. . .

1,

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File 475:Wall Street Journal Abs 1973-2003/Nov 24  
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(c) 2003 The HW Wilson Co.  
File 95:TEME-Technology & Management 1989-2003/Nov W2  
(c) 2003 FIZ TECHNIK  
File 139:EconLit 1969-2003/Nov  
(c)'2003 American Economic Association

Set	Items	Description
S1	1171121	SECURITIES OR STOCKS OR BONDS OR MUTUAL()FUNDS OR SHARES OR INVESTMENT? OR FINANCIAL()INSTRUMENT? OR EQUITIES OR FOREIGN-()EXCHANGE OR FUTURES OR OPTIONS OR COMMERCIAL()PAPER OR HOLDINGS OR PORTFOLIO?
S2	2739075	ALLOCAT? OR DIVIDED OR DIVIDING OR APPORTION? OR DISTRIBUT? OR CONFER??? OR SPLIT
S3	1603195	INDUSTRY OR SECTOR? ? OR STRATUM?
S4	2026282	LEADER OR LEADING OR BEST OR TOP OR SUPERIOR?
S5	5716082	PERCENTAG? OR PIECE? ? OR PART OR PORTION? ? OR ALLOTMENT? OR RATION? ?
S6	124	S1 AND S2 AND (S3(5N)S4)
S7	0	S6 FROM 347,350
S8	88	S6 AND PY<2000
S9	84	S8 NOT PD>19990211
S10	81	RD (unique items)
S11	0	S1 AND (S2(5N)S5) AND (S3(5N)S4)
S12	24	(S1(10N)(S3(5N)S4)) AND S2
S13	13	S12 NOT PD>19990211
S14	13	RD (unique items)
S15	17	(S1(5N)S2) AND (S3(5N)S4)
S16	15	S15 NOT S13
S17	11	S16 NOT PY>1999
S18	11	S17 NOT PD>19990211
S19	11	RD (unique items)
S20	95	S1 AND (S2(S)(S3(5N)S4))
S21	72	S20 NOT (S12 OR S14)
S22	54	S21 NOT PY>1999
S23	52	S22 NOT PD>19990211
S24	49	RD (unique items)
S25	0	S24 FROM 347,350
S26	248	(S1(5N)S2) AND (IC=G06F-017/60 OR MC= (T01-N01A2 OR T01-N0-1A OR T01-J05A2B OR T01-J05A2A OR T01-J05A2 OR T01-J05A))
S27	5	(S1(5N)S2) AND (S4(5N)S5)
S28	12	(S1(5N)S2) AND (S3(5N)S5)
S29	0	S28 FROM 347,350
S30	9	S28 NOT PY>1999

14/3,K/1 (Item 1 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
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1075927 ORDER NO: AAD89-17973

**THE ADOPTION OF INNOVATIVE WOOD PROCESSING TECHNOLOGIES IN THE BUILDING PRODUCTS INDUSTRY**

Author: COHEN, DAVID HENRY

Degree: PH.D.

Year: 1989

Corporate Source/Institution: VIRGINIA POLYTECHNIC INSTITUTE AND STATE UNIVERSITY (0247)

Source: VOLUME 50/06-B OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 2227. 172 PAGES

...direct measures of the proportion of 1987 production produced by respondent firms that used controlled **distribution** channels and each of twenty-three processes indicative of innovative technologies in the manufacture of...

...indicate that the adoption of innovative processing technologies has a positive impact on firm profitability. **Investment** intensity and grade **sector** focus also contributed to **superior** profitability. Forward vertical integration, and relative market share had no impact in difference between performance...

14/3,K/2 (Item 1 from file: 583)  
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06608661

Energy sector to face regulatory reforms

THAILAND: REFORMS TO RESTRUCTURE ENERGY SECTOR

The Nation (XBO) 30 Mar 1998 P.B1

Language: ENGLISH

... the actual licensing process of the sector. Three licence for gas (on shore supply, onshore **distribution** and offshore gas transmission) and four for electricity ( **distribution** , transmission, generation, supply) will be needed to encourage competition in the **sector** . The **best options** for restructuring the power generating business of the Electricity Generating Authority of Thailand (Egat) was...

14/3,K/3 (Item 2 from file: 583)  
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06530955

UK Financial services firms turn to independent financial advisers

UK: IFA'S PLAYING VITAL ROLE IN FINANCIAL ADVISE

Wall Street Journal Europe (WSJ) 10/11 Oct 1997 p.14

Language: ENGLISH

... created by the Financial Services Act 1986, and rules were established regarding the sale of **investment** products. The financial service **sector** has decided that the **best** way to make good use of the IFA's is to buy mutual insurers that...

... had a good relationship with the IFA's. The IFA channel is the fastest growing **distribution** channel at the moment of the three created by the act in 1986 (the others...

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DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06143948

Koreans coming to SL in a big way  
SRI LANKA: KOREAN INVESTMENTS IN SRI LANKA  
Daily News (XBI) 12 April 1995 P.1  
Language: ENGLISH

The Vice Minister for Trade, **Industry** and Energy will be **leading** a group of delegation to Sri Lanka to study the **investment** possibilities in the country from 18-23 April 1995. The discussion will be focused on...

... in the reconstruction of eastern province especially in the area of infrastructure like roads, power **distribution** and ports. The Korean Investment Bank has also applied to the Central Bank of Sri...

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05278675

Liscia o gassata? A ciascuno la sua acqua  
ITALY - STRONG GROWTH FOR MINERAL WATER MARKET  
Largo Consumo (LC) 0 May 1992 p230-247  
ISSN: 0392-131X  
Language: ITALIAN

... 70% of the advertising spending. Source includes data on the mineral water sector's geographical **distribution** of sources; market concentration by value and volume; packaging; 1988-91 advertising **investment** by media and by **leading** sector companies; consumer perception of still and fizzy mineral waters and tap water; and life cycle...

14/3,K/6 (Item 5 from file: 583)  
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05100201

Japan's life insurers resent role as bad guys  
JAPAN - LIFE INSURERS CAUTIOUS ABOUT INVESTMENT  
Financial Times (C) 1992 (FT) 29 May 1992 p27

...Y140,000bn (Dollars 1,082bn) at the start of the year, are more cautious about **investment**. Nippon Life, the **industry** leader, claims that it will only **allocate** up to 5 per cent of new money to the stock market, and will try...

14/3,K/7 (Item 6 from file: 583)  
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05052703

Special: Chemikalienhandel und -transport: Spannen besser, aber/  
GERMANY - CHEMICAL TRADE TURNOVER REMAINS STABLE  
Chemische Industrie (CIE) 0 April 1992 p17-18  
ISSN: 0009-2959  
Language: German

... unchanged from 1990's DM4.9 bil, according to Guenter Spaeth, chairman of Verband Chemiehandel, **industry** association. Chemical trading has been **best** in recent years in the north and west of Germany. **Investment** by chemical traders should be higher in 1991 than in 1990 when it totalled

DM200...

... protection will make up the lion's share. Additional costs are arising from packaging and distribution .\*\*

14/3,K/8 (Item 7 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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04300317  
AIRLINES DIVIDED OVER HOUSE COMPETITION BILLS  
US - AIRLINES DIVIDED OVER HOUSE COMPETITION BILLS  
Aviation Week & Space Technology (AVW) 27 May 1991 p32  
ISSN: 0005-2175

AIRLINES DIVIDED OVER HOUSE COMPETITION BILLS  
US - AIRLINES DIVIDED OVER HOUSE COMPETITION BILLS

US airlines are divided regarding House competition bills and this is impacting opposition to plans which opponents believe will re-regulate the airline industry . Leading US airlines with profitable CRS systems or dominant market shares are in the main opposed the bills while smaller airlines are supporting aspects of the...

14/3,K/9 (Item 8 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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01454039  
MAJOR TO ENTER SECOND MARKET  
\FRANCE - MAJOR TO ENTER SECOND MARKET  
LSA (LSA) 6 November 1987 p21  
ISSN: 0024-2632  
Language: French

... second market on the Paris stock exchange, making public 10% of its capital, representing 250k shares . Major is a regional leader in the food distribution sector , and the Major 87 group covers 64 supermarkets.\*

14/3,K/10 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2003 Institution of Electrical Engineers. All rts. reserv.

6267937 INSPEC Abstract Number: C1999-07-7100-051  
Title: A neural network-based approach for strategic planning  
Author(s): Chien, T.W.; Chinho Lin; Tan, B.; Wen Chuan Lee  
Author Affiliation: Dept. of Manage., City Univ. of New York, NY, USA  
Journal: Information and Management vol.35, no.6 p.357-64  
Publisher: Elsevier,  
Publication Date: June 1999 Country of Publication: Netherlands  
CODEN: IMANDC ISSN: 0378-7206  
SICI: 0378-7206(199906)35:6L:357:NNBA;1-I  
Material Identity Number: I320-1999-006  
U.S. Copyright Clearance Center Code: 0378-7206/99/\$20.00  
Language: English  
Subfile: C  
Copyright 1999, IEE

...Abstract: principle of dispersing risks, we also provide a linear integer programming model, which helps in allocating the annual budget optimally among proposed strategies. The approach has been successfully implemented for a major food industry leader in Taiwan for its annual

strategic planning. Although a particular **portfolio** matrix model was adopted in our approach, the framework proposed here can be modified to...

...Identifiers: optimal annual budget allocation ;

14/3,K/11 (Item 2 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2003 Institution of Electrical Engineers. All rts. reserv.

04008640 INSPEC Abstract Number: D91003145

Title: **Developments in communications technologies and services. I**

Author(s): Proctor, R.

Journal: Financial Technology Insight p.10-14

Publication Date: Sept. 1991 Country of Publication: UK

CODEN: FTINEZ ISSN: 0961-5342

U.S. Copyright Clearance Center Code: 0961-5342/91/\$0.00+2.20

Language: English

Subfile: D

...Abstract: of the new IT architectures, ranging from the relatively simple client-server approach, to full **distributed** systems. Adding data processing capability to networks provides the foundation for more efficient communication services...

... cumulative impact of advances in communications technologies have put networking, and systems integration, at the **top** of the financial sector 's IT investment agenda.

14/3,K/12 (Item 1 from file: 474)

DIALOG(R)File 474:New York Times Abs

(c) 2003 The New York Times. All rts. reserv.

04314115 NYT Sequence Number: 000000840818

Split within securities industry , with Paine Webber Inc reportedly leading resistance, delays settlement of class action suits against nation's largest brokerages by holders of Baldwin United annuities; settlement involves contributing money to make limited refunds to annuity holders; Shearson Lehman/American Express is also reportedly balking at plan; if two firms do not agree, some Baldwin annuity holders may get smaller payments than others, depending on where they bought policies (M)

BLUMSTEIN, MICHAEL

New York Times, Col. 1, Pg. 1, Sec. 4

Wednesday September 12 1984

Split within securities industry , with Paine Webber Inc reportedly leading resistance, delays settlement of class action suits against nation's largest brokerages by holders of...

14/3,K/13 (Item 1 from file: 139)

DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

658958

TITLE: **EMU and Portfolio Diversification Opportunities**

AUTHOR(S): Adjaoute, Kpate; Danthine, Jean-Pierre

AUTHOR(S) AFFILIATION: Morgan Stanley; U de Lausanne

PUBLICATION INFORMATION: CEPR Discussion Paper: 2962 PAGES: not available

PUBLICATION DATE: 2001

AVAILABILITY: Copies available from: Centre for Economic Policy Research, Discussion Paper Orders, 90-98 Goswell Road, London EC1V 7RR, United Kingdom. Website: [www.cepr.org](http://www.cepr.org)

PRICE: 5 pounds, \$8 or 8 euros

DOCUMENT TYPE: Working Paper

ABSTRACT INDICATOR: Abstract

...ABSTRACT: across the Euro-15 member states). This evolution should mark the end of pure country **allocation** strategies within Europe. If these are the alternatives, the increased conformity of stock returns implies ...

... within Euroland has been lowered (in some cases to zero). Diversification across both countries and **sectors**, however, remains the much **superior investment** strategy, and, in light of this option, the cost of the home bias continues to...

19/3,K/1 (Item 1 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01155412 ORDER NO: AAD91-13076  
**PERFORMANCE AND CONTROL OF PUBLIC ENTERPRISE IN EGYPT**  
Author: GABALLA, MAHMOUD A.  
Degree: PH.D.  
Year: 1990  
Corporate Source/Institution: NEW YORK UNIVERSITY (0146)  
Source: VOLUME 51/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 4272. 489 PAGES

...management has no control.

Evidence indicates that Egyptian public industries have failed to become a **leading sector**, in the sense of acting as a dynamic agent for the self-reliance development process...

...recommends a fundamental change in current state policies and controls related to the organizational structure, **investment** planning, **distribution** of surplus, pricing, employment and financial management of public enterprise. Equally important, a change is...

19/3,K/2 (Item 1 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06638363  
Im Kinderzimmer fing alles an  
GERMANY: INFOMATEC TO GO PUBLIC  
Augsburger Allgemeine (XGC) 29 May 1998 p.9  
Language: GERMAN

... public in July 1998 in order to raise capital for a strong expansion. 25% of **shares** are to be **distributed**. In 1997, the company's total operating performance improved from DM 4.9mn to DM...

...7mn and operating profits from DM 0.6mn to DM 1.6mn. Infomatec claims a **leading** position in the **sector** of standard software for electronic commerce on the internet and is cooperating closely with SAP...

19/3,K/3 (Item 2 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06089005  
FINLAND: STATE INVESTMENT FIRM TO BE SET UP  
FINLAND: STATE INVESTMENT FIRM TO BE SET UP  
Talouselama 9 Dec 1994 p. 56-57  
Language: FINNISH

The Finnish state will establish an **investment** firm to **allocate** the funds to be raised by privatizing state-owned companies through venture-capital and capital...

... on the stock exchange. Risto Paaermaa, deputy department manager at the Ministry of Trade and **Industry** and **leader** of the financial committee, says the focus will be on technology companies that are starting...

19/3,K/4 (Item 3 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
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06029874

Boom i salget af entreprenormaskiner

DENMARK: SALES OF CONSTRUCTION MACHINES UP

Borsen (BSN) 03 Aug. 1994 p. 4

Language: DANISH

... this year. If the trend continues during the rest of 1994, it will become the **industry** 's **best** year since 1986. Sales are so brisk that companies have been obliged to extend delivery terms due to **distributors** ' empty **stocks** .

19/3,K/5 (Item 4 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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05978884

Amid the grocery war, John David bares all

AUSTRALIA: DAVIDS HOLDINGS SEEKS AUD 270 MN

Foodweek & Liquor Week (XBS) 29 Mar 1994 P.3

Language: ENGLISH

... 270 mn through a float. The float reveals the details of one of Australia's **leading** grocery **industry** groups. The group operates as Davids Distribution and Campbells Cash & Carry. For the last four...

COMPANY: CAMPBELLS CASH & CARRY; DAVIDS **DISTRIBUTION** ; DAVID **HOLDINGS**

19/3,K/6 (Item 5 from file: 583)

DIALOG(R)File 583:Gale Group Globalbase(TM)

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04256973

IBJ set to open account in universal bank league

JAPAN - IBJ SET TO BECOME LEADING BANK WORLDWIDE

Times (TS) 8 May 1991 p23

... of the 1990s. Its USD1r66 bil market capitalisation is about equal to that of the **leading** private **sector** banks in Europe, which include Deutsche Bank and Union Bank of Switzerland. IBJ president Yoh...

... its 80% share of the domestic underwriting market of 1948, when Article 65, which has **divided** the Japanese banking and **securities** markets, is due to be revised in the next two years. Kurosawa is either working...

19/3,K/7 (Item 1 from file: 2)

DIALOG(R)File 2:INSPEC

(c) 2003 Institution of Electrical Engineers. All rts. reserv.

5365642 INSPEC Abstract Number: C9610-7100-036

**Title: Trends in IT. II. Management information systems based on data warehouses**

Author(s): Noordam, P.; Van der Vlist, A.

Journal: Informatie vol.38, spec. issue. p.25-7

Publisher: Kluwer Bedrijfswetenschappen,

Publication Date: June 1996 Country of Publication: Netherlands

CODEN: INFTCR ISSN: 0019-9907

SICI: 0019-9907(199606)38L:25:TMIS;1-A

Material Identity Number: I229-96002

Language: Dutch

Subfile: C

Copyright 1996, IEE

...Abstract: information systems within the Netherlands, the areas of

application, reasons for use, results anticipated, and **investment distribution** and returns. Results showed that data warehouse/MIS, is becoming increasingly accepted, with banks and financial institutions **leading** the way, followed by **industry** and government. Increased quality is a major reason for implementation, also efficiency, flexibility and competitiveness...

19/3,K/8 (Item 1 from file: 233)  
DIALOG(R)File 233:Internet & Personal Comp. Abs.  
(c) 2003, EBSCO Pub. All rts. reserv.

00471395 97LA09-203  
**IBM reinventing itself with Java -- A whole new Big Blue**  
Raynovich, R Scott  
LAN Times , September 15, 1997 , v14 n19 p1, 28, 2 Page(s)  
ISSN: 1040-5917  
Company Name: IBM Corp.

... of Java in nearly all of its business units to loosen Microsoft's domination of **distributed** computing and to preserve the **investments** of its customers in legacy infrastructure by linking mainframe applications to the Web. Explains that...

... and faster application deployment. Adds that IBM has retooled Taligent into a Java software and **industry leader** and now is developing full-fledged Java-based applications that allow Web browsers to connect...

19/3,K/9 (Item 1 from file: 95)  
DIALOG(R)File 95:TEME-Technology & Management  
(c) 2003 FIZ TECHNIK. All rts. reserv.

01127092 I97084549258  
**TMN 2000: evolving TMN as global telecommunications prepares for the next millennium**  
(TMN-2000 (Telecommunications Management Network) als globale Telekommunikationstechnik des naechsten Jahrtausends)  
Willetts, KJ; Adams, EK  
IEICE Transactions on Communications, vE80-B, n6, pp796-804, 1997  
Document type: journal article Language: English  
Record type: Abstract  
ISSN: 0916-8516

ABSTRACT:  
...for a standard way of managing within companies and across company boundaries. TMN is the **best** model the **industry** has for achieving standardisation. But the TMN model, in order to really meet the needs...  
DESCRIPTORS: COMMUNICATION SERVICES; DATA NETWORK ADMINISTRATION; TELECOMMUNICATION; IMPLEMENTATION; **DISTRIBUTED** COMPUTING; OBJECT ORIENTED PROGRAMMING; INDUSTRIES; **INVESTMENT** ; STANDARDISATION; MODEL STUDY; CUSTOMER SERVICE; COST REDUCTION; TELECOMMUNICATION TECHNIQUE; OPEN SYSTEMS INTERCONNECTION; TELECOMMUNICATION COMPUTING; COMMUNICATION...

19/3,K/10 (Item 1 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

359617  
**TITLE: Exvessel Price Determination in the Alaska King Crab Fishery: A Formula Price Contract under Uncertainty?**  
AUTHOR(S): Matulich, Scott C.; Mittelhammer, Ron C.; Greenberg, Joshua A.  
AUTHOR(S) AFFILIATION: WA State U; WA State U; U AK  
JOURNAL NAME: Journal of Environmental Economics and Management,  
JOURNAL VOLUME & ISSUE: 28 3,

PAGES: 374-87  
PUBLICATION DATE: May 1995  
ISSN: 0095-0696  
DOCUMENT TYPE: Journal Article  
ABSTRACT INDICATOR: Abstract

...ABSTRACT: price formation occurs in the context of a competitive harvesting sector and an oligopsonistic processing sector. However, industry conduct leading to a determination of exvessel price can be notably at odds with this inference. This...

... sectors appear to behave in a manner consistent with joint expected profit maximization, with profit shares apportioned via the implicit formula price contract. This price formation process simplifies empirical analysis of the...

19/3,K/11 (Item 2 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

266066

TITLE: International Competitiveness and Policy in Dynamic Industries  
AUTHOR(S): Milberg, William S.; Gray, H. Peter  
AUTHOR(S) AFFILIATION: New School for Social Research; Rensselaer Polytechnic Institute  
JOURNAL NAME: Banca Nazionale del Lavoro Quarterly Review,  
JOURNAL VOLUME & ISSUE: 0 180,  
PAGES: 59-80  
PUBLICATION DATE: 1992  
ISSN: 0005-4607  
DOCUMENT TYPE: Journal Article  
ABSTRACT INDICATOR: Abstract

...ABSTRACT: the context of oligopoly industries characterized by rapid innovation in product, process and marketing and distribution ("dynamic industries"). "Mandated Investment Expenditures," defined as the expenditures required if follower firms in such dynamic industries are to maintain market share, are set by leader firms in the industry. The policy conclusions are altered by the presence of multinational enterprises. The model provides an...

24/TI,AA,AN/1 (Item 1 from file: 35)  
DIALOG(R)File 35:(c) 2003 ProQuest Info&Learning. All rts. reserv.

01677221  
STRUCTURE, PRODUCTIVITY GROWTH AND SPILLOVER EFFECTS: AN EMPIRICAL  
INVESTIGATION OF INDONESIAN MANUFACTURING

24/TI,AA,AN/2 (Item 2 from file: 35)  
DIALOG(R)File 35:(c) 2003 ProQuest Info&Learning. All rts. reserv.

01149922  
THE LEADING SECTOR APPROACH TO ECONOMIC DEVELOPMENT: THE CASE OF ALGERIA,  
1967-1985

24/TI,AA,AN/3 (Item 3 from file: 35)  
DIALOG(R)File 35:(c) 2003 ProQuest Info&Learning. All rts. reserv.

01145582  
THE INFLUENCE OF BUYER SWITCHING COSTS ON SECOND-SOURCING THEORETICAL  
ANALYSIS AND EXPERIMENTAL EVIDENCE

24/TI,AA,AN/4 (Item 1 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06653498  
4e gamme : SolZco cultivate son leadership  
SOLECO'S GOALS

24/TI,AA,AN/5 (Item 2 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06644074  
Ambitious Fastrack to get Nuneaton hub  
UK: FASTRACK TO INVEST IN NEW CENTRE

24/TI,AA,AN/6 (Item 3 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06638363  
Im Kinderzimmer fing alles an  
GERMANY: INFOMATEC TO GO PUBLIC

24/TI,AA,AN/7 (Item 4 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06521605  
Revamp of Rexam lifts its shares  
EU: UPS PLANS RAIL FREIGHT BUSINESS

24/TI,AA,AN/8 (Item 5 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06468685  
New Daewoo firm aims to dominate local market  
MALAYSIA: DESS TARGETING TO HEAD MARKET

24/TI,AA,AN/9 (Item 6 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06441996  
Serial Systems gets nod for Sesdaq listing  
SINGAPORE: SES OKAYS SERIAL SYSTEMS' LISTING

24/TI,AA,AN/10 (Item 7 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06349791  
Wyko stepping up a gear with t15.2m acquisitions  
UK: WYKO ACQUIRES BERL GROUP AND LEWIS ELECTRIC

24/TI,AA,AN/11 (Item 8 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06313291  
Pepsi China - from cooperative to equity ventures  
CHINA: PEPSI BOOSTS MARKET PENETRATION

24/TI,AA,AN/12 (Item 9 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06134600  
Lever Brothers tailoring Pond's for Thai women  
THAILAND: LEVER BROS EYES GREATER MARKET SHARE

24/TI,AA,AN/13 (Item 10 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06132477  
Inchcape shares tumble on fears over prospects  
UK: PROFIT TROUBLES HIT INCHCAPE

24/TI,AA,AN/14 (Item 11 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06094576  
AXES DE COMMUNICATION/BIEN VIVRE ET FORCE ECONOMIQUE, LES GRANDS  
FRANCE: REVIEW OF THE FOOD INDUSTRIES

24/TI,AA,AN/15 (Item 12 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06090530  
Chocolate Products wants to maintain the lead  
MALAYSIA: CPM LEADING IN CHOCOLATE MARKET

24/TI,AA,AN/16 (Item 13 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06089005  
FINLAND: STATE INVESTMENT FIRM TO BE SET UP  
FINLAND: STATE INVESTMENT FIRM TO BE SET UP

24/TI,AA,AN/17 (Item 14 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06064001

Azulev abrira 20 filiales comerciales en el extranjero  
SPAIN: AZULEV BEGINS OVERSEAS EXPANSION

24/TI,AA,AN/18 (Item 15 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

06029874  
Boom i salget af entreprenormaskiner  
DENMARK: SALES OF CONSTRUCTION MACHINES UP

24/TI,AA,AN/19 (Item 16 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05984204  
Dynamiser le secteur pharmaceutique  
EUROPE: REPORT ON THE PHARMACEUTICAL INDUSTRY

24/TI,AA,AN/20 (Item 17 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05978884  
Amid the grocery war, John David bares all  
AUSTRALIA: DAVIDS HOLDINGS SEEKS AUD 270 MN

24/TI,AA,AN/21 (Item 18 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05720235  
Rising above the economic barometer  
FRANCE - CORRUGATED BOARD MARKET STILL STRONG

24/TI,AA,AN/22 (Item 19 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05138033  
Allo sportello dilaga la Vita  
ITALY - LIFE INSURANCE PREMIUM INCOME RISES

24/TI,AA,AN/23 (Item 20 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05120803  
Una grande alleanza Ina-Banca di Roma  
ITALY - BANCA DI ROMA AND INA AGREE COOPERATION

24/TI,AA,AN/24 (Item 21 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05098165  
World Stock Markets: Drinks combines see long term growth  
WORLD - DRINKS GROUPS AIM FOR LONG TERM GROWTH

24/TI,AA,AN/25 (Item 22 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

05040324  
BHP Steel wants all New Zealand Steel  
NEW ZEALAND - NEW ZEALAND STEEL MAY BE FULLY-ACQUIRED BY BHP

24/TI,AA,AN/26 (Item 23 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04856382  
Battuta d'arresto per i cosmetici Crescono solo i grandi/  
ITALY - COSMETICS SECTOR SEES 1991 DOWNTURN

24/TI,AA,AN/27 (Item 24 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04688221  
On the offensive  
UK - LIFE FIRMS MUST MARKET PRODUCTS MORE REALISTICALLY

24/TI,AA,AN/28 (Item 25 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04622306  
Specialistes contre generalistes  
FRANCE - FROZEN FOOD FIRMS SEE INCREASE IN SALES IN 1990

24/TI,AA,AN/29 (Item 26 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04566505  
Navidul quiere el primer puesto en el sector carnico  
SPAIN - NAVIDUL DIVERSIFIES TO LEAD MEAT SECTOR

24/TI,AA,AN/30 (Item 27 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04394500  
Catalana Occidente, deseo de independencia  
SPAIN - CATALANA OCCIDENTE PROFILED

24/TI,AA,AN/31 (Item 28 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04256973  
IBJ set to open account in universal bank league  
JAPAN - IBJ SET TO BECOME LEADING BANK WORLDWIDE

24/TI,AA,AN/32 (Item 29 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

04116165  
PENTA FILM THREATENS INDIES  
ITALY - PENTA FILM THREATENS INDIES

24/TI,AA,AN/33 (Item 30 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

03834437  
RACAL ELECTRONICS TO SPLIT UNITS  
UK - RACAL ELECTRONICS TO SPLIT UNITS

24/TI,AA,AN/34 (Item 31 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

02170387  
ANALYSIS OF ADHESIVES MARKET  
FRANCE - ANALYSIS OF ADHESIVES MARKET

24/TI,AA,AN/35 (Item 32 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

01738005  
PRESTWICH HOLDINGS TO SELL BUSH RADIO  
UK - PRESTWICH HOLDINGS TO SELL BUSH RADIO

24/TI,AA,AN/36 (Item 33 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

01062167  
OVERVIEW OF PHARMACEUTICAL INDUSTRY  
CANADA - OVERVIEW OF PHARMACEUTICAL INDUSTRY

24/TI,AA,AN/37 (Item 34 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

00466221  
REVIEW OF PRINTING INDUSTRY  
W GERMANY - REVIEW OF PRINTING INDUSTRY

24/TI,AA,AN/38 (Item 35 from file: 583)  
DIALOG(R)File 583:(c) 2002 The Gale Group. All rts. reserv.

00410250  
GOVT TO ANNOUNCE SELL-OFF OF UNIPART  
UK - GOVT TO ANNOUNCE SELL-OFF OF UNIPART

24/TI,AA,AN/39 (Item 1 from file: 2)  
DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts.  
reserv.

Title: The EIU on the Internet

24/TI,AA,AN/40 (Item 2 from file: 2)  
DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts.  
reserv.

Title: TMN 2000: evolving TMN as global telecommunications prepares for  
the next millennium

24/TI,AA,AN/41 (Item 3 from file: 2)  
DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts.  
reserv.

Title: Trends in IT. II. Management information systems based on data  
warehouses

24/TI,AA,AN/42 (Item 4 from file: 2)  
DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts.  
reserv.



**Title: A multiobjective approach to evaluating and planning the allocation of inspection resources**

24/TI,AA,AN/43 (Item 5 from file: 2)  
DIALOG(R)File 2:(c) 2003 Institution of Electrical Engineers. All rts. reserv.

**Title: A portfolio approach to capital budgeting: an application to the expansion to additional product lines**

24/TI,AA,AN/44 (Item 1 from file: 233)  
DIALOG(R)File 233:(c) 2003, EBSCO Pub. All rts. reserv.

00471395 97LA09-203  
**IBM reinventing itself with Java -- A whole new Big Blue**

24/TI,AA,AN/45 (Item 2 from file: 233)  
DIALOG(R)File 233:(c) 2003, EBSCO Pub. All rts. reserv.

00260119 90CR05-123  
**Peripherals sector posts biggest gain, up 14.48% Wall Street wrap-up**

24/TI,AA,AN/46 (Item 3 from file: 233)  
DIALOG(R)File 233:(c) 2003, EBSCO Pub. All rts. reserv.

00241354 91CR05-307  
**The numbers sheet**

24/TI,AA,AN/47 (Item 1 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

359617  
**TITLE: Exvessel Price Determination in the Alaska King Crab Fishery: A Formula Price Contract under Uncertainty?**  
**AUTHOR(S) AFFILIATION: WA State U; WA State U; U AK**

24/TI,AA,AN/48 (Item 2 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

266066  
**TITLE: International Competitiveness and Policy in Dynamic Industries**  
**AUTHOR(S) AFFILIATION: New School for Social Research; Rensselaer Polytechnic Institute**

24/TI,AA,AN/49 (Item 3 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

247478  
**TITLE: Europe's life buoy is its less developed regions**

27/TI,PY,AZ,AA,AN/1 (Item 1 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

05338471  
DOCUMENT PHOTOGRAPHING METHOD

PUBLISHED: November 05, 1996 (19961105)  
APPL. NO.: 07-119140 [JP 95119140]

27/TI,PY,AZ,AA,AN/2 (Item 2 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

04687422  
DIVIDING MACHINE

PUBLISHED: January 13, 1995 (19950113)  
APPL. NO.: 05-158394 [JP 93158394]

27/TI,PY,AZ,AA,AN/3 (Item 3 from file: 347)  
DIALOG(R)File 347:(c) 2003 JPO & JAPIO. All rts. reserv.

04497719  
STOCK-DIVIDING MACHINE OF CROP SEEDLING

PUBLISHED: May 24, 1994 (19940524)  
APPL. NO.: 04-297518 [JP 92297518]

27/TI,PY,AZ,AA,AN/4 (Item 1 from file: 99)  
DIALOG(R)File 99:(c) 2003 The HW Wilson Co. All rts. reserv.

1749998 H.W. WILSON RECORD NUMBER: BAST98052939  
Integrated tools enable up-front analysis  
1998

27/TI,PY,AZ,AA,AN/5 (Item 1 from file: 139)  
DIALOG(R)File 139:(c) 2003 American Economic Association. All rts. reserv.

041956  
TITLE: IRM directory of statistics of international investment and  
production  
PUBLICATION DATE: 1987

27/3,K/2 (Item 2 from file: 347)  
DIALOG(R)File 347:JAPIO  
(c) 2003 JPO & JAPIO. All rts. reserv.

04687422 \*\*Image available\*\*  
DIVIDING MACHINE

PUB. NO.: 07-008022 [JP 7008022 A]  
PUBLISHED: January 13, 1995 (19950113)  
INVENTOR(s): SAITO SHIGENORI  
MORIYA TOSHIMASA  
ORIMOTO MASAKI  
TERAMOTO NAGAHARU  
NAKAGAWA YOSHIKIYO  
APPLICANT(s): KUBOTA CORP [000105] (A Japanese Company or Corporation), JP  
(Japan)  
APPL. NO.: 05-158394 [JP 93158394]  
FILED: June 29, 1993 (19930629)

ABSTRACT

... standing posture suitable for box packing without fail even when the discharge speed of small stocks from the dividing unit is changed and improved in the accuracy of operation...

...CONSTITUTION: A resisting mechanism (A) for acting on the stem top part of small stocks (m) discharged through a discharge part 7 of a dividing unit (B...

27/3,K/4 (Item 1 from file: 99)  
DIALOG(R)File 99:Wilson Appl. Sci & Tech Abs  
(c) 2003 The HW Wilson Co. All rts. reserv.

1749998 H.W. WILSON RECORD NUMBER: BAST98052939  
Integrated tools enable up-front analysis  
Barcelos, Celso; DeMarco, Olimpio  
Design News v. 53 [i.e. 54] no12 (June 22 '98) p. 113-14  
DOCUMENT TYPE: Feature Article ISSN: 0011-9407

ABSTRACT: Up-front analysis allows design engineers to consider all options before allocating large amounts of time and money to the selected approach, thereby enhancing product performance and...

...guide the engineer through the process and optimization tools that automatically zero in on the best possible part geometry.

27/3,K/5 (Item 1 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

041956  
TITLE: IRM directory of statistics of international investment and production  
AUTHOR(S): Dunning, John; Cantwell, John.  
PUBLICATION INFORMATION: With the assistance of Paz E. Tolentino and Faith Province New York: New York University Press; distributed by Columbia University Press, PAGES: xix, 820  
PUBLICATION DATE: 1987  
ISBN: 0-8147-1783-7  
DOCUMENT TYPE: Book  
ABSTRACT INDICATOR: Abstract

...ABSTRACT: outward direct capital stake as well as investment flow since 1970, the geographical and industrial distribution of direct investment by and in the country, indices of the significance to the

country of direct investment...

...on the structure of ownership of such investment, information on royalty and other receipts, and leading foreign and domestic multinational companies. Part 2 consists of eighteen tables containing cross-country comparisons of the data presented in part...

30/3,K/1 (Item 1 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01722276 ORDER NO: AADAA-I9952087  
**Square pegs and round holes: Testing the alignment of firm strategy and top management teams in young, high-potential firms**  
Author: Florance, Sam Vincent, Jr.  
Degree: Ph.D.  
Year: 1999  
Corporate Source/Institution: Purdue University (0183)  
Source: VOLUME 60/11-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 4085. 261 PAGES

...the two. Firm performance was measured as the average annual market value of the outstanding **shares**, divided by the firm's total book value. Characteristics of the TMT viewed as impacting TMT...

...1)&nbsp;functional heterogeneity; (2)&nbsp;power distribution;  
(3)&nbsp;joint experience; (4)&nbsp;prior **industry** experience; and  
(5)&nbsp;**percentage** of inside directors.

Ten hypotheses were developed to test the association between:  
(1)&nbsp;strategic...

30/3,K/2 (Item 2 from file: 35)  
DIALOG(R)File 35:Dissertation Abs Online  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

01407415 ORDER NO: AADAA-I9512305  
**INDUSTRIAL CULTURE AND THE CHALLENGE OF ENGLISH CAPITALISM: PERCEPTIONS OF ECONOMIC CHANGE IN THE BRITISH ENGINEERING PRESS, 1885-1925**  
Author: BOTTICELLI, PETER KEVIN  
Degree: PH.D.  
Year: 1994  
Corporate Source/Institution: UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN (0090)  
Source: VOLUME 55/12-A OF DISSERTATION ABSTRACTS INTERNATIONAL.  
PAGE 3957. 246 PAGES

...bias prevented British companies from making, in Alfred Chandler's words, the necessary "three pronged **investment** in production, **distribution**, and management," which led to a gradual erosion of Britain's ability to compete.

In...

...capitalism. The sources make it clear that by the late Victorian years at least, British **industry** had become **part** of a highly cosmopolitan business culture which vigorously defended itself against anyone who questioned the...

30/3,K/3 (Item 1 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
(c) 2002 The Gale Group. All rts. reserv.

09204421  
Tunisia - EIB to lend EUR 83 million  
TUNISIA: EIB LOANS FOR PRIVATE SECTOR  
Middle East Economic Digest (MEED) 25 Nov 1999 p.25  
Language: ENGLISH

Tunisia is to benefit from a EUR 83.1mn loan package from the European **Investment** Bank (EIB), to be **allocated** to eight road improvement schemes in Greater Tunis and in the cities of Beja, Foujana...

...Gammarth. Another EUR 30mn will be lent to build up the capital strength of private **sector** companies, as **part** of the modernisation process.

30/3,K/4 (Item 2 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
(c) 2002 The Gale Group. All rts. reserv.

06323220  
Phileo to enter Aussie property market via Renak  
AUSTRALIA: PHILEO ENTERS PROPERTY SECTOR  
The Star (XAT) 10 June 1996 P.B22  
Language: ENGLISH

Phileo Group is venturing into Australia's property market through its 70%-owned Renak **Holdings**. Renak **Holdings**, involved in liquor **distribution** earlier will be re-listed on the Australian Stock Exchange with issuance of 3.5...

... embarking on its 5 years plan to become diversified developer, manager and investor in property **sector**, starting with **part**-ownership in a AUS 2.5 mn building at 35 Elizabetg Street, Melbourne and development...

30/3,K/5 (Item 3 from file: 583)  
DIALOG(R)File 583:Gale Group Globalbase(TM)  
(c) 2002 The Gale Group. All rts. reserv.

04266093  
Brake speeds ahead  
UK - BRAKES TO OPEN DISTRIBUTION HUB  
Motor Transport (MOT) 9 May 1991 p2  
ISSN: 0027-206X

Brakes Bros (UK), frozen food supplier to the catering **industry**, is as **part** of a GBP12 mil vehicle and warehouse **investment** programme, opening its fifth **distribution** hub in Highbridge, UK. The firm reported a 34% increase in turnover, according to preliminary...

30/3,K/6 (Item 1 from file: 2)  
DIALOG(R)File 2:INSPEC  
(c) 2003 Institution of Electrical Engineers. All rts. reserv.

01107756 INSPEC Abstract Number: C77023507  
Title: Optimum investment allocation in a model of economic growth  
Author(s): Cichocki, K.  
Author Affiliation: Polish Acad. of Sci., Inst. Organization, Management & Control Sci., Warszawa, Poland  
Journal: Control and Cybernetics vol.6, no.1 p.5-21  
Publication Date: 1977 Country of Publication: Poland  
CODEN: CCYBAP ISSN: 0324-8569  
Language: English  
Subfile: C

Title: Optimum investment allocation in a model of economic growth  
...Abstract: public consumption and services. These resources are then assigned to production and public goods production **sectors**. The disposable **part** of production comes from two sources: depreciation allowances and household saving. Mathematically the problem is...  
Identifiers: **investment allocation** ;

30/3,K/7 (Item 1 from file: 139)  
DIALOG(R)File 139:EconLit  
(c) 2003 American Economic Association. All rts. reserv.

476523

**REVIEW OF: The economics of pensions: Principles, policies, and international experience**

REVIEWER(S): Sunden, Annika

REVIEWER(S) AFFILIATION: Federal Reserve Board

JOURNAL NAME: Journal of Economic Literature,

JOURNAL VOLUME & ISSUE: 36 3,

PAGES: 1513-1515

PUBLICATION DATE: September 1998

ISBN: 0-521-55230-3 ISSN: 0022-0515

DOCUMENT TYPE: Book Review

BOOK(S) REVIEWED:

Valdes-Prieto, Salvador, ed.. **The economics of pensions: Principles, policies, and international experience.** Cambridge; New York and Melbourne: 1997. Cambridge University Press,

TEXT:

... The shift to a fully funded system may give rise to a large pension fund sector. The third part of the volume examines the links between privately managed fully funded systems and macroeconomic policy...

... a reform, restricting foreign investment can help develop a domestic capital market. In Chile, international portfolio allocation of pension funds was not allowed until 1989, and this helps explain why the Chilean...

30/3,K/8 (Item 2 from file: 139)

DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

044311

**TITLE: Investment choices in industry**

AUTHOR(S): Helfat, Constance E.

PUBLICATION INFORMATION: Cambridge, Mass. and London: MIT Press, PAGES: 189

PUBLICATION DATE: 1988

ISBN: 0-262-08175-X

DOCUMENT TYPE: Book

ABSTRACT INDICATOR: Abstract

...ABSTRACT: the risk to a portfolio of long-term investments, the correlations between various firm-level investments affect the allocation of resources. Adapts the Tobin-Markovitz theoretical portfolio selection model of stock market investment and...

...1979; estimation of the efficient frontier; petroleum industry projects; and estimated efficient frontiers for the industry. Based in large part on the author's doctoral dissertation. Helfat is Assistant Professor at the J. L. Kellogg...

30/3,K/9 (Item 3 from file: 139)

DIALOG(R)File 139:EconLit

(c) 2003 American Economic Association. All rts. reserv.

042880

**TITLE: Money, interest, and banking in economic development**

AUTHOR(S): Fry, Maxwell J.

PUBLICATION INFORMATION: Johns Hopkins Studies in Development series

Baltimore and London: Johns Hopkins University Press, PAGES: xx, 522

PUBLICATION DATE: 1988

ISBN: 0-8018-3641-7, pbk

DOCUMENT TYPE: Book

ABSTRACT INDICATOR: Abstract

...ABSTRACT: to GNP, and the rate of growth. Also discusses the effects of financial conditions on **portfolio allocation**, income **distribution**, and industrial concentration. Reviews effects of stabilization policies and monetary policies in a number of...

...market, and allocative efficiency of intermediation. Also analyzes types of government intervention in the financial **sector**. The last **part** examines monetary and financial policies that have been implemented in developing countries to accelerate growth...



File 348:EUROPEAN PATENTS 1978-2003/Nov W03  
     (c) 2003 European Patent Office  
 File 349:PCT FULLTEXT 1979-2002/UB=20031120,UT=20031113  
     (c) 2003 WIPO/Univentio  
 File 15:ABI/Inform(R) 1971-2003/Nov 25  
     (c) 2003 ProQuest Info&Learning  
 File 9:Business & Industry(R) Jul/1994-2003/Nov 24  
     (c) 2003 Resp. DB Svcs.  
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     (c) 2003 Business Wire.  
 File 810:Business Wire 1986-1999/Feb 28  
     (c) 1999 Business Wire  
 File 275:Gale Group Computer DB(TM) 1983-2003/Nov 24  
     (c) 2003 The Gale Group  
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     (c) 2003 Financial Times Ltd  
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     (c) 2003 McGraw-Hill Co. Inc  
 File 636:Gale Group Newsletter DB(TM) 1987-2003/Nov 24  
     (c) 2003 The Gale Group  
 File 621:Gale Group New Prod. Annou. (R) 1985-2003/Nov 25  
     (c) 2003 The Gale Group  
 File 613:PR Newswire 1999-2003/Nov 25  
     (c) 2003 PR Newswire Association Inc  
 File 813:PR Newswire 1987-1999/Apr 30  
     (c) 1999 PR Newswire Association Inc  
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     (c) 2003 The Gale Group  
 File 160:Gale Group PROMT(R) 1972-1989  
     (c) 1999 The Gale Group  
 File 634:San Jose Mercury Jun 1985-2003/Nov 24  
     (c) 2003 San Jose Mercury News  
 File 148:Gale Group Trade & Industry DB 1976-2003/Nov 25  
     (c) 2003 The Gale Group  
 File 20:Dialog Global Reporter 1997-2003/Nov 25  
     (c) 2003 The Dialog Corp.  
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     (c) 2003 ProQuest Info&Learning  
 File 626:Bond Buyer Full Text 1981-2003/Nov 25  
     (c) 2003 Bond Buyer  
 File 267:Finance & Banking Newsletters 2003/Nov 21  
     (c) 2003 The Dialog Corp.

Set	Items	Description
S1	383929	(SECURITIES OR STOCKS OR BONDS OR MUTUAL() FUNDS OR SHARES - OR INVESTMENT? OR FINANCIAL() INSTRUMENT? OR EQUITIES OR FUTURES OR OPTIONS) (5N) (ALLOCAT? OR DIVIDED OR DIVIDING OR APPORTION? OR DISTRIBUT? OR CONFER??? OR SPLIT???)
S2	1139136	(INDUSTRY OR SECTOR? ?) (3N) (LEADER OR LEADING OR BEST OR TOP OR SUPERIOR?)
S3	13610	S1 AND S2
S4	116	S1(5N)S2
S5	0	S4 FROM 348,349
S6	11	S4 AND PD<19990212
S7	11	RD (unique items)
S8	124411	(INDUSTRY OR SECTOR? ?) (3N) (PERCENTAG? OR PIECE? ? OR PART OR PORTION? ? OR ALLOTMENT? OR RATION??)
S9	305	S1(S)S8
S10	4	S9 FROM 348,349
S11	14	S3 AND IC=G06F-017/60
S12	14	S11 NOT S10
S13	130	(S1(20N)S8) NOT (S6 OR S10 OR S12)
S14	16	S13 AND PD<19990212
S15	15	RD (unique items)

S16 1510643 (LEADER? ? OR LEADING OR BEST OR TOP OR SUPERIOR? OR MAJOR  
 OR PRINCIPAL) (2W) (PLAYER? ? OR COMPETITOR? ? OR CONTENDER? ? -  
 OR PARTICIPANT? ? OR COMPANIES OR FIRMS)  
 S17 2168 S1(S)S16  
 S18 5 S17 FROM 348,349  
 S19 1622806 (LEADING OR BEST OR TOP OR MAJOR OR PRINCIPAL OR DOMINANT -  
 OR MAIN OR CHIEF OR PREEMINENT OR PRIMARY OR SIGNIFICANT OR P-  
 REDOMINANT) (2W) (PLAYER? ? OR COMPETITOR? ? OR PARTICIPANT? ? -  
 OR COMPANIES OR FIRMS)  
 S20 373 (S1 AND S8 AND S19) NOT (S6 OR S10 OR S12 OR S14 OR S18)  
 S21 1 S20 FROM 348,349  
 S22 11 (S1(S)S8(S)S19) NOT (S6 OR S10 OR S12 OR S14 OR S18 OR S21)  
 S23 1 S22 AND PD<19990212  
 S24 26 ((S1(S)S8) AND S19) NOT (S6 OR S10 OR S12 OR S14 OR S18 OR  
 S21 OR S23)  
 S25 1 S24 AND PD<19990212  
 S26 26 (S20 NOT (S21 OR S22 OR S23 OR S24)) AND PD<19990212  
 S27 25 RD (unique items)  
 S28 252 (S1(5N)S19) NOT (S6 OR S10 OR S12 OR S14 OR S18 OR S21 OR -  
 S23 OR S24 OR S26)  
 S29 0 S28 FROM 348,349  
 S30 30 S28 AND PD<19990212  
 S31 27 RD (unique items)  
 S32 93482 (INDUSTRY OR SECTOR? ?) (3N)S19  
 S33 125 S1(S)S32  
 S34 0 S33 FROM 348,349  
 S35 6 (S33 NOT (S6 OR S10 OR S12 OR S14 OR S18 OR S21 OR S23 OR -  
 S24 OR S26 OR S28)) AND PD<19990212

7/3,K/1 (Item 1 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

2247175 Supplier Number: 02247175 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Safeco Small Company Stock**  
(Safeco Life Insurance underwrites the Safeco Life Spinnaker Plus Small  
Company Stock, taking a straightforward investment strategy for the  
subaccount)  
Variable Annuity Market News, v V, n 8, p 6+  
August 1998  
DOCUMENT TYPE: Newsletter (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 964

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...THE MANAGER

photo omitted

Greg Eisen

Age: 41  
Education: B.A., Rutgers College

THE FUND  
Allocation (%) (06-19-98) (\*)  
Common Stocks : 97.8  
Cash: 2.2  
Top 5 Sectors % (06-19-98) (\*)  
Technology 29.5  
Consumer Cyclical 17.0  
Financials 14.1  
Consumer Staples...

7/3,K/2 (Item 2 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

2173162 Supplier Number: 02173162 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Deep DVD-Divx divide at NARM**  
(DVD player shipments reached 350,000 in 1997; 800 DVD movie and music  
video titles available and 1,500 expected by end-1998)  
One to One, n 94, p 14  
May 1998  
DOCUMENT TYPE: Journal ISSN: 0268-8786 (United Kingdom)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1859

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...gone into nationwide US distribution until last September. He pitched  
DVD as the packaged media industry 's best hope for holding off  
alternative distribution options available to consumers: satellite TV  
and cable or Internet-based digital networks.

Paul Culberg of...

7/3,K/3 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03886859 Supplier Number: 45592078  
**Brazil Fund - Company Report**  
Investext, p1-8  
June 6, 1995  
Language: English Record Type: Abstract  
Document Type: Magazine/Journal; Trade

ABSTRACT:

...population in the Western Hemisphere. Tables in report: Stock Price  
And Market Data 1994-95; Sector Breakdown 1995; Top Ten Stocks 1995;  
Distributions To Shareholders 1993-95; Shareholder Returns 1993-95;  
Dividend Reinvestment 1988-95; Selected Economic Indicators...  
19950606

7/3,K/4 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

02839514 Supplier Number: 43820412  
**Concord, a Top Distributor, Expands Its Horizons**  
American Banker, p18  
May 5, 1993  
Language: English Record Type: Abstract  
Document Type: Magazine/Journal; Trade

ABSTRACT:

Concord Financial Group, a New York-based company specializing in  
distributing bank-sponsored mutual funds, has become an industry  
leader and is expanding for tomorrow. The company, under the leadership of  
Richard Stierwalt, has become...  
19930505

7/3,K/5 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

02696590 Supplier Number: 43600951 (USE FORMAT 7 FOR FULLTEXT)  
**Intel-Motorola Intimidation?**  
Electronic News (1991), p1  
Jan 25, 1993  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 1032

... the absence of Arrow and Hall-Mark - the second and third largest  
North American industrial distributors, respectively - IBM would find its  
options for penetrating distribution's upper-tier severely restricted.  
Industry leader Avnet, like Wyle, reportedly has withdrawn itself from  
consideration. Pioneer-Standard (No. 4) carries Intel...  
19930125

7/3,K/6 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

04309451 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Business: Aberdeen Asset raises Pounds 100m to fund niche trust**  
IAN MCCONNELL, Deputy Business Editor  
HERALD (UNITED KINGDOM), p20  
February 11, 1999  
JOURNAL CODE: FGH LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 594

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... of these shares than on purchasing in the market.

Currie sees a renaissance of the split-capital investment trust sector leading to a raft of new issues of zeros, which should keep the returns on this...

19990211

7/3,K/7 (Item 2 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

04206663 (USE FORMAT 7 OR 9 FOR FULLTEXT)

First American National Bank Expands BISYS(R) Relationship  
PR NEWSWIRE

February 02, 1999

JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 1059

(USE FORMAT 7 OR 9 FOR FULLTEXT)

BISYS Fund Services, the industry's leading third-party administrator and distributor of domestic and offshore mutual funds, signed an extended contract to provide a variety of services for the ISG Funds, the...

19990202

7/3,K/8 (Item 3 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

03958563 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Tuna and cocaine : The best stories aren't always the ones to hit the headlines

MATTHEW ENGEL

GUARDIAN

January 09, 1999

JOURNAL CODE: FGDN LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 903

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... fishing fleets, with Mexico in the van.

The Mexican boats and canneries were privatised, with shares split among leading politicians; but the industry was hit by US legislation banning tuna caught with nets that killed dolphins. Huge political...

19990109

7/3,K/9 (Item 4 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02620381 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Regional Web Firm, TVisions, Continues To Beat National Players For Blue Chip Development Business; Wins 6 Major New Accounts for E-Commerce and Marketing Solutions

BUSINESS WIRE

August 26, 1998

JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 536

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... for hard-to-find accessories, online support for its products and a secure Extranet for distributors ;  
-- Fidelity Investments will extend its industry - leading site with a new campaign that highlights former fund manager Peter Lynch, and his investment...

19980826

7/3,K/10 (Item 5 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01710215 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Focus turns to finance blunderers NAP to hold poll on decrees  
The Nation  
NATION  
May 25, 1998  
JOURNAL CODE: WTNN LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 779

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... also let the public know how the Bt700 billion, which would be obtained through the bonds , would be allocated to the real sector .  
The Chat Thai leader said the Bank of Thailand should be more strict in monitoring and checking finance companies...

19980525

7/3,K/11 (Item 6 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01532650 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Postal Service Announces New ZIP Codes For 11 Cities and Towns in Eastern Massachusetts  
PR NEWSWIRE  
May 01, 1998 13:14  
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 1126

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... state of the art automated methods of mail processing and has been featured as an industry leader , because it shares the 021 distribution area with the remainder of the Boston District, most mail destinating at the facility to...

19980501

10/TI,PY,AZ/1 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00806389  
SCHEDULING AND PLANNING BEFORE AND PROACTIVE MANAGEMENT DURING MAINTENANCE  
AND SERVICE IN A NETWORK-BASED SUPPLY CHAIN ENVIRONMENT  
PROGRAMMATION ET PLANIFICATION ANTICIPEE, ET GESTION PROACTIVE AU COURS DE  
LA MAINTENANCE ET DE L'ENTRETIEN D'UN ENVIRONNEMENT DU TYPE CHAINE  
D'APPROVISIONNEMENT RESEAUTE  
Publication Year: 2001

10/TI,PY,AZ/2 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00797970  
INVESTMENT ADVICE SYSTEMS AND METHODS  
SYSTEMES ET PROCEDES DE CONSEIL EN INVESTISSEMENTS  
Publication Year: 2001

10/TI,PY,AZ/3 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00784125  
SYSTEM, METHOD, AND ARTICLE OF MANUFACTURE FOR PIECEMEAL RETRIEVAL IN AN  
INFORMATION SERVICES PATTERNS ENVIRONMENT  
SYSTEME, PROCEDE ET ARTICLE DE FABRICATION DESTINES A LA RECHERCHE  
FRAGMENTAIRE DANS UN ENVIRONNEMENT DE MODELES DE SERVICES  
D'INFORMATIONS  
Publication Year: 2001

10/TI,PY,AZ/4 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00761429  
METHODS, CONCEPTS AND TECHNOLOGY FOR A VIRTUAL SHOPPING SYSTEM CAPABLE OF  
ASSESSING NEEDS OF A CUSTOMER AND RECOMMENDING A PRODUCT OR SERVICE  
BASED ON SUCH ASSESSED NEEDS  
PROCEDES, CONCEPTS ET TECHNOLOGIE POUR SYSTEME D'ACHAT VIRTUEL CAPABLE  
D'EVALUER LES BESOINS D'UN CLIENT ET DE RECOMMANDER UN PRODUIT OU UN  
SERVICE SUR LA BASE DE CES BESOINS  
Publication Year: 2000

10/3,K/4 (Item 4 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00761429

METHODS, CONCEPTS AND TECHNOLOGY FOR A VIRTUAL SHOPPING SYSTEM CAPABLE OF  
ASSESSING NEEDS OF A CUSTOMER AND RECOMMENDING A PRODUCT OR SERVICE  
BASED ON SUCH ASSESSED NEEDS

PROCEDES, CONCEPTS ET TECHNOLOGIE POUR SYSTEME D'ACHAT VIRTUEL CAPABLE  
D'EVALUER LES BESOINS D'UN CLIENT ET DE RECOMMANDER UN PRODUIT OU UN  
SERVICE SUR LA BASE DE CES BESOINS

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BRUESS Steven C (agent), Merchant & Gould P.C., P.O. Box 2903,  
Minneapolis, MN 55402-0903, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200073955 A2 20001207 (WO 0073955)

Application: WO 2000US14357 20000524 (PCT/WO US0014357)

Priority Application: US 99321495 19990527

Designated States: AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE

DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC

LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI

SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 148469

Fulltext Availability:

Detailed Description

Detailed Description

... accomplish certain tasks, and the programmer must still determine the  
flow of control within each **piece** after it's called by the event loop.  
Application code still "sits on top of...to a clients business.

Hardware Maintenance

Hardware Maintenance maintains all of the components within a  
**distributed** system to protect the **investment** of the organization.  
Generally agreed upon in the SLAs, maintenance contracts are carried out,  
monitored...difficult to plan since users are distributed. Mass Storage  
Management is more complex in a **distributed** environment as many more  
storage **options** become available; storage may take place on disks,  
tapes, etc.

Either centrally or de-centrally...



12/TI,PY,AZ/1 (Item 1 from file: 348)  
DIALOG(R)File 348:(c) 2003 European Patent Office. All rts. reserv.

01458548

Data warehouse model and methodology  
Modell und Methodologie fur ein Datenlagerhaus  
Modele de depot de donnees et methodologie  
PATENT (CC, No, Kind, Date): EP 1248216 A1 021009 (Basic)

12/TI,PY,AZ/2 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00979230

PROVIDING MARKETING DECISION SUPPORT  
FOURNITURE D'UNE AIDE A LA DECISION DE MARKETING  
Publication Year: 2003

12/TI,PY,AZ/3 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00965494

A SYSTEM AND METHOD FOR DETERMINING THE LIQUIDITY OF A CREDIT  
SYSTEME ET METHODE PERMETTANT DE DETERMINER LA LIQUIDITE D'UN CREDIT  
Publication Year: 2002

12/TI,PY,AZ/4 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00943767

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR A SUPPLY CHAIN MANAGEMENT  
SYSTEME, PROCEDE ET PRODUIT PROGRAMME INFORMATIQUE CONCUS POUR UNE GESTION  
DE CHAINE D'APPROVISIONNEMENT  
Publication Year: 2002

12/TI,PY,AZ/5 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00903294

METHODS AND SYSTEMS FOR PROVIDING EMPLOYMENT MANAGEMENT SERVICES OVER A  
NETWORK  
PROCEDES ET SYSTEMES DESTINES A FOURNIR DES SERVICES DE GESTION D'EMPLOI  
SUR UN RESEAU  
Publication Year: 2002

12/TI,PY,AZ/6 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00889265

COMPUTERISED FINANCIAL SERVICES METHOD  
PROCEDE DE SERVICES FINANCIERS INFORMATISES  
Publication Year: 2002

12/TI,PY,AZ/7 (Item 6 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00888171

A METHOD OF ALLOCATING RESOURCES  
PROCEDE D'ALLOCATION DES RESSOURCES  
Publication Year: 2002

12/TI,PY,AZ/8 (Item 7 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00886075

SYSTEM AND METHOD FOR ONLINE VALUATION AND ANALYSIS  
SYSTEME ET PROCEDE D'EVALUATION ET D'ANALYSE EN LIGNE  
Publication Year: 2002

12/TI,PY,AZ/9 (Item 8 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00881946

MARGIN SETTLEMENT FOR EXCHANGE-TRADED FUTURES CONTRACTS  
REGLEMENT DE MARGES POUR CONTRATS A TERME NEGOCIABLES  
Publication Year: 2002

12/TI,PY,AZ/10 (Item 9 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00837961

MENU DRIVEN MANAGEMENT AND OPERATION TECHNIQUE  
GESTION PILOTEE PAR MENU ET TECHNIQUE DE FONCTION  
Publication Year: 2001

12/TI,PY,AZ/11 (Item 10 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00793243

ORGANIZATION OF INFORMATION TECHNOLOGY FUNCTIONS  
ORGANISATION DE FONCTIONS DE TECHNOLOGIE DE L'INFORMATION  
Publication Year: 2001

12/TI,PY,AZ/12 (Item 11 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00777985

TRUE DOLLAR FLOW INDICATOR SYSTEM  
SYSTEME D'INDICATION DES COURS REELS EN DOLLARS  
Publication Year: 2001

12/TI,PY,AZ/13 (Item 12 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00571538

SYSTEM FOR MODELING, MEASURING, MANAGING, AND DEPICTING THE EFFECTS OF  
BUSINESS DECISIONS ON MARKET VALUE  
SYSTEME DE MODELISATION, D'EVALUATION, DE GESTION ET DE DESCRIPTION DES  
CONSEQUENCES DE DECISIONS COMMERCIALES SUR LA VALEUR MARCHANDE  
Publication Year: 2000

12/TI,PY,AZ/14 (Item 13 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00467891

SYSTEM AND METHOD FOR PROCESSING MULTIPLE FINANCIAL APPLICATIONS USING A  
THREE-TIER VALUE NETWORK  
SYSTEME ET PROCEDE DE TRAITEMENT D'APPLICATIONS FINANCIERES MULTIPLES AU  
MOYEN D'UN RESEAU DES VALEURS A TROIS TIERS  
Publication Year: 1998

12/3,K/13 (Item 12 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00571538 \*\*Image available\*\*  
SYSTEM FOR MODELING, MEASURING, MANAGING, AND DEPICTING THE EFFECTS OF  
BUSINESS DECISIONS ON MARKET VALUE  
SYSTEME DE MODELISATION, D'EVALUATION, DE GESTION ET DE DESCRIPTION DES  
CONSEQUENCES DE DECISIONS COMMERCIALES SUR LA VALEUR MARCHANDE

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HODGKINSON Robert,

Patent and Priority Information ((Country, Number, Date):

Patent: WO 200034911 A2 20000615 (WO 0034911)  
Application: WO 99US29467 19991211 (PCT/WO US9929467)  
Priority Application: US 98111801 19981211; US 99283801 19990401

Designated States: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK  
DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG US UZ VN YU ZA ZW GH GM KE LS MW SD SL SZ TZ UG ZW AM  
AZ BY KG KZ MD RU TJ TM AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL  
PT SE BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 39382

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description  
Claims

Detailed Description

... equivalent)

CR Company Records (company-specific data, but should be available)  
PR Public Records (includes industry averages)  
BE Best Estimate (variable that is not captured and/or measurable;  
proxy  
unlikely; correlation analysis may be...equivalent)  
CR Company Records (company-specific data, but should be  
available)  
PR Public Records (includes industry averages)  
BE Best Estimate (variable that is ...market believes a share is  
worth. It is calculated by  
taking the market value and dividing it by Outstanding Shares .

market - value = net-earnings\*PE  
multiplier  
DOCUMENT: Firm market value.

materials - costs = producing  
by  
internal...

Claim

... or more methods described in claims 17 through 49.

51 A method of modeling asset investment allocations in an operating

business concern,  
the method comprising:  
defining tangible and intangible assets as having...

12/3,K/14 (Item 13 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
(c) 2003 WIPO/Univentio. All rts. reserv.

00467891 \*\*Image available\*\*  
SYSTEM AND METHOD FOR PROCESSING MULTIPLE FINANCIAL APPLICATIONS USING A  
THREE-TIER VALUE NETWORK  
SYSTEME ET PROCEDE DE TRAITEMENT D'APPLICATIONS FINANCIERES MULTIPLES AU  
MOYEN D'UN RESEAU DES VALEURS A TROIS TIERS

Patent Applicant/Assignee:

KEILANI Badieh Z II,

Inventor(s):

KEILANI Badieh Z II,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9858356 A2 19981223

Application: WO 98US12408 19980616 (PCT/WO US9812408)

Priority Application: US 9749783 19970616

Designated States: AL AM AT AU AZ BA BB BG BR BY CA CH CN CU CZ DE DK EE ES

FI GB GE GH GM GW HU ID IL IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MD

MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT UA UG UZ

VN YU ZW GH GM KE LS MW SD SZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT BE CH

CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML

MR NE SN TD TG

Publication Language: English

Fulltext Word Count: 49915

Main International Patent Class: G06F-017/60

Fulltext Availability:

Detailed Description

Detailed Description

... insurance companies, brokerage houses, and other financial  
institutions. The financial services.

industry has traditionally been divided into three distinct segments:  
banking, securities brokerage, and insurance. Financial applications  
include any application of software or hardware to prepare, process...  
client platforms certified as a branded "Runs on GEFS" client will  
contain the financial services industry's leading security and  
authentication features. The GEFS Java computing architecture provides an  
authentication model in which...

15/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00579896 91-54243  
**International Banking: Germany**  
Koch, Ulrich  
International Financial Law Review International Banking Supplement PP:  
87-91 Sep 1991  
ISSN: 0262-6969 JRNL CODE: IFL

...ABSTRACT: and London. Some German banks are corporations, limited liability companies, or partnerships, but a substantial part of the industry is state owned. Germany's banking system is a so-called universal banking system, where banks are not divided into commercial banks, investment, or merchant banks and where the banking operations are not separated from stock markets operations...

910900

15/3,K/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00577462 91-51809  
**The 20th Annual All-America Research Team**  
Anonymous  
Institutional Investor v25n12 PP: 81-145 Oct 1991  
ISSN: 0020-3580 JRNL CODE: IL  
WORD COUNT: 27181

...TEXT: on book-to-bill, demand and pricing changes. They say he writes a terrific monthly industry piece, as well. Says a fan, "Jim's coverage of electronic-distributor stocks gives him added perspective." Merrill's Kurlak, now in second place, was lukewarm on semiconductors...

911000

15/3,K/3 (Item 1 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

2222092 Supplier Number: 02222092 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**FAST GROWTH SETS UP FIGHT IN AUSTRALIA**  
(Australia's asset management market is forecast to grow 238% in 1998-2015, to some Aus\$1.184 tril, and US firms are competing)  
Pensions & Investments, p 14  
August 10, 1998  
DOCUMENT TYPE: Journal ISSN: 1050-4974 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 789

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...fund managers.

Australia has seen growth of local banks as both fund managers and retail distributors of investment products.

Meanwhile, the fastest-growing part of the superannuation industry is retail, according to the Insurance and Superannual Commission, Canberra, Australia. Contributions rose 42...

15/3,K/4 (Item 1 from file: 624)  
DIALOG(R) File 624:McGraw-Hill Publications  
(c) 2003 McGraw-Hill Co. Inc. All rts. reserv.

0219320

**FIELDS OF DREAMS: THE WEST GETS A CRACK AT SOVIET OIL: The Kremlin is  
allowing foreign drillers to explore vast tracts**  
Business Week June 11, 1990; Pg 36; Number 3163  
Journal Code: BW ISSN: 0007-7135  
Section Heading: International Business  
Word Count: 755 \*Full text available in Formats 5, 7 and 9\*

BYLINE:

Mark Maremont in London, with Rose Brady in Moscow, Stewart Toy in Paris,  
and bureau reports

TEXT:

...follow.

'HIGH POTENTIAL.' In Elf's case, the company will retain control of all  
exploration, investment, and production. Elf will split any oil it  
finds with the Soviets on a yet-to-be-announced percentage basis,  
industry sources say. "This could very well serve as a legal precedent,"  
adds Martin G. Pranga...

15/3,K/5 (Item 1 from file: 636)  
DIALOG(R) File 636:Gale Group Newsletter DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01987872 Supplier Number: 43561112 (USE FORMAT 7 FOR FULLTEXT)  
**Consumer electronics stagnate, semiconductors boom in Korea**  
NTIS Alert Foreign Technology, v93, n1, pN/A  
Jan 1, 1993  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 2027

... investment, the three major players, Samsung, Goldstar, and  
Hyundai, account for approximately 80%.

According to industry analysts, the major portion of the above  
investment will be allocated for semiconductor production. Such  
investment was expected to total \$1.5 billion in 1992. This breaks down to  
\$500 million...

19930101

15/3,K/6 (Item 1 from file: 621)  
DIALOG(R) File 621:Gale Group New Prod. Annou. (R)  
(c) 2003 The Gale Group. All rts. reserv.

01152610 Supplier Number: 41805260 (USE FORMAT 7 FOR FULLTEXT)  
**New PRESOURCE III (TM) Bottomless Activation Module**  
News Release, p1  
Jan 21, 1991  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 280

... no way  
limits interior arrangement or decor."

The PRESOURCE III (TM) bottomless activation module is part of the  
industry's broadest line of distribution options  
. The complete Walker

offering of PLEC (power, lighting, electronics, communication)  
distribution systems also includes underfloor...

19910121

15/3,K/7 (Item 1 from file: 813)  
DIALOG(R)File 813:PR Newswire  
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0267350 DC007  
**MUTUAL FUND INDUSTRY LEADERS SAY GROWTH TO CONTINUE IN THE 1990S**

DATE: May 10, 1990 10:33 EDT WORD COUNT: 770

...Silver also commented positively on the compromise that has been developed under National Association of **Securities** Dealers (NASD) jurisdiction on fund **distribution** costs under SEC Rule 12b-1: "With agreement in principle on the **part** of the **industry** and the SEC staff that fund distribution-related expenses should be viewed as sales charges...

15/3,K/8 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03874030 Supplier Number: 45565351 (USE FORMAT 7 FOR FULLTEXT)  
**Mexico pitches for BC firms**  
International Gas Report, pN/A  
May 26, 1995  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 110

(USE FORMAT 7 FOR FULLTEXT)  
TEXT:  
...Westcoast Energy Inc and BC Gas Inc. In a press conference Pagaza said:  
"A key **piece** of Mexican gas **industry** development is the promotion of national and foreign **investment** in gas transportation, storage and **distribution**. New legislation allows totally free repatriation and profit." While Petroleos Mexicanos (Pemex) will maintain control...  
19950526

15/3,K/9 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

02870376 Supplier Number: 43867342 (USE FORMAT 7 FOR FULLTEXT)  
**Manulife Takes Own Course On Illustrations**  
National Underwriter Life & Health-Financial Services Edition, p1  
May 31, 1993  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 818

... income and real estate, and T-bills value cash equivalents.  
Observers noted the 25 percent **allocated** to **stocks** is high, given the **industry** 's historical **percentages** for stocks which, according to the American Council of Life Insurance, have been below 10...  
19930531

15/3,K/10 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

01666720 Supplier Number: 42068459  
Brake speeds ahead  
Motor Transport, p2  
May 9, 1991  
Language: English Record Type: Abstract  
Document Type: Magazine/Journal; Trade

ABSTRACT:

Brakes Bros (UK), frozen food supplier to the catering industry, is as part of a GBP12 mil vehicle and warehouse investment programme, opening its fifth distribution hub in Highbridge, UK. The firm reported a 34% increase in turnover, according to preliminary...

19910509

15/3,K/11 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

04365560 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
MEXICO: ELECTRICITY TARIFF HIKES IMPLEMENTATION STARTS JAN '99  
INTERNATIONAL MARKET INSIGHT REPORTS  
February 09, 1999  
JOURNAL CODE: FIMI LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 377

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... establish a transparent pricing policy in order to avoid the financial deterioration of the electric sector ;

- To promote the rational use and conservation of energy, according to the National Development Plan;
- To provide increased income for investment in generating plants, transmission and distribution lines in a timely manner;
- To reduce the differential among residential and agricultural service tariffs...

19990209

15/3,K/12 (Item 2 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

03979481 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Gilt's keep banks in safety vault  
BUSINESS LINE  
January 09, 1999  
JOURNAL CODE: FBLN LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 544

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... 50 the costs too would be shared accordingly. Applying this yardstick for these 24 public sector banks, the portion of operating costs which could be apportioned to investment activity would be around Rs. 3,200 crores.

This amount translates into a cost of...

19990109

15/3,K/13 (Item 3 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.



03812371 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Investors press for Tarmac deal; Tarmac and Aggregate Industries

SUNDAY TIMES (UNITED KINGDOM)

December 20, 1998

JOURNAL CODE: FSTM LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 420

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... head the contracting business.

Simms has made it clear he wants Tarmac to play a part in the industry's consolidation, but he is not prepared to sell out on the cheap. A Tarmac adviser said: 'The proposed split of the shares did not reflect the size of Tarmac's business. If Aggregate wants to take...

19981220

15/3,K/14 (Item 4 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

(c) 2003 The Dialog Corp. All rts. reserv.

02352982 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Uzbek president harangues cabinet on 23rd July

Excerpts from report by Uzbek TV on 23rd July

BBC MONITORING SERVICE

July 29, 1998

JOURNAL CODE: WBMS LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 3877

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... reached 150bn soms, which is a third of GDP.

About 55 per cent of the investments were allocated for developing production sectors, a considerable portion was channelled into developing transport and communications, the fuel and power sector, agriculture and the...

19980729

15/3,K/15 (Item 5 from file: 20)

DIALOG(R)File 20:Dialog Global Reporter

(c) 2003 The Dialog Corp. All rts. reserv.

01548879

TSUM CASE ENTERS COURT TODAY

PARI DAILY

May 04, 1998

JOURNAL CODE: WPAD LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 133

... The Group is interested in projects in the power sector, the telecommunications, and the tobacco industry. The larger part of the DM 9.5 million investment allocated on TsUM will be materialised in the first year, Regent Pacific stated.

Today the Supreme...

19980504

18/TI,PY,AZ/1 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

01000057  
SYSTEM AND METHOD FOR MEASURING PERFORMANCE OF TRADING INSTRUMENTS WITHIN A  
MARKET  
SYSTEME ET PROCEDE DE MESURE DE PERFORMANCE D'INSTRUMENTS DE NEGOCIATION  
DANS UN MARCHE  
Publication Year: 2003

18/TI,PY,AZ/2 (Item 2 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00855012  
SECURED PRINCIPAL SHAREHOLDER INVESTMENT SYSTEM  
SYSTEME D'INVESTISSEMENT A CAPITAL SECURISE POUR ACTIONNAIRE  
Publication Year: 2001

18/TI,PY,AZ/3 (Item 3 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00806392  
TECHNOLOGY SHARING DURING ASSET MANAGEMENT AND ASSET TRACKING IN A  
NETWORK-BASED SUPPLY CHAIN ENVIRONMENT AND METHOD THEREOF  
PARTAGE TECHNOLOGIQUE LORS DE LA GESTION ET DU SUIVI DU PARC INFORMATIQUE  
DANS UN ENVIRONNEMENT DU TYPE CHAINE D'APPROVISIONNEMENT RESEAUTEE, ET  
PROCEDE ASSOCIE  
Publication Year: 2001

18/TI,PY,AZ/4 (Item 4 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00764915  
IMAGE MAKING MEDIUM  
SUPPORT DE FORMATION D'IMAGE  
Publication Year: 2000

18/TI,PY,AZ/5 (Item 5 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00738061  
METHOD AND SYSTEM CONSTITUTING A VIRTUAL COLLECTIVE ENTITY FOR  
MARKET-EFFICIENT RETAIL PURCHASE OF GOODS AND SERVICES  
PROCEDE ET SYSTEME CONSTITUANT UNE ENTITE VIRTUELLE COLLECTIVE POUR L'ACHAT  
EFFICACE DE BIENS ET DE SERVICES AU DETAIL  
Publication Year: 2000

18/3,K/5 (Item 5 from file: 349)  
DIALOG(R) File 349:PCT FULLTEXT  
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00738061

METHOD AND SYSTEM CONSTITUTING A VIRTUAL COLLECTIVE ENTITY FOR  
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PROCEDE ET SYSTEME CONSTITUANT UNE ENTITE VIRTUELLE COLLECTIVE POUR L'ACHAT  
EFFICACE DE BIENS ET DE SERVICES AU DETAIL

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Application: WO 2000US4369 20000222 (PCT/WO US0004369)

Priority Application: US 99255294 19990222

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DM EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR  
LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK SL TJ  
TM TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

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Publication Language: English

Filing Language: English

Fulltext Word Count: 10431

Fulltext Availability:

Detailed Description

Detailed Description

... determined by the accumulated irrevocable bids in hand. When a  
collective bid is accepted, the shares obtained are distributed in  
accordance with the rules of the auction, which determines the number of  
shares each become a major player in the financial markets.

The electronic bids preferably are made by the consumer investors via...

21/TI,PY,AZ/1 (Item 1 from file: 349)  
DIALOG(R)File 349:(c) 2003 WIPO/Univentio. All rts. reserv.

00956963

METHOD AND SYSTEM FOR INSURING AGAINST INVESTMENT LOSS  
PROCEDE ET SYSTEME D'ASSURANCE CONTRE LES PERTES SUR PLACEMENTS  
Publication Year: 2002

23/3,K/1 (Item 1 from file: 267)  
DIALOG(R)File 267:Finance & Banking Newsletters  
(c) 2003 The Dialog Corp. All rts. reserv.

00039568

Bankers' Views, The virtual round table.  
Euromoney Magazine  
February 00, 1998 PAGE: 50, 055 DOCUMENT TYPE: NEWSLETTER  
PUBLISHER: EUROMONEY ELECTRONIC PUBLICATIONS  
LANGUAGE: ENGLISH WORD COUNT: 3506 RECORD TYPE: FULLTEXT

(c) EUROMONEY ELECTRONIC PUBLICATIONS All Rts. Reserv.

TEXT:

...need to continue to maintain some  
control over their economies, and a well-regulated banking sector  
is an integral part of this.

Botin: Banks are changing very fast as a result of technology and  
the...have greater  
capital strength lead to a higher level of efficiency and greater  
benefits from investments in distribution and technology.

Breuer: In principle, a revival of the concept of the financial  
conglomerate is...not just be a domestic phenomenon, and I  
do expect to see the emergence of significant regional players  
within the next five years.

M|hlemann: In Europe I see two forces at work...

980200

25/3,K/1 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01276247 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Cement industry needs attention

Haseeb Haider

NATION (PAKISTAN)

March 30, 1998

JOURNAL CODE: WTNP LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 1304

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... thus having negative impact on its profitability of Rs 192.42 million.

Gharibwal Cement another top 25 companies of the country made profit of Rs 94.21 million in 1994 made huge losses...share. Now the industry seeks to employ a market intervention strategy whose objective is to distribute market shares in an orderly and collectively agreed manner, in order to prevent prices from collapsing. The...

19980330

27/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00813066 94-62458

**Regulating the distribuion of savings and investment products: Retrospect and prospect**

Devlin, James F; Ennew, Christine T  
International Journal of Bank Marketing v11n7 PP: 3-10 1993  
ISSN: 0265-2323 JRNL CODE: IJB  
WORD COUNT: 5796

...TEXT: pursuit of greater consumer protection, the Act focused particular attention on regulating the promotion and **distribution** of savings and **investment** products.

According to the Gower Report (Gower, 1982), it was in relation to these activities...

... associated with salesforce training and management notwithstanding, personal selling was identified as central to the **distribution** of savings and **investment** for the majority of manufacturers and retailers. Thus, for example, Kiely (1990) notes a 42 per cent increase in the size of salesforces used by the **major** insurance **companies** between the end of 1987 and early 1990. However, increased costs and increased competition were... the Financial Services Act 1986 has not been successful as an attempt to regulate the **distribution** of savings and **investment** products.

**PRE-CONTRACTUAL PROBLEMS**

The main pre-contractual or adverse selection problem is encountered when ...to be the stronger of the two. The result is that self-interest on the **part** of the **industry** is often given preference over consumer concerns. As such a tendency is likely to be...

930000

27/3,K/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00727948 93-77169

**Human Populations and Natural Resource Demands**

Bowyer, Jim L.  
Montana Business Quarterly v30n2 PP: 15-20 Summer 1992  
ISSN: 0026-9921 JRNL CODE: MBQ  
WORD COUNT: 3373

...TEXT: expanding populations will require a combination of new resource development strategies, technological advancement, and capital **investment**. Economic and **distribution** systems will need to be improved as well.

If society fails to adequately protect natural...establishing rules and guidelines. Government units also commonly review proposals--usually initiated by business and **industry** interests--as **part** of approval processes. Until recently, assuming that citizen requirements (for food, shelter, employment, etc.) and...

...dues-paying members may oppose population control measures.

**A COMBINATION OF THE THREE?**

Thus, each **major** **participant** in the United States environmental planning and action process offers its own specific expertise, and...

920700

27/3,K/3 (Item 3 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00717437 93-66658  
Dismantling financial disclosure regulations: Testing the Stigler-Benston hypothesis  
Okcabol, Fahrettin; Tinker, Tony  
Accounting Auditing & Accountability Journal v6n1 PP: 10-38 1993  
ISSN: 0951-3574 JRNL CODE: AAJ  
WORD COUNT: 12570

...TEXT: consolidated data (Collins, 1975; Collins and Simonds, 1979). Collectively, these studies suggested that unpublished material investment information confers a distinct advantage on those who are privy to it.

Several assumptions underlying the signalling...observe that such claims represent "a triumph of ideology over scholarship". Not only did the leading Wall Street firms lobby against significant provisions of the acts that were eventually accepted, but these firms then... or in registration files.

Palmer's Monopoly Index is a firm's sales as a percentage of its industry sales multiplied by its industry's barriers to entry index. The barriers to entry index...

930000

27/3,K/4 (Item 4 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00590094 92-05267  
Forecast 1992: Guest What? Housing and Manufacturing Are Driving the U.S. Economic Recovery  
Ozanian, Michael K.  
Financial World v161n1 PP: 24-28, 32-73 Dec 24, 1991/Jan 7, 1992  
ISSN: 0015-2064 JRNL CODE: TWO  
WORD COUNT: 11210

...TEXT: stocks. Says Frank Russell's Janine Baldridge, a vice president of the company's asset allocation group: "As bonds look less attractive relative to stocks, more money will move to stocks." In addition, individual... management services, with a client list that includes United Airlines and McDonald's, HealthCare's shares are up 293% and have split twice since December 1990.

Chemicals\*Plastics

If he had to bet his paycheck on chemical...

...says Horan.  
Armstrong will also benefit from the 1988 recapitalization of Interco, one of its main competitors, which is now in Chapter 11 and is too weak financially to take on Armstrong... sheet seems to have hit the pricing bottom in September, and this grade should take part in the industry's recovery. Prices will remain depressed in the tissue market, particularly on the consumer side... positioned over the long term to gain volume and grow. Furthermore, he expects exports, a significant market for companies such as USX-U.S. Steel Group, Allegheny Ludlum and New Jersey Steel in 1991...



911224

27/3,K/5 (Item 5 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00575475 91-49824  
North America's Communication Industry Global Strategies for Three  
Northern American Companies  
Budwey, James N.  
Telecommunications (International Edition) v25n10 PP: 224-236 Oct 1991  
JRNL CODE: TIE  
WORD COUNT: 6108

...ABSTRACT: of aggressive and sophisticated competitors and the strengths  
of those currently entrenched with dominant market **shares**. Communications  
services are **divided** into several major segments. Local exchange carriers  
provide the local services and are made up...

...TEXT: industry is vibrant and in constant flux. The recession of 1990-91  
has impacted various **portions** of the **industry**, stimulating growth in  
some segments and contracting it in others. New communication services are  
continuously... including opening the local monopoly to competition and  
ratepayer safeguards. Next year, many of the **major participants** in the  
industry will be embroiled in this issue.

In the US, private business networks...audio at very reasonable costs and  
bandwidth requirements. Compression Labs, Inc. and PictureTel are the  
**leading market participants** in North America and both have systems  
capable of delivering high-quality business video at...

911000

27/3,K/6 (Item 6 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00561958 91-36313  
**Ameritech: Weiss Pulls No Punches**  
Wilson, Carol; Karpinski, Richard  
Telephony v221n3 PP: 36-42 Jul 15, 1991  
ISSN: 0040-2656 JRNL CODE: TPH  
WORD COUNT: 3559

...ABSTRACT: future of his company and the telecommunications industry. The  
telecommunications industry currently has 10-15 **major players** all  
trying to come together to provide ubiquitous information services.  
Although other countries are beginning...

...TEXT: policy there is clear. We can get economic return over time on a  
massive switching **investment**.

In the **distribution** plant, however, there isn't any way to (justify) the  
massive capital cost of distribution...

... public interest area. It has more of the characteristics of a monopoly  
than any other **part** of the **industry**. It needs to be treated carefully.  
Pricing must be governed. Fairness has to be an...

910715

27/3,K/7 (Item 7 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00531008 91-05352

**Asian Investment Guide 1991**

Miller, Gregory P.; Cheung, Robert Y. K.; Snelgrove, John; Tryde, Peter; Greenwood, Mark

Asian Business v27n1 PP: 49-94 Jan 1991

ISSN: 0254-3729 JRNL CODE: ABN

WORD COUNT: 8820

...TEXT: restrictions on the amount or kind of stock foreign investors can own. Several of the **major Hong Kong companies** quoted are also listed on exchanges outside Hong Kong.

Taxation: Foreigners are not subject to...

... in broadcasting companies, and a bar on non-residents owning any shares in the two **major telephone companies**, Nippon Telegraph and Telephone (NTT) and Kokusai Denshin Denwa (KDD).

Taxation: The <sup>1</sup>standard withholding tax...and frustrating, though by law many Thai companies may be up to 49% foreign-owned ( **percentages** vary according to **sector** ). But companies themselves often choose to limit foreign ownership further.

Taxation: Foreign individual investors face...London -- considered the world's gold capital -- for their reference price. Twice a day, the **top five billion firms** there meet to set an equilibrium price, based on supply and demand, for all buying... fully from unit trusts, investors should recognise that the instruments are designed as long-term **investments** and so should **allocate** only surplus funds not needed in the near future.

'Umbrella' funds allows investors to obtain...companies.

Another problem is that few Japanese marketmakers can be bothered with retail investors. The **major players** are mutual funds and banks.

Two brokers that will deal for individual investors are Nomura...

910100

27/3,K/8 (Item 1 from file: 9)

DIALOG(R)File 9:Business & Industry(R)

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2348067 Supplier Number: 02348067 (USE FORMAT 7 OR 9 FOR FULLTEXT)

**Animal Health Industry : The Participants: Part Two**

(Part two of three-part article reports on participants in the global animal health sector; includes table ranking top 20 firms , which collectively hold 76.7% of the market)

Medical & Healthcare Marketplace Guide, v 1, p I-194+  
1998

DOCUMENT TYPE: Journal; Ranking; Industry Overview (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 3049

(USE FORMAT 7 OR 9 FOR FULLTEXT)

**Animal Health Industry : The Participants: Part Two**

...(three-part article reports on participants in the global animal health sector; includes table ranking top 20 firms , which collectively hold 76.7% of the market)

**ABSTRACT:**

...examines participants in the global animal health care sector. In 1997, about 18 of the **top 20 firms** in the field were related to pharmaceutical, life sciences or chemical firms. The article includes a

table of the top 20 firms in animal health by 1997 sales in US dollars and indicates how much of a percentage is driven by regulated products. The top 10 firms have 57.6% of the global market, while the top 20 have 76.7%. The...

TEXT:

...food additives companies by acquisition.

In 1997 some eighteen of the animal health industry's top twenty companies, and all of the top ten companies, were divisions or business units of pharmaceutical, life sciences, or chemical companies. The salient point...

...in the top twenty rankings (at number 16 and number 18, respectively). The other eighteen top companies are divisions of larger public companies and are not in total control of their destinies...with their buying power has also forced preemptive merger and acquisition moves to protect market shares, gain portfolio breadth and distribution and supply leverage.

d. Producer customers' continuing shift to disease prevention versus therapeutic treatment. This...

...growing number of mergers and acquisitions, there has been significant concentration of power in the top ten competitors who now account for about 58% of total industry sales. The top twenty competitors in the total industry are listed in the table below (feed nutritionals are included).

Top Twenty Participants in \$17 Billion Animal Health Industry in 1997 (\*)

Company	Sales (\$ millions)	Rank	Regulated Products (%)
Merial...			

...the pet food industry in size and is near the \$1 billion level in revenue.

Major integrated food companies like Cargill, ConAgra, Cenex Harvest States (a cooperative), ADM, and Koch Agriculture Company do not...to sustain R&D and pipeline flow and essential global marketing reach to be a top tier competitor. May possess distinctive regional and/or niched market species strengths. The business lacks adequate resources...

27/3,K/9 (Item 2 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
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2222345 Supplier Number: 02222345 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
UNC ENDOWMENT REVAMPED: U.S. STOCK, BOND EXPOSURE CUT, BUT PRIVATE EQUITY UP

(University of North Carolina endowment fund CIO Mark Yusko plans to grow fund to \$1 bil in two years vs \$750 mil now)

Pensions & Investments, p 3

August 10, 1998

DOCUMENT TYPE: Journal ISSN: 1050-4974 (United States)

LANGUAGE: English RECORD TYPE: Fulltext

WORD COUNT: 1385

(USE FORMAT 7 OR 9 FOR FULLTEXT)

ABSTRACT:

...the endowment fund at University of Notre Dame (South Bend, IN) has already altered the allocation of investments, putting more in

international equity and reducing fixed-income investments and building the private equity...

TEXT:

...program, targeting 15% of assets, up from 3%.

His targets for the fund's asset allocation are 25% domestic equities; 15% international equities, of which about one-third is emerging markets; 10% opportunistic equities; 10...

...best funds, which is why they wanted an experienced officer who could build relationships with top firms," he said.

Michael K. Hooker, chancellor, said Mr. Yusko emerged as the best candidate for...

...relationship-oriented, and praised Mr. Yusko for having the connections to "get into the better part of the sector."

"What's more important, is he understands complex investment strategies and then can explain them..."

27/3,K/10 (Item 3 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
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2052740 Supplier Number: 02052740 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
APPAREL (PROTECTIVE MEDICAL APPAREL)  
(US protective medical apparel sales will rise to \$1.7 bil in 1997, up 7%/yr vs \$1.39 bil in 1994 and \$1.3 bil in 1993; surgical drapes, packs & components currently account for 37% of market, medical gloves for 32% & protective wearing apparel for 20%)  
Medical & Healthcare Marketplace Guide, p N/A  
January 1997  
DOCUMENT TYPE: Journal (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1199

(USE FORMAT 7 OR 9 FOR FULLTEXT)

ABSTRACT:

...the complexities that challenge medical professionals and the medical device industry in this area.

One part of this industry is protective medical apparel, which has blossomed into a billion dollar plus market and which...  
...market and stand out because of their strong competitive roles. These companies are also the major competitors in the healthcare nonwovens industry; they have multiple roles as fabric producers, finished product manufacturers...

TEXT:

...the complexities that challenge medical professionals and the medical device industry in this area.

One part of this industry is protective medical apparel, which has blossomed into a billion dollar plus market and which...

...market and stand out because of their strong competitive roles. These companies are also the major competitors in the healthcare nonwovens industry; they have multiple roles as fabric producers, finished product manufacturers...

...major managed care alliances, all of which present major obstacles to weaker companies.

The remaining shares of the market are divided among a diverse group of companies, which includes

27/3,K/11 (Item 4 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
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1718150 Supplier Number: 01718150 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Taking Stock; Part 2 of 3 Parts  
(The number of Chinese stock investors has risen considerably to the present level of around 20 mil)  
China Business Review, v 24, n 1, p 8+  
January 1997  
DOCUMENT TYPE: Journal; Geographic Profile ISSN: 0163-7169 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 2729

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:

...that the China market was relatively illiquid -- and that most PRC shares represented only the best firms in the State sector, the least profitable part of China's economy.

An early player in the China market, the Templeton Emerging Markets...

...apply to buy into IPOs through the lottery system are selected. A second system for allocating new shares has also been introduced, in which the investor deposits funds for a certain number of...

27/3,K/12 (Item 5 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
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1589956 Supplier Number: 01589956 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Global Re Competition Reaches Olympic Levels: Underwriting Results  
(Global reinsurance industry's combined ratio declined to 106.8% in 1994, vs 108% in 1993; financial margins improved to 6.3% in 1994)  
National Underwriter Property & Casualty, n 35, p s6+  
August 26, 1996  
DOCUMENT TYPE: Journal; Industry Overview ISSN: 1042-6841 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 4337

(USE FORMAT 7 OR 9 FOR FULLTEXT)

ABSTRACT:

...ratio history for reinsurance by industry tier, return on revenue for reinsurers by tier and percentage change in reinsurance industry ASF from 1993 to 1994. ...

TEXT:

...tier reinsurers continued to outperform their global peers on an operating basis, while a few major players sought to shore up reserve margins for the unknown events of the future.

However, participants...

...are expected to have a minimal impact on the global reinsurance market, but a few top tier companies have chosen to augment their security even further with loss reserve corrections.

Standard & Poor's...but to some degree fairly similar by country of domicile. In some broad sense, the investment allocations within many countries many countries has a degree of correlation with the various risk portfolios...

...the market's secure players once again led the pack in augmenting capital. The 29 top tier companies experienced an above average 15.8 percent increase in surplus funds, which represented 59 percent...2.45 times in 1994 from 2.37 times in 1993. Despite strong capital growth, top tier players reported the highest reserves leverage by far at 2.96 times, since German and Swiss...

...may considerably understate both assets and surplus for global reinsurers based in Germany and Switzerland.

Percentage Change In Reinsurance Industry ASF From 1993 To 1994  
Tier 1 15.8%  
Tier 2 14.4%  
Tier 3...s believes that European reinsurers will soon face challenges in a number of areas. Even top tier players in the market are likely to show some deterioration in financial strength but are expected...

27/3,K/13 (Item 6 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
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1298322 Supplier Number: 01298322 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
From bank to total finance provider  
(Banks in good position to capture middle-market sales for life insurance products; major life insurance offerings from banks profiled)  
Bank Marketing International, n 64, p 8  
October 1995  
DOCUMENT TYPE: Newsletter; Industry Overview ISSN: 0791-2765 (Ireland)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1322

(USE FORMAT 7 OR 9 FOR FULLTEXT)

ABSTRACT:

...projected 1996 sales to \$400 mil.

Full text look at opportunities for banks to become major players in the insurance sector. Full text looks at cooperative operating strategy of Western National Corp...

TEXT:

...terms and conditions, while using banks as mere distribution centres.

Using Western's sales and distribution strategy, banks manage the investments behind each annuities sale. Their agents are trained to sell annuities at branch level, and...

...impending removal of legislative restrictions will place greater pressure on competitors within the financial services industry.

Vying for a piece of the insurance/investment pie, his bank currently offers life, disability, and long-term care...through independent and on-staff investment representatives. Chemical moves its products through a traditional agent distribution force, while its mutual funds and annuities are sold by representatives of its investment unit.

Canadian Imperial Bank of Commerce...

27/3,K/14 (Item 1 from file: 624)

DIALOG(R)File 624:McGraw-Hill Publications  
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0011889

**THE PEOPLE'S CHOICE: MUTUAL FUNDS: INVESTORS ARE POURING IN CASH TO THE  
TUNE OF \$500 MILLION A DAY**

Business Week February 24, 1986; Pg 54; Number 2934

Journal Code: BW ISSN: 0007-7135

Word Count: 2,172 \*Full text available in Formats 5, 7 and 9\*

**BYLINE:**

Jeffrey M. Laderman, with Vicky Cahan in Washington, Christopher S. Eklund  
in Philadelphia, John N. Frank in Chicago, and Lois Therrien in Boston

**TEXT:**

... a sales charge are called "load" funds; those without, "no load."  
Companies such as Fidelity Investments or Dreyfus provide investment  
management, distribution, and administration in return for an annual  
fee, the major source of their income. But...

... funds last year. Where mutual funds were once a sideshow to picking  
stocks, all the major firms now push them aggressively. Insurance  
companies, some of which sell mutual funds through their agents...

... the Vanguard Group's growth in assets last year came as "institutional"  
business, and other major fund companies are going after it. Aminvest  
Capital Management manages \$325 million by using mutual funds. "We...could  
stunt some of the growth in the IRA business, but that is still a small  
part of the industry. Even without it, there's plenty of growth  
potential. Equity mutual funds, for example, account...

27/3,K/15 (Item 1 from file: 813)

DIALOG(R)File 813:PR Newswire

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0230892

NY044

**GUEST SUPPLY YEAR-END RESULTS**

DATE: December 29, 1989

15:58 EST

WORD COUNT: 744

...which was made in our manufacturing facility and in the  
expansion of our sales and distribution network. Investments at the  
plant included equipment to reduce direct labor cost and inventory  
control systems that...

...that on a direct basis our cost position is favorable to  
other companies in the industry. However, a portion of this quarter's  
loss can be attributed to a negative manufacturing variance caused by...

...variance. The contract  
manufacturing agreements we have recently entered into with several  
companies, including two major consumer products companies, should  
provide the volume necessary to achieve a positive manufacturing  
variance in fiscal 1990.

"Another...

27/3,K/16 (Item 1 from file: 16)

DIALOG(R)File 16:Gale Group PROMT(R)

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03818565 Supplier Number: 45451349 (USE FORMAT 7 FOR FULLTEXT)

**WIRELESS CABLE BOOSTED**

Television Digest, v35, n14, pN/A

April 3, 1995

EKD

November 25, 2003

Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 651

... telcos' \$100 million investment in one firm comes 4 years after industry analyst said all **major** wireless cable **firms** could be bought for \$35-\$40 million. Telcos were willing to make investment because of...

...called telco investment "Good Housekeeping seal of approval" for wireless cable. Schmidt said deal is **part** of **industry** consolidation that "will help companies reach the appropriate economies of scale." No single event caused...

...He said "whole premise of wireless cable has become more recognized."  
BA and Nynex will **split** \$100 million **investment** equally, each providing \$15 million in first-stage loan that gives them warrant that can

19950403

27/3,K/17 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
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03813757 Supplier Number: 45441806 (USE FORMAT 7 FOR FULLTEXT)  
**BACK ON TRACK: THE CHALLENGE FOR LATIN AMERICAN ISSUERS IN 1995**  
LatinFinance, pS66  
April, 1995  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 3805

... Much of the recent growth in mutual funds has been most evident in the international **portion** of the **industry**. International and global fund net inflows approached US\$40 billion in both 1993 and 1994...kind of resilience since late December with respect to their Latin American (and emerging markets) **investments**.

The amount of foreign capital **allocated** to the region will be enough to allow active participation in new issues in the...common themes will be prominent in their investment criteria.

First and foremost, investors will favor **top** -quality **companies**. A well positioned issuer will need to be able to offer investors an opportunity to...

19950401

27/3,K/18 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03809334 Supplier Number: 45433561 (USE FORMAT 7 FOR FULLTEXT)  
**RBOCs PUMP \$100 MILLION INTO WIRELESS CABLE FIRM, SPURRING CONSOLIDATION**  
Communications Daily, v15, n61, pN/A  
March 30, 1995  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 600

(USE FORMAT 7 FOR FULLTEXT)  
TEXT:  
...million investment in single firm comes just 4 years after one industry analyst said all **major** wireless cable **firms** could be bought for \$35-\$40 million.  
... platform for the information highway (and) validates us as a player." Schmidt said deal is **part** of **industry** consolidation that "will help companies reach the appropriate economies of scale." No single



event caused...

...CAI expects to begin conversion to digital next year, officials said.

BA and Nynex will **split** \$100 million **investment** equally, each providing \$15 million in first-stage loan that gives them warrant that can

19950330

27/3,K/19 (Item 4 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03519846 Supplier Number: 44932222 (USE FORMAT 7 FOR FULLTEXT)  
**South African Quota Debated**  
Billboard, v0, n0, p1  
August 20, 1994  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; General  
Word Count: 1334

... comply with the regulations, they say. Further, the document commits the music industry to greater **investment** in recording, **distribution**, and promotion of South African music.

None of the top 10 artists played on Radio...

...4% of all music played radio stations - despite many years of lobbying by the recording **industry** for a higher **percentage**. According to SAMCA, the trend is now accelerating - an ironic turn of events, considering the

...is, nonetheless, deeply involved in local music through subsidiaries such as CPP, one of the **major players** in the black music market.

EMI managing director Mike Edwards

19940820

27/3,K/20 (Item 5 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

02849493 Supplier Number: 43835379 (USE FORMAT 7 FOR FULLTEXT)  
**Cashing in on the opportunities of the Single Market**  
Packaging Week, v0, n0, p16  
May 13, 1993  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 1512

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

THE strategic importance of a united European trading bloc has long been recognised by the **major** consumer goods **companies**, European brewers and the multinational soft drinks companies.

... in Europe is still relatively fragmented in spite of recent M&A activity, and the **top 20 companies** control only 12 per cent of the market, compared with more than 23 per cent...of used packaging.

The freedom to promote the packaging systems that provide consumer choice, pricing **options**, new **distribution** channels and cross border trade is being restricted by environmental legislation.

Germany's laws have...

...consequences for the development of sustainable economic solutions.

Apart from the need for a more **rational** debate, the **industry** also wants to see an end to the proliferation of national environmental measures that seriously...

19930513

27/3,K/21 (Item 1 from file: 148)  
DIALOG(R)File 148:Gale Group Trade & Industry DB  
(c)2003 The Gale Group. All rts. reserv.

01760578 SUPPLIER NUMBER: 02730216 (USE FORMAT 7 OR 9 FOR FULL TEXT)  
The new structure of housing. (advertising supplement)  
O'Toole, Edward  
Forbes, v131, p1A(6)  
April 25, 1983  
CODEN: FORBA ISSN: 0015-6914 LANGUAGE: ENGLISH RECORD TYPE:  
FULLTEXT  
WORD COUNT: 2798 LINE COUNT: 00224

... growth in the Fifties largely resulted from the housing boom. Home building constituted a major part of the construction industry. New houses meant new washing machines, new refrigerators, new TV sets and a broad array...during the past four or five years with a full complement of traders, underwriters and distributors of mortgage-backed securities to institutional investors. E. F. Hutton is a major factor in all areas of the ...

...as Freddie Mac or The Mortgage Corporation).

As the middlemen in the secondary market, the major Wall Street firms are in an excellent position to read the discernible trends in the housing industry. The...

19830425

27/3,K/22 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02634372 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
1997 U.S. INVESTMENT IN BRAZIL AT \$36 BILLION  
INTERNATIONAL MARKET INSIGHT REPORTS  
August 20, 1998  
JOURNAL CODE: FIMI LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 3235

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... THE PETROLEUM PRODUCTS RETAIL DISTRIBUTION NETWORK (EXXON AND TEXACO HAVE TRADITIONALLY RANKED AMONG BRAZIL'S TOP TEN DOMESTIC FIRMS), ROSE 59 PERCENT IN THE YEAR.

\* INSURANCE FUELS FINANCE GROWTH  
5. FINANCE, INSURANCE, AND REAL...

... SOUTH AMERICA IS HIGHLY CONCENTRATED IN THE "OTHER INDUSTRIES" CATEGORY WITH A 16 PERCENT SHARE. DIVIDING THE "OTHER INDUSTRIES" INVESTMENT 16 PERCENT SHARE BY THE SEVEN PERCENT WEIGHTING FOR THIS SECTOR GLOBALLY YIELDS A CR...OR TRANSFORMED INTO DEVELOPMENT AGENCIES IN THE NEXT FEW YEARS. U.S. BANKS ARE ALREADY MAJOR PLAYERS IN THE MARKET (FIVE ARE AMONG THE TOP 50 BANKS IN THE COUNTRY AND TWO... WAS SUPPRESSED TO PROTECT BUSINESS CONFIDENTIALITY.

TABLE

US DIRECT INVESTMENT PROFILE SOUTH AMERICA AND WORLD ( PERCENTAGE SHARES, 1997)

SECTOR WORLD SOUTH S. AMERICA BRAZIL

AMERICA EX BRAZIL ONLY - PETROLEUM 10 10 16 5 MANU...

19980820

27/3,K/23 (Item 2 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02549753 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
VIETNAM: OIL & GAS INDUSTRY (1) /,  
U.S. and Foreign Commercial Service (US&FCS)  
INDUSTRY SECTOR ANALYSIS  
July 07, 1998  
JOURNAL CODE: FISA LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 5763

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... estimated unofficially.  
Future Inflation Assumed: 6%  
For 1996, Imported Market Shares (Percentage for US and Major Competitors )  
USA: 5%; Russia 20%; Singapore: 30%; Thailand: 11%; Taiwan: 18%;  
Australia: 4%; South Korea: 3...refinery project  
1,300  
11 Gas transportation to onshore 400  
12 Projects for production/sales/ distribution of products 632  
13 Investment for scientific research and other services 50  
Total 10,512  
Projects to be Invested /, US...

... producing urea is also planned in northern Vietnam, using gas resources  
Foreign companies can take part in the petrochemical industry not only  
as material and equipment suppliers, but also investors.

E. Competitive Situation  
Domestic Oil...

19980707

27/3,K/24 (Item 1 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
(c) 2003 American Banker. All rts. reserv.

0065563  
Morgan Executive Decries Capital Market Restrictions: The following  
testimony was delivered by Roberto G. Mendoza, executive vice president  
of Morgan Guaranty Trust Co., New York, before the Senate Committee on  
Banking, Housing, and Urban Affairs on Oct. 13.  
American Banker - November 3, 1987; Pg. 11; Vol. 152, No. 216  
WORD COUNT: 2,317 /,

TEXT:

...States strives to redress its international trade deficit,  
it will need to look in greater part to the services sector. Strong,  
competitive capital markets in this country can make a significant  
contribution to our ability...

...can no longer take it for granted, however, that American firms  
will continue to be major competitors in world markets or that American  
capital markets will keep pace with markets located abroad...

...top five. By way of contrast, three of the top five and  
seven of the top twenty firms today are Japanese.

In addition, it is worth noting that many of the leading American  
securities firms, as they seek the capital and overseas know-how that  
they  
need to compete on...

...to foreign  
/,

investors. For example, a nearly 40% interest in First Boston, one of the leading American securities firms, is owned by an affiliate of Credit Suisse, a major foreign commercial bank doing business... taking and personal banking), American Express Bank Ltd. (international commercial banking), and Shearson Lehman Brothers (investment banking and commercial lending). Dividing up their banking activities in this manner denies them the economies that would be gained...

...here that we find it indeed strange that the United States permits some of our major competitors - the 15 foreign banks grandfathered under the International Banking Act of 1978 - to engage in...

...noted earlier, it has grown faster and at the expense of our own markets.

The top eight firms in the Eurobond market account for only about half of the debt underwritten in that market. In the Eurocommercial paper market the top five firms account for 41% of the commercial paper programs signed by the top 40 firms. These figures are based on nominal value, as the outstanding amounts of Eurocommercial paper are...home market. Those firms that do not have all three attributes are unlikely to be major competitors.

Because we generally deny firms the ability to offer both commercial and investment banking services.

27/3,K/25 (Item 2 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
(c) 2003 American Banker. All rts. reserv.

0016313

**Fidelity Finds Big Business in Discount Brokering for Banks**

American Banker - April 28, 1983, Thursday; Pg. 1

WORD COUNT: 3,859

BYLINE:

By SCOTT McMURRAY

TEXT:

... industry. In the meantime, they warn, "the banks may attempt to enter the more profitable portions of the industry on a price basis, which would hurt all participants and still not give them a...

... of domestic banks offer or would consider offering discount brokerage services. Only a handful of major bank holding companies, such as BankAmerica and Chase Manhattan Corp., have been willing to make the commitment to...

...COMPANY NAMES (DIALOG GENERATED): Management ; Fidelity Service Co ; First Interstate Bancorp ; Kahn & Co ; Kansas City ; Kelly Associates ; Lipper Analytical Distributors Inc ; National Association of Securities Dealers ; National Financial Services Corp ; New England Mutual Life Insurance Co ; Pittsburgh Federated Investors ; Securities...

31/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00583132 91-57479  
**D. H. Blair & Co. Plans Split into Retail and Banking Sides**  
Treitler, Betsy; Sommar, Jessica  
Investment Dealers Digest v57n46 PP: 6, 8 Nov 18, 1991  
ISSN: 0021-0080 JRNL CODE: IDD  
WORD COUNT: 610

...TEXT: 125 fully registered brokers. Maio said nothing will change in his division following the formalized split with investment banking.

Many major Wall Street firms have split into separate retail and banking divisions, but it's almost impossible to quantify...

911118

31/3,K/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00470932 89-42719  
**Mutual Fund Firms Eye Europe 1992**  
Vosti, Curtis  
Pensions & Investment Age v17n22 PP: 43, 46 Oct 16, 1989  
ISSN: 0273-5466 JRNL CODE: PNI

...ABSTRACT: managers without historic European ties face many challenges. Since the 1960s, European banks have become major players in the sale and distribution of mutual funds .

891016

31/3,K/3 (Item 3 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00202328 83-13889  
**Kabutocho - The Sting**  
Suzuki, Demosthenes  
Euromoney PP: 146-147, 151, 154 Mar 1983  
ISSN: 0014-2433 JRNL CODE: ERM

...ABSTRACT: the scale of manipulation has become more widely recognized. The Tokyo stock market is officially divided into first section shares , which include the largest and best -established firms , and second section shares, which include smaller and newer companies. There are a number of...

830000

31/3,K/4 (Item 4 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00139083 81-08889  
**Are There 'Natural' Market Structures?**  
Buzzell, Robert D.  
Journal of Marketing v45n1 PP: 42-51 Winter 1981  
ISSN: 0022-2429 JRNL CODE: JMK

...ABSTRACT: the Strategic Planning Institute. In addition, possible reasons for skewed size distributions is worth exploring. **Distributions of the market shares of leading competitors** in narrowly-defined product markets follow the identical pattern that has been found in previous...

810000

31/3,K/5 (Item 1 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

1763146 Supplier Number: 01763146 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Sales Of VAs Rocketed 44% To \$73.8 Billion Last Year**  
(Sales, assets, distribution, and performance of variable annuities, along with other data, provided in overview of 1996)  
National Underwriter Life & Health, p 1+  
February 24, 1997  
DOCUMENT TYPE: Journal; Industry Overview ISSN: 0028-033X (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1250

ABSTRACT:  
...Of the \$501 bil in 1996 assets, the top 10 companies control 70% and the top 25 companies control 88%. Captive agency/controlled distribution declined 3%; regional investment firms grew by 7%; banks and credit unions grew by 4%; and direct sales grew...

31/3,K/6 (Item 2 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

1354486 Supplier Number: 01354486 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Tech stock fervor disturbing**  
(Separate account and commingled fund portfolio managers have a higher median allocation to the technology sector than managers of mutual funds)

Pensions & Investments, v 23, n 25, p 3+  
December 11, 1995  
DOCUMENT TYPE: Journal ISSN: 1050-4974 (United States)  
LANGUAGE: English RECORD TYPE: Fulltext  
WORD COUNT: 1039

(USE FORMAT 7 OR 9 FOR FULLTEXT)

TEXT:  
...the equation, the difference in behavior of large and small managers is dramatic.

For the top 100 investment companies and investment advisers, the median technology allocation is a whopping 25.6%, nearly double the 13.7% median allocation for all investment...

31/3,K/7 (Item 1 from file: 810)  
DIALOG(R)File 810:Business Wire  
(c) 1999 Business Wire . All rts. reserv.

0224715 BW694

**FIRST CALL: Mabon Securities joins FIRST CALL**

May 2, 1991

Byline: Business Editors

...financial institutions in Europe, acting as a long-term leader to Italian industry and a significant participant in mutual fund management and distribution. Mabon Securities, which traces its roots to Kingsley, Mabon & Duryea founded in 1892, is a member of...

31/3,K/8 (Item 1 from file: 476)  
DIALOG(R)File 476:Financial Times Fulltext  
(c) 2003 Financial Times Ltd. All rts. reserv.

0003516616 B06DCBFADEFT  
Howl Of Protest Over Introduction Of ADR Tax / Effects of Britain's new tax on US trading of UK shares  
ALEXANDER NICOLL  
Financial Times, Section F2. ED, P 30  
Wednesday, April 2, 1986  
DOCUMENT TYPE: NEWSPAPER LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT  
Word Count: 1,101

...the UK is hardly new. But British companies have joined the worldwide rush, encouraged by leading broking firms, to step up global distribution of their shares on the principle that tapping new sources of investment is good for the share price...

31/3,K/9 (Item 1 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01762205 Supplier Number: 42917751 (USE FORMAT 7 FOR FULLTEXT)  
Too early for a Gulf bourse  
Middle East News Network, pN/A  
April 16, 1992  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 379

... such a trend the granting of stock dividends by a number of banks and the allocation of shares by major companies as has been the case with the Saudi Basic Industries Company (SABIC).  
Asked if he...

19920416

31/3,K/10 (Item 2 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01634345 Supplier Number: 42530787 (USE FORMAT 7 FOR FULLTEXT)  
D. H. Blair To Separate Retail And Banking Sides  
Going Public the IPO Reporter, v15, n46, pN/A  
Nov 18, 1991  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 622

... 125 fully registered brokers. Maio said nothing will change in his division following the formalized split with investment banking.

Many major Wall Street firms have split into separate retail and banking divisions, but it's almost impossible to quantify...

19911118

31/3,K/11 (Item 3 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01431601 Supplier Number: 41895592 (USE FORMAT 7 FOR FULLTEXT)  
**EUROMONITOR MARKET DIRECTION (DATA-STAR)**  
Online Newsletter, v12, n3, pN/A  
March, 1991  
Language: English Record Type: Fulltext  
Document Type: Newsletter; Trade  
Word Count: 240

(USE FORMAT 7 FOR FULLTEXT)

TEXT:

...and leisure products. The reports analyze trends in market size, segmentation, sources of supply, retail **distribution**, **major companies**, market and brand **shares**, consumer profiles, advertising, new products, and future prospects. A report is provided for a product...

19910301

31/3,K/12 (Item 1 from file: 813)  
DIALOG(R)File 813:PR Newswire  
(c) 1999 PR Newswire Association Inc. All rts. reserv.

0229851 NY093  
**WASTE MANAGEMENT ANNOUNCES STOCK OPTION PLAN FOR SEVERAL THOUSAND EMPLOYEES IN NORTH AMERICA**

DATE: December 21, 1989 15:54 EST WORD COUNT: 440

...to exercise them  
unless their employment is terminated.

The company is one of the first **major** multi-national **companies** to **distribute stock options** broadly among employees. Most companies reserve options for senior management.

"Waste Management has been a./.

31/3,K/13 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03619283 Supplier Number: 45098529 (USE FORMAT 7 FOR FULLTEXT)  
**Swiss bank battle up to foreign shareholders**  
Pensions & Investments, p42  
Oct 31, 1994  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 1323

... to tear down tough anti-takeover rules. The contest may have important repercussions for other **major** Swiss **companies** that have split their **shares** between registered and bearer form.

'We generally would agree and support such a proposal' eliminating...

19941031

31/3,K/14 (Item 2 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

01975651 Supplier Number: 42528783 (USE FORMAT 7 FOR FULLTEXT)  
**D.H. Blair & Co. plans split into retail and banking sides**



Investment Dealers' Digest, p6  
Nov 18, 1991  
Language: English Record Type: Fulltext  
Document Type: Magazine/Journal; Trade  
Word Count: 621

... 125 fully registered brokers. Maio said nothing will change in his division following the formalized split with investment banking.

Many major Wall Street firms have split into separate retail and banking divisions, but it's almost impossible to quantify...

19911118

31/3,K/15 (Item 3 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

01918360 Supplier Number: 42444866  
Senshukai - Company Report  
Investext, p1-5  
Oct 15, 1991  
Language: English Record Type: Abstract  
Document Type: Magazine/Journal; Trade

ABSTRACT:

...to March 1993.

Tables in report: Stock Price & Sales Data 1991-93; Distribution Centres Of Major Mail Order Companies ; Capital Investment Schedule 1987-95; Gifu Distribution Centre Plan By Division; Sales, Margins, Per Share, Financial Position Data 1986-93; Financial Ratios...

19911015

31/3,K/16 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

04063414 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Business Day: EXPANDING HIGH FLIER AIMS FOR SHAKE UP IN BANKING  
EVENING STANDARD, p44  
January 20, 1999  
JOURNAL CODE: FES LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 380

... faster - ETrade recently unveiled two moves that underscore its phenomenal rise from online obscurity to major-market player - a stock split and a new venture in investment banking.

19990120

31/3,K/17 (Item 2 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

03721652 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
Canada NewsWire Summary of Releases, Evening, Thursday, -3-  
CANADA NEWSWIRE  
December 10, 1998  
JOURNAL CODE: WCNW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 362

(USE FORMAT 7 OR 9 FOR FULLTEXT)

c4130 - TORONTO : Loblaw Companies Limited announcement  
(Loblaw-Provigo- shares )

1,  
c4138 - MONTREAL : California pet food distributor chooses Tecsys' EliteSeries over major competitors (a-Tecsys-chosen)  
c4146 - VANCOUVER : Naftex Energy Corporation announces third quarter results (Naftex-third-quarter...)

19981210

31/3,K/18 (Item 3 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

03582507 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Etonian outsider who lost his touch in City**  
SECTION TITLE: News  
NICK PRYER  
EVENING STANDARD, p4  
November 27, 1998  
JOURNAL CODE: FES LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 737,  
1,

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... the fuss was caused simply by the fact that BZW was the last British-owned major player in the investment market.  
City analysts were divided. Some praised him for showing the courage to make a public U-turn. Others saw...

19981127

31/3,K/19 (Item 4 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

03273755 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**IN SHAKY MARKET, BLACKROCK LOOKS FOR RISK-AVERSE INVESTORS: ASSET MANAGER SHIFTS FOCUS TO RETAIL CLIENTS; LOOKS TO NEW OWNER AS CUSTOMER SOURCE**  
chris isidore  
CRAIN'S NEW YORK BUSINESS, p16  
October 19, 1998  
JOURNAL CODE: WCNY LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 551  
1,

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... State Street Research.  
But BlackRock is far behind in recognition and investment dollars compared with major players such as American Fund Distributors, Franklin Distributors and Putnam Investments, each of which has at least \$150 billion in long-term assets under management.  
BlackRock...

19981019

31/3,K/20 (Item 5 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02654922 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Inline Software Corp. Formed to Deliver First Java Technology-Based Application Generator**  
PR NEWSWIRE  
August 31, 1998  
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 834

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... founder and lead engineer, who has developed systems deployed on the trading floor of national securities exchanges and distributed nationwide by leading financial services firms. He played pivotal roles at Fidelity Investments, Pan Canadian Petroleum, SBC Warburg, Skyway, Synetics, UPS...

19980831

31/3,K/21 (Item 6 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02084077 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**U.S. Filter to Acquire MEGA Systems & Chemicals, Inc.**  
PR NEWSWIRE  
July 01, 1998 15:40  
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 388

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... Systems & Chemicals is a market leader in Chemical Mechanical Planarization (CMP) distribution systems and a significant player in the Bulk Chemical Distribution (BCD) market.  
"MEGA shares a common vision and culture with USF Kinetics," said David J. Shimon, president and chief...

19980701

31/3,K/22 (Item 7 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01817094 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Foreigners Seek Increase in Limit on UES Stock Set by Law**  
INTERFAX  
May 18, 1998  
JOURNAL CODE: WIRA LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 196

... in the share they are allowed to hold in the company under the law on distribution of UES shares.  
Around 10 major Western investment companies Friday sent a letter to UES chief executive Anatoly Chubais asking for the situation to...

19980518

31/3,K/23 (Item 8 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01725157 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**FOREIGNERS SEEK INCREASE IN LIMIT ON RUSSIA'S UES STOCK**  
INTERFAX  
May 18, 1998  
JOURNAL CODE: WIRA LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 273

... in the stake they are allowed to hold in the company under the law on distribution of UES shares .

Around 10 major Western investment companies sent a letter to UES chief executive Anatoly Chubais May 15 asking for the situation...

19980518

31/3,K/24 (Item 9 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

01280386 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**The Seattle Times Northwest Quarterly Stock Report Column**  
Greg Heberlein  
KRTBN KNIGHT-RIDDER TRIBUNE BUSINESS NEWS (SEATTLE TIMES)  
April 01, 1998 19:17  
JOURNAL CODE: KSET LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 1120

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... regional economic impact, gained 738.95 points to 5,750.40.  
Among the region's best-known companies, Microsoft shares split 2-for-1 in February and headed higher. Even though the U.S. Justice Department...

19980401

31/3,K/25 (Item 1 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
(c) 2003 American Banker. All rts. reserv.

0059633  
**Worth Reading: Friendly Aspects of Glass-Steagall: Noted Consultant Cites Powers Granted Banks by Current Law**  
American Banker - March 2, 1987; Pg. 14; Vol. 152, No. 41  
WORD COUNT: 473

BYLINE:  
- Lou Leventhal

TEXT:  
...allow a substantial volume of investment banking business for banks. For instance, banks currently are major players as underwriters and distributors of permissible government securities, and private placement has become a well-established banking function.  
So, too, have mergers and...

31/3,K/26 (Item 2 from file: 625)  
DIALOG(R)File 625:American Banker Publications  
(c) 2003 American Banker. All rts. reserv.

0021890  
**Road to Converting Can Be Fraught with Pitfalls: Dissident Shareholders May Object to Procedure, or May Attempt to Grab Control Themselves**  
American Banker - November 14, 1983, Monday; Pg. 31  
WORD COUNT: 1,747

BYLINE:  
By JOHN J. GAVIN and RONALD M. SCHNEIDER; When a mutually owned savings and loan association converts to stock ownership, there are perils that a farsighted management must take precautions to head off, say Mr. Gavin,

executive vice president, and Mr. Schneider, assistant vice president, at D.F. King & Co. Inc., New York, a proxy solicitation firm.

TEXT:

...of the shares, depending upon the size of the institution.

Underwritten public offerings permit rapid distribution of the stock issue. Major investment banking firms are better suited to handling large issues, while substantial regional firms can provide placement power ...

31/3,K/27 (Item 3 from file: 625)

DIALOG(R)File 625:American Banker Publications

(c) 2003 American Banker. All rts. reserv.

0008535

Justice Dept. Guidelines Provide Path to Detect Harmful Mergers

American Banker - July 23, 1982, Friday; Pg. 4

WORD COUNT: 3,035

TEXT:

... firms. While useful, this ratio can be very misleading because it fails to reflect the distribution of the market shares of the top four firms or the composition of the market outside them, each of which can affect very significantly...

35/3,K/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
(c) 2003 ProQuest Info&Learning. All rts. reserv.

00060728 77-13123

**LARGE FIRM DOMINANCE AND PRICE-COST MARGINS IN MANUFACTURING INDUSTRIES**

KWOKA, JOHN E., JR.

SOUTHERN ECONOMIC JOURNAL V44 N1 PP: 183-189 JULY 1977

ISSN: 0038-4038 JRNL CODE: SEJ

...ABSTRACT: THAN IN INDUSTRIES WITH EQUAL SIZE FIRMS OR WITH LARGE CONCENTRATION RATIOS REGARDLESS OF THE DISTRIBUTION OF MARKET SHARES . STRUCTURAL FEATURES OTHER THAN CONCENTRATION CAN BE TESTED IN PERFORMANCE REGRESSIONS. HIGH DOMINANCE INDUSTRIES ARE...

... REEVALUATION. RESTRAINING SUCH MERGERS WITHOUT CHALLENGING THE LEADING FIRMS WOULD NOT SEEM LIKELY TO IMPROVE INDUSTRY PERFORMANCE, BUT ATTACKING DOMINANT FIRMS IN PARTICULAR APPEARS TO HAVE SUBSTANTIAL SOCIAL BENEFITS. TABLES.

770000

35/3,K/2 (Item 1 from file: 9)  
DIALOG(R)File 9:Business & Industry(R)  
(c) 2003 Resp. DB Svcs. All rts. reserv.

1899810 Supplier Number: 01899810

**Suzuken and Akiyama to Merge Next April**

(Suzuken, a major pharmaceutical wholesaler, will merge with Akiyama, the largest pharmaceutical wholesaler in Hokkaido, Japan)

Nihon Keizai Shimbun, p N/A

July 25, 1997

DOCUMENT TYPE: Business Newspaper (Japan)

LANGUAGE: Japanese RECORD TYPE: Abstract

**ABSTRACT:**

...1 of next year. Suzuken will be the going concern, with 0.32 of its shares allocated per one Akiyama share. Due to the second consecutive year of reductions in official drug...

...the business environment for pharmaceutical wholesalers has worsened precipitously. Through the merger of the two major public companies , reorganization in the industry may accelerate. The name of the new company is to be "Suzuken." Total sales for...

35/3,K/3 (Item 1 from file: 636)  
DIALOG(R)File 636:Gale Group Newsletter DB(TM)  
(c) 2003 The Gale Group. All rts. reserv.

01233657 Supplier Number: 41257109 (USE FORMAT 7 FOR FULLTEXT)

**Electronic Entertainment Sky Wars: Will the '90s Be the DBS Decade?**

Electronic Services Update, pN/A

April, 1990

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 1237

... bird" and outside bank financing covering the \$375 million balance.

The enormous expansion of video distribution options that occurred during the late '70s and '80s -- including licensed TV stations, VCR ownership and cable subscribers and channels -- revolutionized the entertainment industry . The leading media companies are scrambling for control over the new markets to further expand entertainment consumption. With terrestrial...

19900401

35/3,K/4 (Item 1 from file: 16)  
DIALOG(R)File 16:Gale Group PROMT(R)  
(c) 2003 The Gale Group. All rts. reserv.

03730418 Supplier Number: 45289937  
**Interstate Bakeries - Company Report**  
Investext, pl-18  
Jan 26, 1995  
Language: English Record Type: Abstract  
Document Type: Magazine/Journal; Trade

ABSTRACT:

...Baking Group, Interstate Bakeries would become the largest bakery company in the nation, with expanded **distribution** and stronger market **shares** in certain markets. With the consolidation of two **major players**, the overcrowded baking **industry** may finally gain some much-needed pricing flexibility. Consolidation benefits should make the acquisition additive...

19950126

35/3,K/5 (Item 1 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02227082 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Icarus Investments, Dallas Based Private Investment Fund, Increases Holdings In Citadel Technology by a Million Shares**  
PR NEWSWIRE  
July 16, 1998 9:19  
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 860

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... investment. Citadel has solidified its distribution channels and has completed its strategic alliances with the **major players** in the **industry**. Revenues continue to increase sequentially, as the Company is just beginning to reap the rewards...

19980716

35/3,K/6 (Item 2 from file: 20)  
DIALOG(R)File 20:Dialog Global Reporter  
(c) 2003 The Dialog Corp. All rts. reserv.

02215141 (USE FORMAT 7 OR 9 FOR FULLTEXT)  
**Icarus Investments, Dallas Based Private Investment Fund, Increases Holdings In Citadel Technology By a Million Shares**  
BUSINESS WIRE  
July 15, 1998 8:55  
JOURNAL CODE: WBWE LANGUAGE: English RECORD TYPE: FULLTEXT  
WORD COUNT: 833

(USE FORMAT 7 OR 9 FOR FULLTEXT)

... investment. Citadel has solidified its distribution channels and has completed its strategic alliances with the **major players** in the **industry**. Revenues continue to increase sequentially, as the Company is just beginning to reap the rewards...

19980715

High-Speed, On-Demand Printing for SEC Documents Available from EDGAR Online

February 18, 1999

WELCOME! YOU'VE GOT SEC FILINGS!

February 23, 1998

EDGAR Online ([www.edgar-online.com](http://www.edgar-online.com)) Powered By Gateway

July 21, 1997

Real-Time SEC Filings Now Viewable in Microsoft Word, According to Cybernet Data Systems

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**November 25, 2002**

### **EDGAR Online Introduces EDGARpro Legal**

South Norwalk, CT - November 25, 2002 - EDGAR Online, Inc. (Nasdaq: EDGR) today announced the availability of EDGARpro Legal ([www.edgarprolegal.com](http://www.edgarprolegal.com)), a new desktop service designed expressly for the legal marketplace. EDGAR Online is a leader in the business information industry, specializing in the extraction, packaging and distribution of public company information contained in SEC filings.

"The legal marketplace is tired of clunky interfaces and connect time fees," said Susan Strausberg, CEO of EDGAR Online, Inc. "EDGARpro Legal represents our focused commitment to legal professionals who access EDGAR filings every day." EDGARpro Legal's key features include:

**Powerful Search Capabilities.** Search over 15 discrete criteria. Basic criteria such as company name, ticker, and keyword to advanced criteria including file number and SEC Act.

**Current Awareness Alerts.** Track clients, prospects, industries and topics with onscreen notification and pop-up, wireless and email alerts.

**Productivity Tools.** Save and re-run searches with one click using Monitor, your personalized search page.

**Company Profiles.** See quick company overviews.

**Usage Tracking.** Customize flexible tracking options to meet each law firm's needs. Track by client and matter, case code, attorney number, department or other custom criteria.

**Superior Navigation and Document Formatting.** Adjust the RTF display to control pagination, margin size or accommodation for A4 paper size.

**Flat rate, firm wide pricing.** No usage or connect time fees.

EDGARpro Legal can be obtained by calling 212-457-8200 or online via: <http://www.edgar-online.com/corporate/contactform.asp>.

#### **About EDGAR Online, Inc.**

EDGAR Online, Inc. ([www.edgar-online.com](http://www.edgar-online.com)) is a leader in the business information industry, specializing in the extraction, packaging and distribution of public company information contained in SEC filings. Based in Norwalk, Connecticut, with offices in Maryland and New York City, the company sells subscription products, data and services to financial institutions, corporations and law firms.

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These



statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, risks in connection with our recent acquisition and other acquisitions which we may consummate in the future, the time and expense involved in such development activities, the level of demand and market acceptance of our services and changes in our business strategies.

EDGAR is a federally registered trademark of the U.S. Securities and Exchange Commission (SEC). EDGAR Online is not affiliated with or approved by the U.S. Securities and Exchange Commission. EDGAR Online is a product of EDGAR Online, Inc.

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**November 20, 2002**

**LexisNexis Offers SEC data and tools from EDGAR Online Available Via [www.lexis.com](http://www.lexis.com) and [www.nexis.com](http://www.nexis.com)**

**Note: We have this already.**

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**September 19, 2002**

**EDGAR Online and UBmatrix to Create Global XBRL Database  
JV to Market Software and Data Worldwide**

South Norwalk, CT - September 19, 2002 - EDGAR Online, Inc. (Nasdaq: EDGR) today announced it has formed EDGAR Analyst LLC, a joint venture with Universal Business Matrix, LLC (UBmatrix), to develop the first global XBRL data exchange.

XBRL is a new business-specific XML financial reporting language intended to reduce costs and streamline the entire financial information supply chain with impacts from the general ledger level all the way to complex analytics run on private and public company data. XBRL is expected to become the standard computer language companies use to prepare, report, and disseminate their financial information.

The joint venture, EDGAR Analyst, will offer the first Global Data Exchange (GDX) for all public company financial information along with a powerful set of analytical tools. The exchange will initially consist of tagged XBRL statements from annual and quarterly financial reports on U.S public companies. The joint venture will be working with stock exchanges and others to establish similar linked repositories internationally. Tools will also be created to help companies "XBRL-ize" their data for submission into the repository or to regulatory agencies. Data and tools will be sold on a subscription and licensing basis. EDGAR Analyst will focus on three main initiatives:

Creation of a Global Data Exchange (GDX) for all public companies, with an initial focus on U.S. public companies.

Sale of analytical tools to analysts, investors and other financial information users who access GDX data.

Sale of software tools to companies and financial institutions to automate the conversion of raw company financial data to XBRL.

"EDGAR Analyst will be a single source for analysts, investors, credit and lending institutions, news

organizations and accountants to source company financials prepared and published in XBRL format," said George Blackstone, President of UBmatrix. "Our goal is to provide investors and their advisers with greater corporate transparency of all public companies."

"EDGAR Analyst merges the strengths of EDGAR Online's financial information expertise and verified data base of public company data with UBmatrix's innovative suite of XBRL software products and proprietary analytical tools," said Susan Strausberg, CEO of EDGAR Online, Inc. "Just as the EDGAR filing system and EDGAR Online revolutionized corporate disclosure, we believe EDGAR Analyst can contribute to another marked step forward in transparency for both US and international markets."

"We applaud EDGAR Analyst for its efforts to provide a central XBRL repository that will benefit the financial community and individual public companies," said Walter Hamscher, XBRL International Steering Committee Chair.

"EDGAR Analyst will fill a major need of the financial marketplace. Using the XBRL standard to create a repository of reliable data will allow immediate data comparison and analysis in the friendly environment of your desktop. Financial decisions that are often based on inadequate information will now have a reality basis of rapidly published and template-analyzed data. It is financial data democracy in action!" said Miklos A. Vasarhelyi, KPMG Professor of AIS, Director Rutgers Accounting Research Center, Rutgers University

#### About EDGAR Online, Inc.

EDGAR Online, Inc. ([www.edgar-online.com](http://www.edgar-online.com)) is a leader in the business information industry, specializing in the extraction, packaging and distribution of public company information contained in SEC filings. Based in Norwalk, Connecticut, with offices in Maryland and New York City, the company sells subscription products, data and services to financial institutions, corporations and law firms.

#### About UBmatrix

UBmatrix is the leading provider of innovative XBRL-based software, and an active member of XBRL International. UBmatrix builds business solutions that incorporate the power of the XBRL markup language, including specialized software solutions for the financial services industry. The company develops software applications for automating the process of transforming documents into the XBRL format and provides tools for building and extending taxonomies. Based in Redmond, Washington, with offices in Los Angeles, and Santa Rosa California, UBmatrix provides software solutions for corporations, financial services market, regulators and governments.

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, risks in connection with our recent acquisition and other acquisitions which we may consummate in the future, the time and expense involved in such development activities, the level of demand and market acceptance of our services and changes in our business strategies.

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August 22, 2002

**EDGAR Online and UBmatrix Enter Joint Venture to Develop the First Global XBRL Data Exchange,  
EDGAR Analyst powered by UBmatrix**

SOUTH NORWALK, CT, June 17, 2002- EDGAR Online, Inc (NASDAQ: EDGR) and Universal Business Matrix, LLC (UBmatrix, <http://www.ubmatrix.com>), today announced the formation of EDGAR Analyst powered by UBmatrix, a Joint Venture that will offer to the Financial Services Industry the first Global XBRL Data Exchange of financial reports for all public companies along with a powerful set of analytical tools. EDGAR Analyst service will be offered starting November 15, 2002 on a subscription basis.

XBRL, the newly developed business-specific XML financial reporting language, is being advanced by XBRL International, the global consortium of over 160 companies including the American Institute of Certified Public Accountants (AICPA), International Accounting Standards Committee Foundation (IASCF), Institute of Management Accountants (IMA) and other major financial services, technology, and public accounting organizations. XBRL is expected to become the standard way in which companies will prepare, report, and disseminate their financial information.

"We are pleased to enter into the relationship with UBmatrix. Combining EDGAR Online's core expertise with UBmatrix will multiply benefits to customers by tightly linking our services with the world leader in XBRL technologies," commented Greg Adams CFO of EDGAR Online Inc." Initially the repository will consist of tagged XBRL statements for more than 5-years of 10K and 10Q SEC filings.

"Today represents the first step towards a centralized XBRL repository for all public companies. EDGAR Analyst will be a single source for analysts, investors, credit and lending institutions, news organizations and accountants to source company financials prepared and published in XBRL format. This Joint Venture is a good example of how partnerships and cross-pollination can expand the natural boundaries of existing markets. We are excited that we are leading this evolution," said Liv Watson, Director of XBRL for EDGAR Online, Inc.

"The EDGAR Analyst Joint Venture merges the strengths of EDGAR Online's service experience and verified data base of public company data with UBmatrix' innovative suite of XBRL software products and proprietary analytical tools to provide breakthrough services to the investment community. For the first time, all public company data will be accessible to subscribers through the Internet in an XBRL compliant Repository. Beyond providing comprehensive data services to the investment industry, EDGAR Analyst is developing extensive ratio and trend analysis tools for use with both financial and non-financial information. Our goal is to provide investors and their advisers with greater corporate transparency of all public companies," said George Blackstone, UBmatrix President.

Analysts will be able to extract, analyze, and process financial statement information more completely, less expensively and much faster with software designed for this purpose. In addition, the information will come directly from the company and can be readily exchanged among a wide variety of software applications, thereby increasing the speed at which it gets into the analysts' models.

"Investor reactions and buying decisions are often based on rumor, innuendo, and guesswork because official information comes out infrequently and after-the-fact. As management publishes more timely information, it can better control investor reaction. We applaud EDGAR Analyst for its efforts to provide a central XBRL repository that will benefit the financial community and individual public companies," said Walter Hamscher, XBRL International Steering Committee Chair.

"Edgar Analyst will fill a major need of the financial marketplace. Using the XBRL standard to create a repository of reliable data will allow immediate data comparison and analysis in the friendly environment of your desktop. Financial decisions that are often based on inadequate information will now have a reality basis of rapidly published and template-analyzed data. It is financial data democracy in action!" said Miklos A. Vasarhelyi, KPMG Professor of AIS, Director Rutgers Accounting Research Center, Rutgers University "XBRL, like other emerging open-architecture technologies, increases the overall efficiency of information exchange while reducing the related cost. The beneficiaries of XBRL include all members of the financial statement information supply chain, from preparers and distributors to aggregators and, most important, the end users. XBRL provides a robust platform for significantly enhanced assessment, extraction, and query tools for shareholders and other users," said Kurt Ramin, Commercial Director, International Accounting Standards Committee Foundation and Vice-Chairman of the XBRL International Steering Committee.

#### About UBmatrix

UBmatrix is the leading provider of innovative XBRL-based software, and an active member of XBRL International. UBmatrix builds business solutions that incorporate the power of the XBRL markup language, including specialized software solutions for the Financial Services Industry. The Company's demonstrated expertise in XBRL is focused on the development of cutting-edge software applications for automating the process of transforming documents into the XBRL format and providing tools for building and extending Taxonomies. Headquartered in Redmond, Washington, with offices in Los Angeles, and Santa Rosa California, UBmatrix provides software solutions for Corporations, Financial Services market, Regulators, and Governments.

#### About EDGAR Online Inc

EDGAR Online, Inc. is a provider of financial information derived from U.S. Securities and Exchange Commission data and a developer of financial and business system solutions. Based in Norwalk, Connecticut, with offices in Rockville and Baltimore, Maryland and New York City, the company sells to the corporate market and Internet portals as well as running destination Web sites including EDGAR Online (<http://www.edgar-online.com>).

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, risks in connection with our recent acquisition and other acquisitions which we may consummate in the future, the time and expense involved in such development activities, the level of demand and market acceptance of our services and changes in our business strategies.

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August 12, 2002

**EDGAR Online Adds International Company Data Coverage on 13,300 Companies in 45 Countries  
Available Across Services**

SOUTH NORWALK, Conn., Aug 12, 2002 /PRNewswire-FirstCall via COMTEX/ -- EDGAR Online, Inc. (Nasdaq: EDGR) today announced expansion of its global company coverage. Annual and interim reports from over 13,300 companies in 45 countries will now be available on the Company's products including its flagship EDGARpro desktop service and its EDGAR Explorer data service.

The international content, provided in partnership with Global Reports LLC, is part of EDGAR Online's commitment to provide the highest quality and most comprehensive online collection of company coverage.

"We look forward to offering this international data set to corporate customers," said Susan Strausberg, CEO of EDGAR Online, Inc. "Global Reports has a seasoned team of executives and brings a comprehensive online library of international filings in full color and native PDF format."

"EDGAR Online is a valuable partner for us -- in terms of brand name, quality of service and access to the leading companies using this data," said Scott Schwartz, Managing Director of Global Reports LLC. "History has shown that the combination of superior U.S. SEC and international company data sets provides a compelling solution for the financial services, legal and corporate markets."

About EDGAR Online, Inc.

EDGAR Online, Inc. (<http://www.edgar-online.com>) is a leader in the business information industry, specializing in the extraction, packaging and distribution of public company information contained in SEC filings. Based in Norwalk, Connecticut, with offices in Maryland and New York City, the company sells subscription products, data and services to financial institutions, corporations, law firms and Internet portals. EDGAR Online's professional services can be obtained by calling 212-457-8200 or online via: <http://www.edgar-online.com/corporate/contactform.asp>

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, risks in connection with our recent acquisition and other acquisitions which we may consummate in the future, the time and expense involved in such development activities, the level of demand and market acceptance of our services and changes in our business strategies.

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**December 31, 2001**

**EDGAR Online, Inc. Launches an XBRL Repository for Financial Statements Tagged in XBRL**  
**Note: Not available online.**

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**November 05, 2001**

**EDGAR Online, Inc. and Sector Data and Team to Enhance Customer Experience by Offering Access to SEC Filings on Sectorbase**

South Norwalk, CT - November 5, 2001 - EDGAR Online, Inc. (Nasdaq: EDGR), a leading provider of SEC-based financial information, and Sector Data, LLC, the leading classification company for corporate and investment research, today announced a relationship designed to deliver SEC data on Sector Data's Sectorbase Research Platform.

The Sectorbase Research Platform, a comprehensive source for company research that leverages Sector Data's proprietary product classification system and key qualitative characteristics information to allow users to perform accurate comparative valuation and peer group analysis, will now display SEC data from EDGAR Online. By using EDGAR Online's powerful XML-based Application Programming Interface (API), Sectorbase is also able to associate various fundamental data with the SEC data from which it came. As a result, users of Sectorbase can perform more in-depth analysis of financial statement data by viewing contextual comments from the reporting companies themselves and be assured of data accuracy.

Corporate and investment research is a time-intensive process. Many business professionals are frustrated by the lack of information about companies products and services, information that would help them to understand a company and its lines of business and to evaluate its competitive position in the marketplace. As a result, Sector Data developed the Sectorbase Hierarchy, a products and services classification system that allows users to find the true comparables between companies. In addition to providing the fundamental data, there are links to "qualitative" data on each company, which consists of key competitors, customers, suppliers, and strategic partners. By incorporating SEC data provided by EDGAR Online, Sectorbase will now give users an even greater breadth and depth of information for fundamental and price performance analysis.

"EDGAR Online's financial offerings will bring our clients the necessary resources to make informed investment and business decisions. Together with EDGAR Online, we have been able to leverage our respective technologies to match their data to ours so that users can view source documentation for key financial statements," says Steve Shum, Executive Vice President for Sector Data, LLC. "EDGAR Online has demonstrated that their data is unsurpassed in reliability and we are honored to have opportunity to work with one of the leading providers of business intelligence."

"Sector Data has made itself a player in the corporate and investment research industry by integrating our data. Like EDGAR Online, Sector Data is committed to providing time-sensitive, fundamental data for the investment and business community," says Susan Strausberg, CEO of EDGAR Online, Inc. "Sector Data's proprietary classification system that catalogs companies into a logical hierarchy based on the products and services to show true comparables will redefine the way company analysis is done. We're excited to work with such an innovative company like Sector Data to accelerate the adoption of EDGAR Online as the SEC data vendor of choice."

About EDGAR Online, Inc.

EDGAR Online, Inc. is a provider of financial information derived from U.S. Securities and Exchange Commission data and a developer of financial and business system solutions. Based in Norwalk, Connecticut, with offices in Rockville and Baltimore, Maryland and New York City, the company sells to the corporate market and Internet portals as well as running destination Web sites including EDGAR Online

(<http://www.edgar-online.com>).

#### About Sectorbase, a product of Sector Data, LLC

Sectorbase, a product of Sector Data, LLC, is a suite of applications designed to enhance the quality and reduce the time spent on company research. Employing a unique filtering methodology, Sectorbase catalogs companies into a logical hierarchy according to the products and services they offer, enabling users to easily identify a company's exact competitors in all of the markets in which it operates. The company's proprietary metric system organizes essential qualitative data as well as financial statement information and price performance data. The web-based research platform supports complex company and group queries, enabling users to screen the database and identify target companies and groups quickly and accurately. Calculating over 16,000 product-specific indices, the platform is also an unparalleled application for identifying sector rotation and understanding group price movements. With industry-standard datafeeds, powerful analytical applications and a dynamic web-based research platform, Sectorbase has defined a new standard for researching and evaluating public companies across multiple product and service markets.

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**December 4, 2000**

#### **EDGAR Online Creates Repository for Financial Statements Tagged in XBRL**

EDGAR® Online® Creates Repository for Financial Statements Tagged in XBRL  
- XBRL Expert Joins Company -

#### For Immediate Release

Jay Sears T. David Colgren Senior Vice President President and CEO Business and Strategy Development  
EDGAR Online, Inc. Colcomgroup 203.852.5666 212-9739828 <mailto:sears@edgar-online.com>  
<mailto:dcolgren@colcomgroup.com>

EDGAR® Online® Creates Repository for Financial Statements Tagged in XBRL

- XBRL Expert Joins Company -

SOUTH NORWALK, CT, December 4, 2000 -- EDGAR Online, Inc. (NASDAQ: EDGR) announced today at the XBRL committee meeting in Washington, D.C. that it is establishing the first public repository for company financial statements in XBRL (eXtensible Business Reporting Language) format. The Company also announced it has hired Liv A. Watson as Director to establish and manage its XBRL repository. XBRL, the newly developed business-specific XML financial reporting system developed by the American Institute of Certified Public Accountants (AICPA), Institute of Management Accountants (IMA) and other major financial services, technology, and public accounting organizations, is expected to become the standard way in which U.S. public companies will prepare, report and disseminate their financial information. The EDGAR Online XBRL Repository will provide a single source for analysts, investors, credit and lending institutions, news organizations and accountants to find any company financials prepared and published in this format. The majority of U.S. companies are expected to release their financial statements in XBRL format in the near future.



"Forming the XBRL Repository represents an important step in publicly establishing our leadership position to take advantage of this groundbreaking method that manages financial data," said Susan Strausberg, CEO of EDGAR Online, Inc. "This further demonstrates our commitment to providing the highest quality service to the institutional marketplace while continuing to place ourselves on the cutting edge of new technologies as they become available.

John Taysom, Founder and co-CEO of the Reuters Greenhouse Fund, a strategic investor in EDGAR Online, Inc. agreed. "This move looks set to solidify EDGAR Online's position in SEC-based data. Reuters is deeply committed to helping develop the new standards which will become critical to sophisticated users of news and financial data."

In other news, EDGAR Online Inc. announced that it has hired Liv A. Watson as Director. Ms. Watson will develop short and long-term strategies for implementing XBRL capabilities and functionality into the EDGAR Online Web site and financial information delivery value chain. Through the XBRL Steering Committee Ms Watson has aided in the establishment of the universal "business reporting language" that will facilitate the worldwide dissemination, analysis and interchange of business reporting.

She presently serves as XBRL Education and Communication Committee Co-Chair and has spent fifteen years in film and television production, multimedia training, and information technology consulting in the public and private sector. Among other national and regional projects, she recently led a government project that will automate and streamline records systems for counties across the nation. Ms. Watson is a specialist in information systems project strategies, as well as management and information technology consulting for medium to large organizations. Prior to joining EDGAR Online, Inc., Ms. Watson served as the Managing Partner of

Gaither Technologies LLC.

The incorporation of XBRL will allow EDGAR Online, Inc., to provide superior services to companies, analysts, investors and other audiences allowing for more informed financial and management decisions. "However, XBRL does not change existing accounting standards. Rather, XBRL provides an efficient and reliable means for business reporting information exchange and gives the professional and individual user fast and easy access to this SEC information." said Mike Willis, XBRL Chairman from PricewaterhouseCoopers, LLP.

About EDGAR Online, Inc.

EDGAR Online, Inc. is the leading business-to-business and Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data and a developer of Internet-based financial and business system solutions. Based in Norwalk, Connecticut, with offices in Rockville and Baltimore, Maryland, Kirkland, Washington and New York City. The company sells to the corporate market and Internet portals as well as running five destination Web sites (<http://www.edgar-online.com>, <http://www.freedgar.com>, <http://www.ipo-express.com>, <http://www.insidertrader.com>, <http://www.fd-express.com>). For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to



differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, risks in connection with our recent acquisition and other acquisitions which we may consummate in the future, the time and expense involved in such development activities, the level of demand and market acceptance of our services and changes in our business strategies.

EDGAR® is a federally registered trademark of the U.S. Securities and Exchange Commission (SEC). EDGAR Online is a product of EDGAR Online, Inc., and is neither approved by, nor affiliated with the SEC.

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**October 18, 2000**  
**EDGAR Online Acquires EDGAR News Service from Internet Financial Network**

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SOUTH NORWALK, CT, October 18, 2000 -- EDGAR Online, Inc. (Nasdaq: EDGR) has entered into an agreement with Internet Financial Network (IFN) to transition the customers from IFN's IFN 2000 EDGAR news service to the EDGAR Online service. EDGAR Online, Inc. provides business, financial and competitive information derived from U.S. Securities and Exchange Commission data to the corporate and Internet market. Terms were not disclosed.

IFN announced recently that it was merging with EntryPoint and was combining their existing business operations into a newly created entity, Infogate, Inc., to deliver a broad-based news and information service to the individual and corporate marketplace.

"As we focus on the non-institutional customer and sponsorships with corporations for our new entity Infogate, we needed to find the best continuity of service for our institutional customers," said Clifford T. Boro, Executive Chairman of Infogate. "EDGAR Online has demonstrated leadership in breadth of EDGAR-based products as well as the technology needed to deliver real time data to their growing corporate customer base. We expect our customers to be pleased with the new capabilities that will be available to them from EDGAR Online."

"EDGAR Online welcomes the opportunity to serve IFN's former institutional customers," said Susan Strausberg, CEO of EDGAR Online, Inc. "Corporate business continues to be the growth driver at EDGAR Online."

## About Internet Financial Network

Internet Financial Network (IFN) was founded in 1994 to create superior business information products for the financial, legal and corporate marketplaces by harnessing the Internet and its enabling technologies. IFN is a private company principally owned by The Travelers Group, a leading provider of financial services and the parent company of Salomon Smith Barney and Primerica; by Data Broadcasting Corporation, the world's leading real-time market data provider to individual investors; and by The Trump Group. Internet Financial Network, Inc. and EntryPoint Incorporated recently announced that they have agreed to merge their existing business operations into a newly created entity, Infogate, Inc.

## About EDGAR Online, Inc.

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**June 19, 2000**

**EDGAR Online to Provide SEC Data Service to OneSource Information Services, Inc.**

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NORWALK, CT, June 19, 2000-- EDGAR Online, Inc. (NASDAQ: EDGR), the leading Internet provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission (SEC) data, today announced that it has entered into an agreement to provide OneSource Information Services, Inc. (NASDAQ: ONES) an extensive, customized package of SEC-derived information to enhance the OneSource Business Browser product line. The agreement represents continued growth in EDGAR Online's corporate sales, which accounted for almost 40% of this year's first quarter revenues and increased nearly fourfold from a year earlier.

OneSource Business Browser is a unique Web-based resource for ready-to-use business information. Business Browser delivers a wide range of corporate and industry information including company profiles, news, business and trade articles, analyst reports, executive profiles, industry intelligence and financial data. Business Browser provides textual and numerical information from different sources and integrates it with a consistent "look and feel," providing subscribers with unparalleled reliability and ease of use. Available through the Web using standard Internet browsers, Business Browser currently supports over 180,000 subscribers worldwide. EDGAR Online's data will be available immediately to all current Business Browser subscribers at no additional charge.

"Our clients demand accurate, timely information in formats that they can easily use and manipulate," said Daniel J. Schimmel, president and CEO of OneSource Information Services, Inc. "EDGAR Online helps us to fulfill this need, allowing clients to better analyze, compare and report public company data."

"With this agreement, OneSource joins a fast-growing list of partners that have recognized the value and quality of EDGAR Online," said Tom Vos, EDGAR Online, Inc.'s president and chief operating officer. "We know our service will be a valuable enhancement to Business Browser."

#### About OneSource

OneSource Information Services, Inc. provides Web-based business and financial information to professionals who need quick access to reliable corporate, industry and market intelligence. Its Business Browser product line provides sales, marketing, finance and management professionals with information such as news, trade press, executive biographies, analyst reports, company financial results, stock quotes and industry statistics. OneSource integrates business and financial information on over one million global public and private companies from more than 25 information providers drawing upon over 2,500 sources of content. With the acquisition of Corporate Technology Information Services, Inc. (CorpTech) in October 1999, OneSource broadens its role to include content development, focusing on private company information.

OneSource products and services are designed to address the information needs of leading professional and financial services firms, technology companies and other large organizations including American Express, British Telecommunications, Deloitte & Touche, KPMG, Oracle and SAP. OneSource is headquartered in Concord, MA, with offices across the United States and United Kingdom. Product information is available by calling 1-800-554-5501 in the U.S. and +44 (0) 1483 241212 in the U.K., and at [www.onesource.com](http://www.onesource.com)

#### About EDGAR Online, Inc.

EDGAR Online, Inc. (<http://www.edgar-online.com> and <http://www.freeedgar.com> and <http://www.ipo-express.com>) is the leading business-to-business and Web-based provider of business, financial and competitive

information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like IPO Express, a service that provides easy-to-use, detailed information on IPO filings, pricings and performance; EDGAR Online People (<http://www.edgar-online.com/people>), a service that allows users to conduct research on corporate executive and directors, and EDGAR Online Personal, which provides real-time alerts, as well as other personalized advanced searches of SEC data.

Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has over 65 business-to-business clients including Reuters (NASDAQ: RTRSY), Standard & Poor's (NYSE: MHP) and ILX Systems as well as strategic relationships with over 200 portal, business and financial information Web sites including Yahoo! (NASDAQ: YHOO), Lycos, Inc. (NASDAQ: LCOS), Infoseek's GO Network (NASDAQ: SEEK), CNET's SNAP (NASDAQ: CNET), Alta Vista, a CMGI Company (NASDAQ: CMGI), Infospace (NASDAQ: INSP), CBS MarketWatch (NASDAQ: MKTW), Business Wire, Track Data (NASDAQ: TRAC), MSNBC Microsoft (NASDAQ: MSFT) and NBC/General Electric (NYSE: GE). For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>

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OneSource and Business Browser are a registered trademark and service mark, respectively, of OneSource Information Services, Inc. CorpTech is a registered trademark of Corporate Technology Information Services, Inc.

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#### June 7, 2000 EDGAR Online Launches Redesigned Web Site

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Jay Sears  
Senior Vice President  
Strategy and Business Development

NORWALK, CT, June 7, 2000-- EDGAR Online, Inc. (NASDAQ: EDGR), the leading Internet provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission (SEC) data, today announced that it has redesigned its <http://www.edgar-online.com> Web site, adding new continuously updating content, improving navigation and making many of its most popular features easier to use.

"We are very proud of our new site design," said Susan Strausberg CEO of EDGAR Online, Inc. "Our Web sites are the most heavily used sources of SEC related information on the Internet, and we have incorporated the suggestions of many of our most frequent users in this site redesign. To encourage people to try our new services, we are offering a two-week free trial of our premium service." The trial is available at <http://www.edgar-online.com>.

New features include:

- Live content on the home page, continuously updated throughout the day with the latest SEC news and filings and grouped together by filing categories including Institutional and Individual Ownership, Mergers and Acquisitions, and Insider Trading.
- New Navigation that displays Search tools at a glance on every page, including Full Text Search and one-click access into IPO Headlines, Filings, and Pricings on IPO Express.
- "This Week's Filings," a new section that organizes SEC news of the week by critical topics like Material Unscheduled Events, including Bankruptcies, Acquisition/Disposition of Assets, and Management Changes.

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Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has over 50 business-to-business clients including Reuters (NASDAQ: RTRSY), Standard & Poor's (NYSE: MHP) and ILX Systems as well as strategic relationships with over 200 portal, business and financial information Web sites including Yahoo! (NASDAQ: YHOO), Lycos, Inc. (NASDAQ: LCOS), Infoseek's GO Network (NASDAQ: SEEK), CNET's SNAP (NASDAQ: CNET), Alta Vista, a CMGI Company (NASDAQ: CMGI), Infospace (NASDAQ: INSP), CBS MarketWatch (NASDAQ: MKTW), SmartMoney.com (NYSE: DJ), Business Wire, Track Data (NASDAQ: TRAC), and MSNBC Microsoft (NASDAQ: MSFT) and NBC/General Electric (NYSE: GE). For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

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**May 1, 2000**  
**FreeEDGAR Introduces Free Full Text Search Tool**

**April 26, 2000**  
**EDGAR Online Introduces Full Text Search**

**NOTE: We have both of this on file already.**

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**April 6, 2000**  
**EDGAR Online Joins Global Initiative In Launching XBRL, a New Specification that Exchanges**  
**Financial Reports Across All Technologies, Including the Internet**  
**United Effort Brings Financial, Accounting, Software and Governmental Communities Together**  
**First Standardized Specification Developed for Financial Statements**

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NORWALK, CT, April 6, 2000 - EDGAR Online, Inc. (NASDAQ: EDGR) announced today that through its membership on the international XBRL (eXtensible Business Reporting Language) Project Committee, it is helping to develop and launch XBRL. XBRL, formerly code named XFRML, is a free, new XML-based specification that uses accepted financial reporting standards and practices to exchange financial reports across

all software and technologies, including the Internet. Members of the XBRL Project Committee represent the financial, accounting, software and governmental communities from around the world. XBRL for financial statements, developed by the Committee as the first product in a future family of XBRL-based products, is currently under review for comments by the accounting profession and is anticipated to reach the market in July 2000. XBRL streamlines the financial information supply chain that includes public and private companies, the accounting profession, data aggregators, the investment community and all other users of financial statements.

"EDGAR Online, Inc., as one of the contributors to the financial information supply chain, supports the global adoption of XBRL because it greatly benefits all users of financial information," said Tom Vos, president of EDGAR Online, Inc. XBRL solves two significant problems for users and preparers of financial statements by providing efficient preparation and reliable extraction of financial data across all technology formats, including the Internet. EDGAR Online will be utilizing XBRL to give our customers greatly enhanced access to selected financial data from Securities & Exchange Commission documents in a variety of formats that are directly useful in professional investors' valuation models and other specialized analytic and investment tools."

XBRL offers several key benefits: technology independence, full interoperability, efficient preparation of financial statements and reliable extraction of financial information. Information is entered only once, allowing that same information to be rendered in any form, such as a printed financial statement, an HTML document for the company's Web site, an EDGAR filing document with the SEC, a raw XML file or other specialized reporting formats such as credit reports or loan documents.

More than 80% of major US public companies provide some type of financial disclosure on the Internet. Investors and users of the Internet need accurate and reliable financial information that can be delivered promptly to help them make informed financial decisions. XBRL meets these needs and is particularly important in delivering financial information via the Internet, including at a company's Web site. XBRL leverages efficiencies of Internet as today's primary source of financial information by making Web browser searches more accurate and relevant for all users of financial information.

"EDGAR Online intends to leverage XBRL to create additional SEC-based product for our retail, Internet and business-to-business channels," said Tom Vos. "We anticipate this new XML-based specification will speed product development cycles and allow EDGAR Online to develop even more powerful products for its customers."

"XBRL allows companies, analysts, investors and other audiences to make better informed financial and management decisions and to leverage the capabilities of the Internet to facilitate the exchange of financial information," said Barry Melancon, President and CEO of the American Institute of Certified Public Accountants. "XBRL does not change existing accounting standards, it merely provides an efficient and reliable means for the exchange. Based upon standardized, underlying data tags, XBRL does not require a company to disclose any additional information beyond that which they normally disclose in their current financial statements."

EDGAR Online, Inc. joins over 30 other companies and organizations on the XBRL Project Committee. Participants include: American Institute of Certified Public Accountants; Arthur Andersen LLP; Best Software, Inc. (NASDAQ: BEST) CaseWare International; Canadian Institute of Chartered Accountants; Cohen Computer Consulting; Crowe, Chizek and Company, LLP; Deloitte & Touche, LLP; e-content, a division of Interleaf, Inc. (NASDAQ: LEAF); Epicor Software Corporation (NASDAQ: EPIC); Ernst & Young, LLP; FRx Software Corporation; Grant Thornton LLP; Great Plains Software, Inc. (NASDAQ: GPSI); Hyperion Solutions Corp. (HYSL); International Accounting Standards Committee; IBM (NYSE: IBM); Institute of Chartered Accountants in Australia; Institute of Chartered Accountants in England and Wales; Institute of Management Accountants; KPMG, LLP; Lawson Software; Microsoft Corporation (NASDAQ: MSFT); Morgan Stanley Dean Witter (NYSE: MWD); Navision Software a.s. (NASDAQ: NVIOF); Oracle Corporation (NASDAQ: ORCL);

PPA GmbH; PricewaterhouseCoopers, LLP; Reuters Group PLC (NASDAQ: RTRSY); Sage Software; SAP AG (NYSE: SAP); Standard & Poor's; Teksys RD and The Woodburn Group.

For more information about XBRL, please visit <http://www.XBRL.org>.

About EDGAR Online, Inc.

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Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has over 50 business-to-business clients including Reuters (NASDAQ: RTRSY), Standard & Poor's (NYSE: MHP), Perfect Information and ILX Systems as well as strategic relationships with over 175 portal, business and financial information Web sites including Yahoo! (NASDAQ: YHOO), Lycos, Inc. (NASDAQ: LCOS), Infoseek's GO Network (NASDAQ: SEEK), CNET's SNAP (NASDAQ: CNET), Alta Vista, a CMGI Company (NASDAQ: CMGI), PointCast, Infospace (NASDAQ: INSP), CBS MarketWatch (NASDAQ: MKTW), SmartMoney.com (NYSE: DJ), Business Wire, Track Data (NASDAQ: TRAC), and MSNBC Microsoft (NASDAQ: MSFT) and NBC/General Electric (NYSE: GE). For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

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**March 13, 2000**  
**EDGAR Online Offers Wireless Access to IPO Express**  
**Wireless Strategy in Place**

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SOUTH NORWALK, CT, March 13, 2000 - EDGAR Online, Inc. (NASDAQ: EDGR) announced today the launch of IPO Express2go, a wireless version of its popular IPO Express Web and email service. The service is designed for wireless access of IPO market data via 3com's (Nasdaq: COMS) Palm Computing unit (Nasdaq: Palm) Palm VII and Palm.net service. The company also announced availability of an IPO Express AvantGo channel for users of other personal digital assistants (PDAs) including Palm III, Palm V and Windows CE devices.

"Wireless is a key development area for us in 2000 as we continue to penetrate to B2B and Web markets in this new age of pervasive computing," said Susan Strausberg, CEO of EDGAR Online, Inc. said: "We will use wireless to bring advanced EDGAR-based Web and alerting services to our customers anytime, anywhere."

#### IPO Express for Palm VIIs, AvantGo

The IPO Express2go application for the Palm VII device makes available real-time breaking news on the IPO market and allows for interactive searching and queries to and from the IPO Express Web site. This is the first such IPO data service available to Palm VII users. The AvantGo channel also makes real-time breaking news on the IPO market to users of other personal digital assistants (PDAs) including Palm III, Palm V and Windows CE devices. Both applications are available for free download at <http://www.ipo-express.com>.

#### The IPO Express Service

IPO Express(<http://www.ipo-express.com>) is a resource for breaking IPO news, IPO calendars and in-depth searching of a vast database of 3,400+ IPO companies and 1,500+ underwriters. IPO Express gives investors and other IPO stakeholders easier and faster access to more information about past, present and future IPOs. IPO Express offers a one-stop service with dynamic live updates.

#### EDGAR Online

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**February 15, 2000**  
**EDGAR Online to Develop Proprietary News Product for ILX Systems, Inc.**  
**Data Mining Technology Applied to Corporate Filings**

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NORWALK, CT, February 15, 2000 - EDGAR Online, Inc. (NASDAQ: EDGR) has signed a multi-year agreement to provide a proprietary news product to ILX Systems, a subsidiary of Thomson Financial. The news product will be made available via ILX's 135,000 terminals worldwide. The new service, which uses EDGAR Online's proprietary data mining technologies, will allow ILX to provide additional news coverage on the over 15,000 public companies in the EDGAR Online database. ILX is the largest provider of financial information systems to securities firms, banks and asset managers in the USA. EDGAR Online, Inc. is the leading Internet provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission (SEC) data.

"Our collaborative effort with EDGAR Online will allow us to provide additional coverage not just on the Fortune 1,000 but on mid- and smaller size companies where there is typically a dearth of coverage," said Bernie Weinstein, president of ILX Systems. "EDGAR Online has developed effective data mining methods to harvest actionable business news from these public company documents." ILX intends to release the product later this quarter.

"Our agreement with ILX is one more step in expanding our off-Web distribution," said Susan Strausberg, CEO of EDGAR Online, Inc. "This contract holds the potential of increasing by a factor of five the number of people who pay a subscription fee for our services. This is a validation of our business-to-business sales channel, which is placing customized EDGAR Online content on corporate Intranets and other proprietary data network", said Strausberg. The company already has multi-year agreements in place with Reuters (Nasdaq: RTRSY) and Standard and Poor's (NYSE: MHP). EDGAR Online, Inc. also distributes its data via three retail Web sites and over 175 portal, financial, brokerage and other vertical market Web sites.

#### About ILX

ILX is the world's fastest growing market data company. Founded in 1988, ILX is the largest provider of financial information systems to securities firms, banks and asset managers. The company's extraordinary growth is testimony to the quality of ILX's product and the excellence of our service and support. The company's management team has its roots in the securities industry. ILX is comprised of highly talented professionals who not only understand technology. They know the business. The design of the ILX Workstation reflects this experience. ILX designed its dream machine the way our employees, as users, would have wanted to buy it. It is easy to use, very reliable and flexible.

#### About EDGAR Online

EDGAR Online, Inc. (<http://www.edgar-online.com> and <http://www.freedgar.com> and <http://www.ipo-express.com>) is a Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like IPO Express, a service that provides easy-to-use, detailed information on IPO filings, pricings and performance, EDGAR Online People (<http://www.edgar-online.com/people>), a service that allows users to conduct research on corporate executive and directors, and EDGAR Online Personal, which provides real-time Web and email based alerting, and other personalized advanced searches of SEC data.

Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has strategic relationships with portal, business and financial information Web sites and other data providers including Reuters (Nasdaq: RTRSY), Yahoo! (Nasdaq: YHOO), Lycos, Inc. (Nasdaq: LCOS), Infoseek's GO Network (Nasdaq: SEEK), Standard & Poor's (NYSE: MHP), CNET's SNAP (Nasdaq: CNET), Alta Vista, a CMGI Company (Nasdaq: CMGI), PointCast, Infospace (Nasdaq: INSP), CBS MarketWatch (Nasdaq: MKTW), SmartMoney.com (NYSE: DJ), CMPnet's TechInvestor, Hoover's (Nasdaq: HOOV), Quote.com, Business Wire, Track Data (Nasdaq: TRAC), MSNBC Microsoft (Nasdaq: MSFT) and NBC/General Electric (NYSE: GE) Big Charts. For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

"Forward-looking statements" as defined in the Private Securities Litigation Reform Act of 1995 may be included in this news release. These statements relate to future events or our future financial performance. These statements are only predictions and may differ materially from actual future events or results. EDGAR Online, Inc. disclaims any intention or obligation to revise any forward-looking statements whether as a result of new information, future developments or otherwise. Please refer to the documents filed by EDGAR Online, Inc. with the Securities and Exchange Commission, which identify important risk factors that could cause actual results to differ from those contained in forward-looking statements, including, but not limited to risks associated with changes in general economic and business conditions (including in the online business and financial information industry), actions of our competitors, the extent to which we are able to develop new services and markets for our services, the time and expense involved in such development activities, the level of demand and market acceptance of our services, changes in our business strategies.

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**February 8, 2000**  
**More Powerful IPO Express Service Introduced by EDGAR Online**  
**Expanded Tools for Financial Professionals and Investors**

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NORWALK, CT, February 8, 2000 - EDGAR Online, Inc. (NASDAQ: EDGR) today introduced a new version of the company's IPO Express service (<http://www.ipo-express.com>), a resource for breaking IPO news, IPO calendars and in-depth searching of the company's vast database of 3,400+ IPO companies and 1,500+ underwriters. The new IPO Express gives investors and other IPO stakeholders easier and faster access to more information about past, present and future IPOs. IPO Express offers a one-stop service with dynamic live updates.

Some useful features of IPO Express include:

IPO Headlines - breaking news on the IPO market with updates throughout the day.

IPO SuperSearch - in addition to the normal IPO Express search facility, "Supersearch" facilitates thorough searching of past, current and upcoming IPOs by additional criteria including executive officers, auditors, legal counsel, and principal shareholders.

Lockup and Quiet Period Expiration Dates - comprehensive lockup and quiet period dates to follow post-IPO performance.

Company Profiles - detailed data on each IPO company including offering details, management bios, shareholder listings and underwriter detail.

Underwriter Database - data by underwriter on IPOs in registration, public, withdrawn and postponed.

International IPOs - incorporates data on IPOs in countries from Argentina to Venezuela.

IPO Performance - indexes the change in stock price of IPOs over time periods from 30 days to 1 year.

"IPO Express allows us to deliver an unparalleled IPO resource with its depth and breadth of IPO information," said Susan Strausberg, CEO of EDGAR Online. "Investors can use the search capabilities of IPO Express to get complete information on every detail of an IPO from management team to legal counsel. With our expanded, easier to use service, IPO Express becomes a must-have resource for every stakeholder in the IPO marketplace." For a free trial of the IPO Express email alert service, go to <http://www.ipo-express.com> and enter your email address.

About EDGAR Online, Inc.

EDGAR Online, Inc. (<http://www.edgar-online.com> and <http://www.freedgar.com> and <http://www.ipo-express.com>) is a Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like IPO Express,

a service that provides easy-to-use, detailed information on IPO filings, pricings and performance, EDGAR OnlinePeople (<http://www.edgar-online.com/people>), a service that allows users to conduct research on corporate executive and directors, and EDGAR Online Personal , which provides real-time Web and email based alerting, and other personalized advanced searches of SEC data.

Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has strategic relationships with portal, business and financial information Web sites and other data providers including Reuters (Nasdaq: RTRSY), Yahoo! (Nasdaq: YHOO), Lycos, Inc. (Nasdaq: LCOS), Infoseek's GO Network (Nasdaq: SEEK), Standard & Poor's (NYSE: MHP), CNET's SNAP (Nasdaq: CNET), Alta Vista, a CMGI Company (Nasdaq: CMGI), PointCast, Infospace (Nasdaq: INSP), CBS MarketWatch (Nasdaq: MKTW), SmartMoney.com (NYSE: DJ), CMPnet's TechInvestor, Hoover's (Nasdaq: HOOV), Quote.com, Business Wire, Track Data (Nasdaq: TRAC), MSNBC Microsoft (Nasdaq: MSFT) and NBC/General Electric (NYSE: GE) Big Charts. For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

#### Legal Notice Regarding Forward-Looking Statements:

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**December 1, 1999**

### **EDGAR Online Introduces Natural Language Business Plan Analysis & Development Tool IPO Profiler uses Plain English Queries**

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NORWALK, CT, December 1, 1999 - EDGAR Online, Inc. (NASDAQ: EDGR) today introduced IPO Profiler, a business plan analysis and development tool that has been added to EDGAR Online's IPO Express service ([www.ipo-express.com](http://www.ipo-express.com)). IPO Profiler combines EDGAR Online's IPO Express database with DR-LINK natural

language search technology, a high-powered information retrieval system developed by Manning & Napier Information Services (MNIS). This technology is currently in use at the US Patent and Trademark office and in the competitive information departments of many major US corporations and was developed with the aid of DARPA, the defense department group responsible for the original development of the Internet.

Available for free to all EDGAR Online registered users, IPO Profiler permits individuals who write and analyze business plans to instantly compare the plan to companies that previously filed for IPOs.

Uses for IPO Profiler include:

Venture Capitalists researching a potential investment can analyze the competitive landscape by reviewing business models of similar companies.

Investment Bankers underwriting an IPO can search for comparable companies.

Entrepreneurs creating a business plan can investigate the business strategies, terminologies and financials of other like companies.

Securities Lawyers drafting IPO documents can search for precedent setting language in past filings.

Individual Investors considering investing in an IPO can research similar companies that have gone public.

“Our IPO Profiler tool combines true natural language search with the strength of our database to deliver a powerful tool for entrepreneurs, investment bankers, venture capitalists, securities lawyers and others,” said Susan Strausberg, CEO of EDGAR Online. “The possibilities for extracting business intelligence from SEC documents are limitless. By combining MNIS’ sophisticated DR-LINK technology with our IPO Express database, EDGAR Online has developed yet another service that delivers critical information to business professionals simply and cost-effectively.”

“We are pleased to have collaborated with EDGAR Online to develop a valuable business tool that uses our natural language search technology,” said Mike Colson, MNIS CEO. “We can’t think of a finer way to launch DR-LINK on the Internet than this exciting application with IPO Profiler.”

The new tool is simple and easy to use:

- 1) Once in IPO Express, click on the green IPO Profiler button
- 2) Type a public company ticker symbol in the “Quick Profile” box - the company’s business description will automatically be extracted into the IPO Profiler search box
- 3) Click “Search” to receive a list of S-1 filings of all comparable companies, in order of relevance

Individuals can also skip the “Quick Profile” section and enter any description directly in the IPO Profiler search box. Queries can be as simple as a few words or as complex as an entire passage from an existing document. IPO Profiler’s exclusive natural language search technology allows for complex searching of EDGAR Online’s database of over 4,000 IPO filings.

For a free trial of EDGAR Online, including IPO Express and the new IPO Profiler tool, go to <http://www.edgar-online.com/ipoprofiler>.

About EDGAR Online

EDGAR Online, Inc. (<http://www.edgar-online.com> and <http://www.freeedgar.com> and <http://www.ipo-express.com>) is a Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like IPO Express, a service that provides easy-to-use, detailed information on IPO filings, pricings and performance, EDGAR Online People (<http://www.edgar-online.com/people>), a service that allows users to conduct research on corporate executive and directors, and EDGAR Online Personal, which provides real-time Web and email based alerting, and other personalized advanced searches of SEC data.

Based in Norwalk, Connecticut, with offices in Kirkland, Washington and New York City, EDGAR Online, Inc. has strategic relationships with portal, business and financial information Web sites including Yahoo! (Nasdaq: YHOO), Lycos, Inc. (Nasdaq: LCOS), Infoseek's GO Network (Nasdaq: SEEK), CNET's SNAP (Nasdaq: CNET), PointCast, Infospace (Nasdaq: INSP), CBS MarketWatch (Nasdaq: MKTW), SmartMoney.com (NYSE: DJ), CMPnet's TechInvestor, Hoover's (Nasdaq: HOOV), Motleyfool.com, Quote.com, Business Wire, Track Data (Nasdaq: TRAC), MSNBC Microsoft (Nasdaq: MSFT) and NBC/General Electric (NYSE: GE), Big Charts, and Raging Bull. For more information, please visit the Company's Web site at <http://www.edgar-online.com/news>.

#### About DR-LINK

Developed by MNIS and its wholly owned R&D subsidiary, TextWise (a spin-off of Syracuse University), DR-LINK is the world's first intelligent online system that uses true Natural Language Processing (NLP) or plain English within its search queries.

This NLP system lets you ask questions in everyday language, and then returns context-rich documents that answer the implied nature of your question-even a complex one. Unlike Boolean and statistically based systems, DR-LINK looks beyond exact word matches and frequency of occurrences by understanding the vital underlying meaning of text.

#### About Manning & Napier Information Services (MNIS)

MNIS was founded in 1995 to deliver advanced information technologies to business, financial and government researchers. DR-LINK(TM) and MAPIT(TM), powerful data mining and analysis tools, are currently in use with the U.S. government intelligence community, and at major corporations, universities, intellectual property law firms, and patent offices around the world.

MNIS is affiliated with Manning & Napier Advisors (MNA), a leading Rochester-based investment management firm with more than \$8 billion in assets under management. MNIS is headquartered in Rochester, NY, with additional offices in Buffalo and Syracuse, NY, Chicago, IL, Philadelphia, PA, Tampa Bay, FL, Washington, D.C., and London, England.

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**July 26, 1999**  
**EDGAR Online, Inc. to Acquire FreeEDGAR.com**  
**Move Solidifies Web Leadership Position**

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NORWALK, CT, July 26, 1999 - EDGAR Online, Inc. (NASDAQ: EDGR) announced today it has reached an agreement in principle to acquire the privately held company FreeEDGAR.com, a.k.a. Partes Corporation, for approximately 950,000 shares of EDGAR Online stock. The move solidifies the position of EDGAR Online (<http://www.edgar-online.com>) as the leading Internet provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission (SEC) data. The combined entity will also be the primary source of EDGAR-based information to most of the high-traffic financial and business Web sites on the Internet.

Under the agreement announced today, EDGAR Online will purchase all of the stock of FreeEDGAR.com, owner of the FreeEDGAR Web site (<http://www.freeedgar.com>) and a supplier of value-added EDGAR Data Services to corporations.

"The addition of the FreeEDGAR site to our business will increase our traffic and user base by more than 50%", said Susan Strausberg, CEO of EDGAR Online. "In addition, combining the FreeEDGAR efforts with our own will strengthen the suite of value-added services we are able to offer to our Internet subscribers and will accelerate our sale of value-added EDGAR data to corporations for use on their intranets and extranets."

"The merger of our business into EDGAR Online gives us the resources to better market our value-added EDGAR Data Service", said Mark Schnitzer, CEO of FreeEDGAR. "In addition, we will have the opportunity to introduce premium products currently offered by EDGAR Online to our customer base."

The transaction is expected to close within sixty (60) days.

#### About EDGAR Online

EDGAR Online (<http://www.edgar-online.com>) is a Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like IPO Express, a service that provides easy-to-use, detailed information on IPO filings, pricings and performance, EDGAR Online People (<http://www.edgar-online.com/people>), a service that allows users to conduct research on corporate executive and directors, EDGAR Online Personal, which provides real-time Web and email based alerting, and other personalized advanced searches of SEC data.

EDGAR Online, Inc. has strategic relationships with portal, business and financial information Web sites including Yahoo! (Nasdaq: YHOO), Infoseek's GO Network (Nasdaq: SEEK), CNET's SNAP (Nasdaq: CNET), PointCast, Infospace (Nasdaq: INSP), CBS MarketWatch (Nasdaq: MKTW), SmartMoney.com (NYSE: DJ), CMPnet's TechInvestor (Nasdaq: CMPX), Hoover's, Quote.com, Business Wire, Track Data (Nasdaq: TRAC), MSNBC Microsoft (Nasdaq: MSFT) and NBC/General Electric (NYSE: GE), Big Charts, and Raging Bull, a CMGI company (Nasdaq: CMGI). EDGAR Online is based in Norwalk, CT. To read additional background and



news on EDGAR Online, please go to <http://www.edgar-online.com/news>.

#### About FreeEDGAR.com

Founded in 1993 as Partes Corporation, FreeEDGAR.com develops products that automatically structure and deliver financial data to analysts and investors by transforming flat text and HTML formats into interactive data analysis and interpretation tools. The company's web site at <http://www.freeedgar.com> provides access to indexed EDGAR filings, a watch list service with e-mail alerts for targeted company filings, and instant financial table downloads into Excel spreadsheets. FreeEDGAR's EDGAR Data Service, available on a contract basis to commercial data providers and application developers, adds seamless integration capabilities via APIs and XML support. FreeEDGAR has offices in New York City and Kirkland, Washington.

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**June 21, 1999**  
**High-Speed, On-Demand Printing for SEC Documents Available from EDGAR Online**  
**EDGAR Online Goes Back to the Future**

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NORWALK, CT, June 21, 1999; EDGAR Online (Nasdaq: EDGR), a provider of business, financial and competitive information derived from SEC data, today announced its customers will be able to order hard copy SEC documents on-demand via its Web site, <http://www.edgar-online.com>.

SEC documents requested by EDGAR Online customers will be printed and bound on-demand at one of five high-speed printing facilities around the United States. Documents ordered up to 6:00pm EST can shipped via overnight mail for delivery on the next business day.

"For large documents and/or for large working groups, some EDGAR Online customers want the convenience of hard copy," said Susan Strausberg, CEO of EDGAR Online. "After our customers use our world-class EDGAR Online Web site to search and find what they need, they have the option of viewing documents on screen with navigation jumps, downloading documents into Microsoft Word or Excel, or ordering hard copies for delivery the next business morning."

All filings are available through EDGAR Online hard copy delivery at a fixed rate of \$19.95 for the first 100 pages and \$6.00 for each additional 100 pages. Hard copies are double-sided and perfect bound.

#### About EDGAR Online

EDGAR Online (<http://www.edgar-online.com>) is a Web-based provider of business, financial and competitive information derived from U.S. Securities and Exchange Commission data. Additional services include value-added functions like EDGAR Online People (<http://www.edgar-online.com/people>), a service that allows users to search the SEC filings by an executive's name, EDGAR Online Personal, which provides real-time Web and email based alerting, and other personalized advanced searches of SEC data.

EDGAR Online, Inc. has strategic relationships with portal, business and financial information Web sites including Yahoo! (Nasdaq: YHOO), Infoseek's GO Network (Nasdaq: SEEK), CNET's SNAP (Nasdaq: CNET), PointCast, Infospace (Nasdaq: INSP), CBS MarketWatch (Nasdaq: MKTW), SmartMoney.com (NYSE: DJ), CMPnet's TechInvestor (Nasdaq: CMPX), Hoover's, Quote.com, Business Wire, Track Data (Nasdaq: TRAC), MSNBC Microsoft (Nasdaq: MSFT) and NBC/General Electric (NYSE: GE), Big Charts, and Raging Bull, a CMGI company (Nasdaq: CMGI).

EDGAR Online is based in Norwalk, CT. To read additional background and news on EDGAR Online, please go to <http://www.edgar-online.com/news>.

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February 18, 1999

**WELCOME! YOU'VE GOT SEC FILINGS!**

**Advanced Web and Email Based Alerting Offered From EDGAR Online**

SOUTH NORWALK, CT, Feb. 18, 1999 -- Taking a page from My Yahoo!, Wall Street analysts, corporate attorneys and other knowledge workers with an Internet connection can now access sophisticated tracking of U.S. Securities & Exchange Commission data with a new service from EDGAR Online.

The new service, called EDGAR Online Personal ( <http://www.edgar-online.com/personal>), permits tracking using a myriad of variables, including geography, industry group and filing category. Tracking is available in real time via a personalized Web page and email alerts.

For instance:

- \* A motion pictures industry executive recruiter in Los Angeles can track Proxy Statement filings (DEF 14As) in California in the motion pictures industry.

- \* A credit analyst working for towel and linen supplier can track Unscheduled filings covering material events (8-Ks) on all their retail industry clients.

- \* A corporate attorney in the finance business can track Merger & Acquisition filings (S-4s) in the finance sector.

- \* A journalist for a regional business magazine in New York can track IPO-related filings in New York State only.

"EDGAR Online Personal helps our customers manage the sea of SEC data by sorting the needle from the haystack," said Susan Strausberg, CEO of EDGAR Online Inc. "This sophisticated, real-time Web and Email based alerting continues to make EDGAR Online the cost-effective and clear choice for knowledge workers."

EDGAR Online Personal allows Web and Email based alerting by ten variables including CIK code, company, filing type, filing category, geography, industry group, and ticker symbol. Easy access is also available to other services including EDGAR Online People, stock quotes, and other supporting company research. The service costs \$9.95/month and a free trial is available by going to <http://www.edgar-online.com/trial.asp?src=eolpt>.

#### About EDGAR Online

EDGAR Online (<http://www.edgar-online.com>) is the leading commercial provider of free and premium access to U.S. Securities & Exchange Commission (SEC) filings and related business intelligence on the Internet. Additional services include value-added functions like EDGAR Online People (<http://www.edgar-online.com/people>), a new service that allows users to search the SEC filings by an executive's name, EDGAR Online Personal, which provides real-time Web and email based alerting, and other advanced searches of SEC data.

EDGAR Online already provides its business intelligence services to dozens of leading Web sites including Yahoo! (NASDAQ: YHOO), Pointcast, MSNBC Microsoft (NASDAQ: MSFT) and NBC/General Electric (NYSE: GE), CNET's Snap! Online (NASDAQ: CNWK), CMPnet's TechInvestor (NASDAQ: CMPX), Money.com/Time Warner (NYSE: TWX), Market Guide (NASDAQ: MARG), TheStreet.com, NewsEdge (NASDAQ: NEWZ), USA Today, Zacks, and Quote.com. EDGAR Online, Inc. is based in Norwalk, CT. To read additional background and news on EDGAR Online, please go to <http://www.edgar-online.com/news>.

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February 23, 1998

**EDGAR Online ([www.edgar-online.com](http://www.edgar-online.com)) Powered By Gateway  
Sponsorship Makes Gateway Exclusive Hardware Provider To Leading Financial Web Site**

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NORWALK, Conn., February 23, 1998. EDGAR Online ([www.edgar-online.com](http://www.edgar-online.com)) today announced that Gateway 2000, Inc. (NYSE: GTW), a leading global direct marketer of PC products, will be the exclusive computer hardware provider for its World Wide Web site. EDGAR Online ([www.edgar-online.com](http://www.edgar-online.com)) is the leading commercial provider of SEC filings and related business intelligence services on the Internet.

"EDGAR Online is the leader in its category," said James A. Taylor, Senior Vice President of Global Marketing for Gateway 2000. "Our relationship pact allows Gateway 2000 superior brand positioning and an association with a leading financial Web site that demands performance from its computer hardware every day."

"Gateway 2000 clearly recognized the value of EDGAR Online as a forum to build its brand," said Susan Strausberg, co-CEO of Cybernet Data Systems, Inc., the parent company of EDGAR Online. "We are thrilled to have such a top-notch partner like Gateway 2000."

As part of the agreement, EDGAR Online will display a "Powered By Gateway" button on its site and will supply Gateway 2000 with a Web page for its site displaying the company's SEC filings using the recently released EDGAR Online Investor Relations service. Gateway 2000 will supply EDGAR Online with hardware to run its Web site. Full terms of the agreement were not disclosed.

#### About EDGAR Online Investor Relations

EDGAR Online Investor Relations organizes and centralizes a public company's SEC filings for presentation on its Web site. Filings are linked from the company's site and presented together in one clear table format. Each table is broken down by filing date, form type, company name and a more information section.

#### About EDGAR Online

EDGAR Online (<http://www.edgar-online.com>), is the leading commercial provider of SEC filings and related business intelligence services on the Internet. EDGAR Online allows users to extract and manage the valuable information found in the SEC filings.

EDGAR Online provides SEC filings and services to dozens of leading Web sites including Yahoo! (NASDAQ: YHOO), Pointcast, Hoovers, Inc., MSNBC (Microsoft: NASDAQ: MSFT, NBC/General Electric: NYSE: GE), CMPnet's TechInvestor (NASDAQ: CMPX), Time Inc.'s Money.com (NYSE: TWX), Company Link

(Individual, Inc.: NASDAQ: INDV), The Street.com, USA Today, Quote.com, and CNET's SNAP! Online (NASDAQ: CNWK). EDGAR Online is a service of Cybernet Data Systems, Inc. (CDS). In addition to EDGAR Online, CDS is a co-owner, with Hoover's, Inc., of IPO Central (<http://www.ipocentral.com>).

## About Gateway 2000

Gateway 2000 (NYSE: GTW), a Fortune 500 company founded in 1985, is a leading global direct marketer of PC products. The company, headquartered in North Sioux City, South Dakota, has manufacturing facilities in the United States, Ireland and Malaysia and employs over 13,000 people worldwide. Gateway products and services consistently win top awards from leading industry publications. For further information, visit Gateway at [www.gateway.com](http://www.gateway.com).

\*\*\*\*\*  
\*\*\*\*

**July 21, 1997**

## **Real-Time SEC Filings Now Viewable in Microsoft Word, According to Cybernet Data Systems**

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NORWALK, Conn., July 21 -- Previously found only in old dusty public record rooms or at great expense, SEC filings are now available, up-to- the-second, as Microsoft Word documents to anyone with an Internet connection. "We provide real-time access to SEC filings that look and feel like the originals with the added benefit of the full functionality of the world's most popular word-processing program. It's a great improvement over the current Web HTML form," said Susan Strausberg, co-CEO of Cybernet Data Systems, creators of EDGAR Online (<http://www.edgar-online.com>).

EDGAR Online, the highest traffic Web-based provider of SEC filings and services, can be reached from dozens of leading Web sites including Yahoo!, Pointcast, and Hoover's Inc.

Additional improvements to EDGAR Online Release 2.0 include the ability to view and download the key Financial Data Schedule (FDS) extracted from a company's 10Q or 10K with the touch of a button -- without having to download the entire document. Other improvements include the addition of information from new research providers such as Big Charts, Zacks and Wall Street Research Net.

"Document usability, instant analysis tools, and in-depth research is what EDGAR Online customers want," said Strausberg. "EDGAR Online Release 2.0 and subsequent releases under development will allow our users to get immediate access to the data in SEC filings most relevant to them, in a fully usable form."

## Specific Enhancements

Release 2.0 enhancements include:

- \* View and download raw (HTML) SEC documents directly into Microsoft Word or another word processing program via Rich Text Format. This allows for pagination and easy document editing.
- \* View and download a company's Financial Data Schedule (FDS) from a company's 10K or 10Q without having to download the entire document. FDSs are standard across 10Ks and 10Qs and allow for easy comparison of key financial data. Users can already extract the Management's Discussion from 10Ks and 10Qs by using the Glimpse product.
- \* Improved "packaged" and advanced searching. Users can search by ten different variables including category of filing, industry, city and state. (Example: search for IPO filings by computer hardware companies in Menlo Park, California) Alert, Zacks Investment Research, Wall Street Research Net, and Company Link.
- \* New additional research from PC Quote, Big Charts, Hoover's, Inc., News Alert, Zacks Investment Research, Wall Street Research Net, and Company Link.

EDGAR Online Release 2.0 enhancements are free to visitors except for the Microsoft Word option, which is available to paid subscribers only. Paid subscribers also have access to real-time SEC filings, 144 filings, and to the Watchlist Alert email (a real-time notification service). Paid subscriptions begin at \$9.95/month

#### EDGAR Online

EDGAR Online (<http://www.edgar-online.com>) is the leading service on the Web offering real-time SEC filings along with additional tools that allow both professional and individual investors the ability to extract and manage the valuable information found in the SEC's EDGAR (Electronic Data Gathering Analysis and Retrieval) filings.

EDGAR Online provides SEC filings and services to dozens of leading Web sites including Yahoo! (Nasdaq:YHOO), Pointcast, Hoover's Inc., CompanyLink (Individual, Inc.: (Nasdaq:INDV)), The Street, USA Today, Market Guide (Nasdaq:MARG), and Planet Direct (Nasdaq:CMGI - news).

EDGAR Online is a service of Cybernet Data Systems, Inc. (CDS). CDS's major investor and strategic partner is Bowne & Co., Inc. (AMEX:BNE), the world's largest financial printer. In addition to EDGAR Online, CDS is a co-owner, with Hoover's Inc., of IPO Central (<http://www.ipocentral.com>).

Contact: Jay Sears, Vice President, Marketing & Business Development of EDGAR Online, 203-852-5666, or [sears@edgar-online.com](mailto:sears@edgar-online.com)

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Information Specialist- [Gene O'Neill](#)

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*Citations from INFORM: NF1*

1. NDN 092-0177-7112-0: **Unscrambling EDGAR**

*Citations from NEWSLETTERS: NWL*

2. NDN 102-0267-6189-0: **BakBone software announces U.S. Securities and Exchange Commission registration complete.**

*Citations from PREDICASTS - NEW PRODUCT ANNOUNCEMENTS: NP1*

3. NDN 125-0389-9708-0: **Sports Group International Inc. Files Form 10-SB with the U.S. Securities and Exchange Commission.**

4. NDN 125-0389-8477-1: **Humatech Inc. Announces Filing of Form 10-SB With U.S. Securities and Exchange Commission.**

5. NDN 125-0360-8976-6: **FastComm Reports Second Fiscal Quarter Results.**

*Citations from BUSINESS DATELINE: BDL*

6. NDN 211-0151-4932-9: **BakBone Software Enters Into OEM Agreement With Sony Electronics Inc.**

7. NDN 211-0151-4349-2: **The evolution of an investor**

8. NDN 214-0474-2156-1: **BakBone Software Announces Fourth Quarter Fiscal 2002 Financial Results.**
9. NDN 214-0443-7216-2: **FASTCOMM Communications Corporation Announces Private Financing Agreement for \$3 Million.**
10. NDN 214-0401-1205-4: **Delphi Automotive Systems Files Proxy Statement, Issues Annual Report.**
11. NDN 214-0399-3815-1: **Global Cyber Sports.com - Comments on Listing and OTCBB Trading Status.**
12. NDN 214-0398-1114-0: **John Wyatt Joins OneSoft as President and Chief Operating Officer.**

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Citations from INFORM: NF1

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### 1. Unscrambling EDGAR

INF 99-05 04-72879 01821888 NDN- 092-0177-7112-0



McDonald, Duff

**JOURNAL NAME-** Money

v28n6

99/06/00

**PP.** 175-177

2 page(s)

**DOCUMENT TYPE-** Journal article

**JOURNAL CODE-** MON

**ISSN-** 0149-4953

**CODEN-** MNEYAB

**LANGUAGE-** English

Since 1996, the SEC has required that all publicly traded firms, as well as mutual fund companies, file their financial disclosure documents online. Like most things government, the site that houses all those filings, EDGAR, or Electronic Data Gathering, Analysis & Retrieval ( [www.sec.gov/EDGAR](http://www.sec.gov/EDGAR) ), is decidedly user-unfriendly. If investors want to read up on a stock or fund, they will have to make do with a limited search function that returns documents marred by jumbled formatting and unreadable tables, if it returns them at all. Luckily, there are web site developers who clean up the filings and offer powerful tools to help find the information. There are about a dozen sites in all: 2/3 of them charge for access to their basic services.

**DESCRIPTOR(S)-** Disclosure; Electronic filing; Financial statements; Investment advisors; Web sites

**GEOGRAPHIC DESCRIPTOR(S)-** US

**SECTIONAL CLASSIFICATION CODE-** 9190; 5250; 8130; 3400

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Citations from NEWSLETTERS: NWL

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**2. BakBone software announces U.S. Securities and Exchange Commission registration complete.**

NWL 02-10 83321904 NDN- 102-0267-6189-0



NO-AUTHOR

**JOURNAL NAME-** M2 Presswire

2002-02-27

**PP.** NA**AVAILABILITY NOTE-** THIS IS THE COMPLETE ARTICLE**SUPPLEMENTARY NOTE(S)-** THIS IS THE FULL TEXT: COPYRIGHT 2002 M2 Communications Ltd.**PUBLISHER-** M2 Communications Ltd.**SOURCE OF ARTICLE(S)-** Newswire**SPECIAL FEATURE(S)-** COMPANY**GEOGRAPHIC DESCRIPTOR(S)-** \*Canada**PRODUCT DESCRIPTOR-** \*Securities Exchanges, (6231100)**COUNTRY DESCRIPTOR-** #Canada, (5GRL)**COUNTRY CODE(S)-** 5GRL**COMPANY-** BakBone Software Inc. Toronto Stock Exchange**SECTION HEADING-** 6231100, (Securities Exchanges)

M2 PRESSWIRE-27 February 2002-BakBone Software: BakBone softwareannounces U.S. Securities and Exchange Commission registration complete (C)1994-2002 M2 COMMUNICATIONS LTD RDATE:27022002

POOLE, UK -- BakBone Software( TSE: BKB), a global provider of storage management software, today announced completion of the registration process with the U.S. Securities and Exchange Commission (SEC). This represents the successful conclusion of the Form 20-F filing initiated by the company last year.

"We are very pleased to receive clearance on our 20-F from the SEC," said John Fitzgerald, BakBone's Chief Financial Officer. "This is a key step towards our ultimate goal of listing on a U.S. stock exchange and we are currently evaluating our options on both the NASDAQ and the AMEX. Once the listing pre-requisites are satisfied, we will move forward."

About BakBone Software, Inc.

BakBone Software (TSE: BKB) is an international storage management software company that develops and globally distributes high-performance software solutions to the network storage and open systems markets. BakBone's near-line archival and backup/restore software suites provide scalable data protection from the workgroup to the enterprise. Founded in 2000, BakBone Software's products are used by companies worldwide, and are distributed through a network of OEMs and solution providers.

BakBone's corporate headquarters is located at 10145 Pacific Heights Boulevard, San Diego, California 92121, with European headquarters in Poole, Dorset. Its stock is listed on the Toronto Stock Exchange and is included on the TSE 300 Composite, S&P/TSE Canadian SmallCap and TSE 200 indices.

For more information on BakBone products, call 01202 241000, e-mail sales europe@bakbone.com, or access BakBone's web site at www.bakbone.com.

Safe Harbor

Our forward-looking statements should be considered in the context of these and other risk factors disclosed in our most recent report filed with the Canadian Securities Administrators, which is available on the SEDAR disclosure system (www.sedar.com) and/or those risk factors disclosed in the current report filed with the United States Securities and Exchange Commission which may be found at www.sec.gov/edgar.

All future written and oral forward-looking statements made by us or on our behalf are also subject to these factors. We undertake no obligation to publicly update or revise any forward-looking statements, whether as a result of new information, future events or otherwise.

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Citations from PREDICASTS - NEW PRODUCT ANNOUNCEMENTS: NP1

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**3. Sports Group International Inc. Files Form 10-SB with the U.S. Securities and Exchange Commission.**

NPA 99-52 58316024 NDN- 125-0389-9708-0



NO-AUTHOR

1999-12-20

PP. 0524

**DOCUMENT TYPE-** Business Wire

**SOURCE OF ARTICLE(S)-** Newswire

**SPECIAL FEATURE(S)-** COMPANY

**LANGUAGE-** English, (DEF)

Business Editors

SCOTTSDALE, Ariz.--(BUSINESS WIRE)--Dec. 20, 1999

Sports Group International Inc., a Florida corporation (OTCBB:SPGK), today announced that it has filed a Form 10-SB registration statement with the U.S. Securities and Exchange Commission (SEC) on Dec. 20, 1999.

The company's Form 10-SB filing is subject to SEC review and comments. Once the company has cleared the SEC review and comment process, the company will become a fully reporting entity under the Securities and Exchange Act of 1934.

The company's Form 10-SB should be available for public access within 48 hours of filing in the SEC's EDGAR archives, via the World Wide Web at [www.sec.gov/edgar](http://www.sec.gov/edgar) and at other sites where EDGAR information is available.

The company currently owns Surf City Squeeze Inc. and Frullati Cafe & Bakery. Both Surf City Squeeze and Frullati are franchisors of juice bars/smoothie stores.

For additional information regarding the company, visit its Web site at <http://www.surfcitysqueeze.com> and <http://www.frullati.com>.

The information in this news release includes certain "forward- looking" statements within the meaning of the safe-harbor provisions of federal securities laws. Investors are cautioned that such statements are based upon assumptions that in the future may prove not to have been accurate and are subject to significant risks and uncertainties, including the future financial performance of the company. Although the company believes that the expectations reflected in its forward-looking statements are reasonable, it can give no assurance that such expectations or any of its forward-looking statements will prove to be correct. Factors that could cause results to differ include but are not limited to successful performance of internal plans, the impact of competitors, general economic risks and uncertainties.

**COMPANY-** Sports Group International Inc. .

**4. Humatech Inc. Announces Filing of Form 10-SB With U.S. Securities and Exchange Commission.**

NPA 99-52 58295843 NDN- 125-0389-8477-1



NO-AUTHOR

1999-12-17

PP. 0271

**DOCUMENT TYPE-** Business Wire**SPONSORING AGENCY-** United States. Securities and Exchange Commission**SOURCE OF ARTICLE(S)-** Newswire**LANGUAGE-** English, (DEF)

HOUSTON--(BUSINESS WIRE)--Dec. 17, 1999--

Humatech Inc. (OTC BB:HUMT) (OTC BB:HUMTE) announces that it has filed a Form 10-SB, General Form for Registration of Securities of Small Business Issuers, with the U.S. Securities and Exchange Commission(SEC) on Dec. 20, 1999.

This document should be available for public access within 48 hours of filing in the SEC's EDGAR archives, via the World Wide Web at [www.sec.gov/edgar](http://www.sec.gov/edgar)

A Form 10-SB filing is subject to review and comment by the SEC staff. The Company is prepared to respond to all SEC comments, if any, in a timely manner, upon receipt of the comments to maintain the integrity of the regulatory periodic reporting process. The Company intends to submit the document, upon its effectiveness, to NASD Regulation Inc. in order to apply for the relisting of the Company's common stock for trading on the NASDAQ Electronic Bulletin Board.

The Company's next scheduled public filing of financial and operational information will be made on Form 10-QSB as of and for the quarter ending Jan. 31, 2000, which is due to be filed with the SEC on or before March 16, 2000.

HumaTech Inc. is the manufacturer and distributor of its humate-based nutrients for plants and animals, classified as "High Technology Organic Solutions." Produced from a proprietary process described as Iso-Molecular technology, HumaTech sells different product lines to the following markets: growers of agricultural crops, animal feed supplements to feedlots, golf courses and commercial landscapers.

The information in this press release includes certain "forward-looking" statements within the meaning of the Safe Harbor provisions of Federal Securities Laws. Investors are cautioned that such statements are based upon assumptions that in the future may prove not to have been accurate and are subject to significant risks and uncertainties, including statements to the current and future financial performance of the Company. Although the Company believes that the expectations reflected in its forward-looking statements are reasonable, it can give no assurance that such expectations or any of its forward-looking statements will prove to be correct. Factors that could cause results to differ include, but are not limited to, successful performance of internal plans, the impact of competitive services and pricing, general economic risks and uncertainties. For additional information regarding the company, please visit the company's Web site at <http://www.humatech.net>.

NO-DESCRIPTORS .

**5. FastComm Reports Second Fiscal Quarter Results.**

NPA 98-51 53406588 NDN- 125-0360-8976-6



NO-AUTHOR

98-12-15

**DOCUMENT TYPE-** Business Wire**SOURCE OF ARTICLE(S)-** Newswire**LANGUAGE-** English, (DEF)

STERLING, Va.--(BUSINESS WIRE)--Dec. 15, 1998--FastComm Communications Corp. (OTC:FSCX) reported today its financial results for the second quarter of fiscal 1999, ended 31 October 1998.

Revenue for the period was \$1.2 million, down from \$1.5 million in the same quarter of the previous fiscal year. There was a net loss of \$1.5 million (\$.12 per share), which included reorganization related charges of \$209,000. This compared to a \$2.3 million (\$.23 per share) loss a year ago.

On a fiscal year to date basis, the Company reported revenue of \$2.3 million, down from \$3.5 million for the corresponding period of the previous fiscal year. There was a net loss of \$3.5 million (\$.29 per share), which included reorganization related charges of \$314,000. This compared to a \$3.7 million (\$.37 per share) loss a year ago.

"The Chapter 11 filing continues to hamper our selling efforts," said Peter C. Madsen, FastComm president.

"We filed our plan of reorganization in October and are now working on a revised plan and disclosure statement which includes amendments suggested by the creditors committee. We plan to file a final amended plan shortly."

The Company achieved significant milestones in reducing operating overhead. Second quarter selling, general and administrative expenses decreased \$398,000 or (25%) when compared with the previous quarter and \$598,000 or (34%) when compared with that of the second quarter of the previous fiscal year.

For more information, consult the Company's SEC filings available on the World Wide Web at [www.sec.gov/edgar.htm](http://www.sec.gov/edgar.htm) The Company's Form 10Q was filed electronically earlier today and will be accessible at the above listed web site address shortly.

Forward looking statements are made in this release pursuant to the safe harbor provisions of the Private Securities Litigation Reform Act of 1995. Investors are cautioned that all forward looking statements are subject to risks and uncertainties, including, without limitation, the timing of new announcements or introductions by the Company and its competitors, the hiring and retention of key employees, competitive pricing pressures, dependence on third party for components and products, general economic conditions in the United States and international markets, and the risk factors detailed from time to time in the Company's periodic reports and registration statements filed with the Securities and Exchange Commission.

FastComm Communications Corp. (FSCX) designs, manufactures, and sells access products for public and private digital networks. Its products include X.25 and Frame Relay concentrators, Voice and Data FRADs, and Internet access routers; T-1/E-1 ATM access equipment; Frame Relay testers to speed installations; SuperView(tm) data switch for managing multiple remote devices; and a family of high speed data compressors. -0-

. FastComm Communications Corp..  
 . Condensed Statements; thousands of dollars, except per share data.  
 . Statement of Operations.

. Fiscal Quarter Two Fiscal Quarters.  
 . Ended Ended.  
 . ended.  
 . Oct. 31, Nov. 1, Oct. 31, Nov. 1,.  
 . 1998 1997 1998 1997.

. (unaudited) (unaudited).

. Revenues \$1,205 \$1,502 \$2,335 \$3,504.

. Expenses.  
 . Cost of sales 536 998 1,148 1,906.  
 . Selling, general and administrative 1,172 1,770 2,742 3,508.  
 . Research and development 653 562 1,355 1,178.  
 . Depreciation and amortization 114 131 229 259.

Litigation settlement - - 8 -.

Loss from operations (1,270) (1,959) (3,147) (3,347).

Imputed interest on debenture discount - (330) - (330).

Other income/(expense) (25) (24) (43) (16).

Loss before reorganizational items (\$1,295)(\$2,313) (\$3,190) (\$3,693).

Reorganizational items 209 - 314 -.

Net loss (\$1,504)(\$2,313) (\$3,504) (\$3,693).

Loss per share.

Basic (\$0.12) (\$0.23) (\$0.29) (\$0.37).

Weighted average number of shares.

Basic 12,314,715 10,055,956 12,294,000 10,048,177.

October 31, April 30,.

Balance sheet 1998 1998.

(unaudited) (audited).

Assets.

Cash \$640 \$1,213.

Accounts receivable 1,099 3,126.

Note receivable 26 26.

Inventories 3,308 3,118.

Prepaid and other 311 375.

Plant and equipment 638 776.

Deferred financing costs 38 76.

Goodwill 439 482.

Other assets 45 34.

\$6,544 \$9,226.

Liabilities and equity.

Accounts payable and accrued liabilities \$669 \$2,736.

Accrued litigation settlement - 1,196.

Other current liabilities 643 843.

Liabilities subject to compromise 4,911 -.

Debentures payable - 1,205.

6,223 5,980.

Shareholders' equity 321 3,246.

\$6,544 \$9,226.

Revenues \$1,205 3,928 4,000 4,000.

## Expenses.

. Cost of sales 536 1,964 1,800 1,600.  
 . Selling, general and administrative 1,172 1,172 1,172 1,172.  
 . Research and development 653 653 653 653.  
 . Depreciation and amortization 114 114 114 114.  
 . Litigation settlement - - - -.

.  
 .  
 . Loss from operations (1,270) 25 261 461.

. Imputed interest on debenture discount - - - -.  
 . Other income/(expense) (25) (25) (25) (25).

. Loss before reorganizational items (\$1,295) \$0 \$236 \$436.

. Reorganizational items 209.

.  
 .  
 . Net loss (\$1,504).

**GEOGRAPHIC DESCRIPTOR(S)-** \*United States

**COUNTRY CODE(S)-** \*1USA

**COMPANY-** FastComm Communications Corp.

**SECTION HEADING-** 3661200 (Telecommunication Systems NEC)

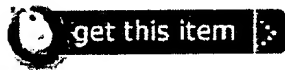
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Citations from BUSINESS DATELINE: BDL

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## 6. BakBone Software Enters Into OEM Agreement With Sony Electronics Inc.

BDL 03-07 279677001 NDN- 211-0151-4932-9



Anonymous

**JOURNAL NAME-** Business Wire

2003-01-23

**PP.** 1

**DOCUMENT TYPE-** Wire feed

**JOURNAL CODE-** BWRE

**NAMED COMPANY-** BakBone Software Inc; Sony Electronics Inc

**COPYRIGHT OWNER-** Business Wire

**LANGUAGE-** English

SAN DIEGO--(BUSINESS WIRE)--Jan. 23, 2003--BakBone Software(TM) (OTCBB:BKBOF)(TSX:BKB), today announced that it has entered into an OEM agreement with Sony Electronics Inc. to provide customers with turnkey storage solutions designed for faster and more reliable network file sharing and backup and recovery services.; Recently, orders valued at approximately US\$3.5 million have been received from Sony Electronics and the majority of this amount is expected to be recognized as revenue over the next three quarters.; BakBone will provide NetVault(TM) management software for Sony's StorStation(TM) bundled storage solution to enable customers to share and retrieve files on the network, automatically archive files from disk to tape, backup and restore networked files, and centrally manage all functions.; "By configuring a complete network and backup storage system for customers, we're equipping them with a one-stop means to achieve their

online and backup storage goals with little hands-on management," said Steve Baker, vice president of Tape Storage Solutions for Sony Electronics' Business Systems and Solutions Company.; "Our combined solution had to deliver compelling ease of use, deployment, and scalability -- just some of the features associated with our NetVault product. In addition, our architecture enables us to support more infrastructure permutations than any other vendor today. The speed with which we can add new adaptations protects Sony customer investments as their business requirements evolve. We're delighted with our Sony relationship and its common values," said Keith Rickard, president and CEO for BakBone Software.; About NetVault; NetVault is BakBone's answer to the demand for easy to use, fully functional, high-performance, scalable backup and recovery storage management software. From workgroup, to data center, to the enterprise; from LAN, SAN and NAS -- NetVault's unique modular architecture and flexibility provides the most advanced backup and restore functionality to protect your most valuable asset -- information.; About BakBone Software Inc.; BakBone Software is an international storage management software company that develops and globally distributes high-performance software solutions to the network storage and open systems markets. BakBone's backup and restore software provides scalable data protection from the workgroup to the enterprise. Founded in 2000, BakBone Software's products are used by companies worldwide, and are distributed through a network of OEMs and solution providers.; BakBone's corporate headquarters is located at 10145 Pacific Heights Boulevard, San Diego, Calif. 92121. Its stock is listed on the Toronto Stock Exchange. For more information on BakBone products, call 866/484-2663, e-mail sales@bakbone.com, or access BakBone's Web site at www.bakbone.com.; BakBone, BakBone Software and the BakBone logo are all trademarks or registered trademarks of BakBone Software Inc., in the United States and/or in other countries. All other brands, products or service names are or may be trademarks, registered trademarks or service marks of, and used to identify, products or services of their respective owners.; Safe Harbor; With the exception of historical information, the statements set forth above include forward looking statements (including but not limited to express or implied statements concerning BakBone's expected revenue recognition and future sales under the OEM agreement) that involve risk and uncertainties that could cause actual results to differ materially from those in the forward- looking statements. These risks and uncertainties include, but are not limited to: competition in our target markets; potential capital needs; management of future growth and expansion; risk of third party claims of infringement; protection of proprietary information; risks associated with strategic alliances; reliance on distribution channels; need to develop new and enhanced products; potential product defects; our ability to hire and retain qualified employees and key management personnel.; Our forward-looking statements should be considered in the context of these and other risk factors disclosed in our most recent report filed with the Canadian Securities Administrators, which is available on the SEDAR disclosure system at www.sedar.com and/or those risk factors disclosed in the current report filed with the United States Securities and Exchange Commission which may be found at www.sec.gov/edgar ; All future written and oral forward-looking statements made by us or on our behalf are also subject to these factors. We undertake no obligation to publicly update or revise any forward-looking statements, whether as a result of new information, future events or otherwise.

**DESCRIPTOR(S)**- Agreements; Communications equipment; OEM; Software industry

**GEOGRAPHIC DESCRIPTOR(S)**- San Diego California

**SECTIONAL CLASSIFICATION CODE**- 9190; 8302; 8650 .

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## 7. The evolution of an investor

BDL 03-06 281008121 NDN- 211-0151-4349-2



Tascarella, Patty

**JOURNAL NAME**- Pittsburgh Business Times Journal

v23n28

2003-01-24

PP. 11

**DOCUMENT TYPE**- Periodical

**JOURNAL CODE-** PBT**ISSN-** 0883-7910**NAMED COMPANY-** Draper Triangle Ventures**COPYRIGHT OWNER-** CityMedia Inc.**LANGUAGE-** English

Craig Gomulka figures his evolution as an investor correlates with his age.; As an undergraduate at Colgate University, he and his friends paper-traded stocks.; "We'd enter stock picking contests and buy and sell based on no real particular strategy," he said. "Paper trading is a great way to learn when you have no money."; In phase two, armed with a bachelor's degree in geology, he worked for RRZ Investment Management, the Downtown institutional money management firm. He was responsible for the design and programming of fixed income and equity management software, and later, the portfolio management and trading of \$100 million of fixed income investments. And he began trading stocks for real for himself.; "When you want to make good money quick in the market with not a ton of capital, you leverage it with trading options of course," he said. "I found out a great way to drive yourself crazy doing tax returns was to trade a couple hundred option contracts in a year. I also found out that trading options based on no real strategy was not a winning combination considering that I achieved S&P 500 returns while taking astronomical risks."; During his mid-20s, he wrote software for his own trading strategy, running his computer nonstop looking for trades based on rules developed by culling data. "The maintenance and overhead of the software was significant and no strategy is 100 percent foolproof and works forever," he said; These days, Mr. Gomulka, 31, describes his approach as "basically boring." Instead of picking individual winners, he has resigned himself to market returns.; "This probably stems from the desire not to spend a great deal of time on my securities portfolio," he said. Going through MBA and CFA programs at Carnegie Mellon University, graduating in 2000, also may have made an impact. And working in venture capital is clearly a factor - he doesn't have a lot of time to fiddle with his portfolio.; He has turned to exchange-traded funds to diversify his portfolio effectively and easily into areas he believes will experience growth over the long-term. Each of the five funds that make up his largest holdings were purchased within the past three years.; He handles the investing for himself, choosing Fidelity for his accounts, "mainly because they have reasonable fees, good customer service and I like their Web site," though he doesn't buy the company's mutual funds.; I use Yahoo Finance ([www.finance.yahoo.com](http://www.finance.yahoo.com)) to obtain basic company information, I do not spend a great deal of time on individual security analysis," he said.; Other sites he likes are: [www.riskgrades.com](http://www.riskgrades.com) - "great for telling you about how good a job you've done getting to an efficient portfolio," and, for raw data, "you can't beat" the Ohio State University Department of Finance's site [www.fisher.osu.edu/fin/osudown.htm](http://www.fisher.osu.edu/fin/osudown.htm) and the SEC online at Edgar [www.sec.gov/edgar.shtml](http://www.sec.gov/edgar.shtml) ; "If I was going to get back into trading, Tradestation ([www.tradestation.com](http://www.tradestation.com)) is great software for implementing strategies automatically, just program and hit go," he said. "And [www.briefing.com](http://www.briefing.com) is a great site for day trading as is [www.cboe.com](http://www.cboe.com), which is good for most equity option stuff and [coveredcalls.com](http://coveredcalls.com) is an interesting site to examine high volatility options."; Mr. Gomulka and his wife, Emel, a senior manager of strategic marketing at FreeMarkets Inc., make their home in O'Hara Township, He is now director of Draper Triangle Ventures, a Downtown venture capital firm. He grew up in Allison Park and learned the basics of investing from his father, RRZ chairman Charles Gomulka. He also received the fatherly advice that "when it comes to investing, the final call should come down to you, not anyone else."; "I can't say he's ever asked for my investing advice," Craig Gomulka added, "maybe because of the rule I just mentioned."; He still has a yen for risk, satisfied by maintaining a small account.; "I consider this account to be separate from my main account which I do not trade frequently and consists of mutual funds and exchange traded funds," he said. "These types of funds are perfect for someone who likes to take macro economic and sector bets, yet maintain flexibility and low transaction fees."

**DESCRIPTOR(S)-** Executives; Investment policy; Personal profiles; Venture capital companies**GEOGRAPHIC DESCRIPTOR(S)-** Pittsburgh Pennsylvania**SECTIONAL CLASSIFICATION CODE-** 9190; 9160; 2130; 8130

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Citations from PREDICASTS - NEW PRODUCT ANNOUNCEMENTS: NPA

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## 8. BakBone Software Announces Fourth Quarter Fiscal 2002 Financial Results.



NPA 02-26 87384131 NDN- 214-0474-2156-1



NO-AUTHOR

2002-06-14

PP. 0055

**DOCUMENT TYPE-** Business Wire**SOURCE OF ARTICLE(S)-** Newswire**LANGUAGE-** English, (DEF)

Business Editors/High-Tech Writers

SAN DIEGO--(BUSINESS WIRE)--June 14, 2002

BakBone Software(TM) (TSE:BKB), a global provider of storage management software, announced today financial results for the fourth fiscal quarter and the fiscal year ended March 31, 2002.

BakBone reported consolidated revenues of US\$3.1 million for the fourth quarter, an increase of 84 percent over the same period in the previous fiscal year. Operating expenses for the fourth quarter were US\$5.4 million, a decrease of 23 percent from the same period last year. Net loss for the fourth quarter was US\$6.5 million, or US\$0.13 per share. Excluding a US\$2.5 million one-time charge in the fourth quarter related to the impairment of intangibles, net loss for the quarter was US\$4.0 million, or US\$0.08 per share. For the year ended March 31, 2002, consolidated revenues were US\$9.9 million with a net loss of US\$18.8 million, or US\$0.46 per share.

"I am pleased by the strong results we achieved during our fourth quarter and for the fiscal year," said Keith Rickard, BakBone's President and CEO. "Not only did we post revenue growth of 98 percent over our previous fiscal year, but we did it while decreasing overall operating expenses by 10 percent and improving gross margin on revenues to 87 percent. These results were planned for and achieved during a year that saw economic slowdown throughout the world. I feel that the strength of our product offerings and increasing market demand for storage solutions will continue to provide revenue growth and increased market share for BakBone throughout fiscal year 2003."

The company will discuss the quarterly and fiscal year end results during an investors' conference call to be held Friday, June 14, 2002 at 4:30 pm Eastern Standard Time. Interested parties may participate in the call by dialing 1-800/240-2134, and requesting the "BakBone Quarterly Conference Call."

About BakBone Software, Inc.

BakBone Software (TSE:BKB) is an international storage management software company that develops and globally distributes high-performance software solutions to the network storage and open systems markets. BakBone's near-line archival and backup/restore software suites provide scalable data protection from the workgroup to the enterprise. Founded in 2000, BakBone Software's products are used by companies worldwide, and are distributed through a network of OEMs and solution providers. BakBone's corporate headquarters is located at 10145 Pacific Heights Boulevard, Suite 900, San Diego, California 92121. Its stock is listed on the Toronto Stock Exchange and is included on the S&P/TSE Composite Index, S&P/TSE Canadian SmallCap and TSE 200 indices. For more information on BakBone products, call 866/484-2663, e-mail sales@bakbone.com, or access BakBone's web site at www.bakbone.com.

Disclosure and Forward Looking Statements

With the exception of historical information, the statements set forth above include forward looking statements (including but not limited to express or implied statements concerning BakBone's anticipated revenue growth and increased demand for its product) that involve risk and uncertainties that could cause actual results to differ materially from those in the forward-looking statements. These risks and uncertainties include, but are not limited to: competition in our target markets; potential capital needs; management of future growth and expansion; risk of third party claims of infringement; protection of proprietary information; risks associated with strategic alliances; reliance on distribution channels; product concentration; need to develop new and enhanced products; potential product defects; our ability to hire and retain qualified employees and key management personnel; and risks associated with operations in foreign countries. Our forward-looking statements should be considered in the context of these and other risk factors disclosed in our most recent report filed with the Canadian Securities Administrators, which is available on the SEDAR disclosure system at www.sedar.com and/or those risk factors disclosed in the current report filed with the United States Securities and Exchange Commission which may be found at www.sec.gov/edgar

All future written and oral forward-looking statements made by us or on our behalf are also subject to these factors. We undertake no obligation to publicly update or revise any forward-looking statements, whether as a result of new information, future events or otherwise.

Note to Editors: All brands, products or service names are or may be trademarks, registered trademarks or service marks of, and used to identify, products or services of their respective owners.

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.....BAKBONE.SOFTWARE.INCORPORATED
.
.....Consolidated.Statements.of.Operations
(in.thousands,.except.per.share.and.share.data)
(in.U.S..dollars)
.
.
Year....Eleven.Months
Three.Months.Ended.....Ended.....Ended
March.31,..March.31,..March.31,..March.31,
2002.....2001.....2002.....2001
-----
Revenues.....\$..3,087...\$..1,677...\$..9,863...\$..4,975
.
Cost.of.revenues.....326.....270.....1,244.....782
-----
Gross.margin.....2,761.....1,407.....8,619.....4,193
-----
.
Operating.expenses:
Sales.and.marketing....2,870.....3,424.....11,149.....11,672
Research.and
development.....1,044.....1,241.....4,122.....3,584
General.and
administrative.....1,507.....2,361.....5,659.....8,044
-----
Total.operating
expenses.....5,421.....7,026.....20,930.....23,300
-----
Operating.loss.....(2,660)....(5,619)....(12,311)....(19,107)
-----
Interest.(expense)
income,.net.....(34).....(6).....(108).....396
Amortization.and
.impairment.of
intangibles.....(3,439).....(936).....(6,235).....(3,203)
Foreign.exchange
gains.(losses),.net.....95.....(1).....110.....5
Other.(expense)
income,.net.....(431).....211.....(359).....177
Minority.interest.....12.....(104).....112.....40
-----
Net.loss.....\$..(6,457)..\$..(6,455)..\$..(18,791)..\$..(21,692)
=====
.
Net.loss.per
share.-.basic
and.diluted.(1)...\$...(0.13)..\$...(0.23)..\$...(0.46)..\$...(0.87)
=====

Weighted average

common shares.....48,258,399.27,589,732..40,869,526..24,903,458  
 =====,=====,=====,=====

(1). The company also announced that, as a result of the adoption of the Canadian Institute of Chartered Accountants Handbook Section 3500, Earnings Per Share, the calculation of loss per share must now exclude contingently issuable shares, escrowed shares or shares otherwise issuable at a future date. Section 3500 requires companies to restate historical loss per share data as if the standard had been in place in prior periods. The effect of implementing Section 3500 on the company's fiscal 2002 quarterly loss per share amounts was to adjust quarterly loss per share amounts from US\$0.12 to US\$0.14, US\$0.09 to US\$0.11, and US\$0.07 to US\$0.08 for the quarters ended June 30, 2001, September 30, 2001 and December 31, 2001, respectively.

.....BAKBONE SOFTWARE INCORPORATED

.....Consolidated Balance Sheets -  
 (in thousands, except share data)  
 (in U.S. dollars)

March 31,.....March 31,  
 2002.....2001

-----  
 .....Assets

Current assets:

Cash and cash equivalents.....\$.....5,502....\$.....3,815  
 Restricted cash.....804.....90  
 Accounts receivable, net of allowance  
 for doubtful accounts of \$72 and  
 \$28, respectively.....3,289.....1,404  
 Other assets.....588.....500

-----  
 Total current assets.....10,183.....5,809

Capital assets, net.....2,762.....3,736  
 Restricted cash.....780  
 Intangibles, net.....4,784.....7,831  
 Other assets.....567.....602

-----  
 Total assets.....\$...18,296....\$...18,758

=====,=====

Liabilities and Shareholders' Equity

Current liabilities:

Accounts payable.....\$.....645....\$.....1,368  
 Accrued liabilities.....1,938.....1,121  
 Deferred revenue.....1,297.....671  
 Current portion of capital  
 lease obligations.....201.....192  
 Current portion of notes payable.....-.....2,574

Loans.from.related.parties.....63.....75

----- ...

Capital.lease.obligations, ... ..

**GEOGRAPHIC DESCRIPTOR(S)**- \*Canada

**COUNTRY CODE(S)**- 2CAN

**COMPANY**- BakBone Software Inc. .

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## 9. FASTCOMM Communications Corporation Announces Private Financing Agreement for \$3 Million.

NPA 01-22 74930447 NDN- 214-0443-7216-2



NO-AUTHOR

2001-05-23

PP. 2514

**DOCUMENT TYPE**- Business Wire

**SOURCE OF ARTICLE(S)**- Newswire

**SPECIAL FEATURE(S)**- COMPANY

**LANGUAGE**- English, (DEF)

Business Editors/Hi-Tech Writers

DULLES, Va.--(BUSINESS WIRE)--May 23, 2001

FastComm Communications (OTC BB: FSCX) today announced a \$3 million round of financing by a private entity controlled by Dr. Terence H. Matthews.

Along with financing operations and business development, the funding will be used for marketing and further product development of FastComm's SignalPath(TM) Signaling Gateway product line.

"The investment will allow us to address the anticipated demand for our Signaling and other products domestically and internationally, and to commence new marketing initiatives," stated Peter Madsen, President of FastComm.

According to terms and conditions of the investment, FastComm also issued above market warrants that, if exercised, will provide up to an additional \$1.8 million to the company.

About FastComm

FastComm Communications [www.fastcomm.com](http://www.fastcomm.com) is a complete signaling, voice and data system solution provider, offering innovative signaling interoperability solutions that include support for SS7, C7, C5, R1, R2, and DTMF.

FastComm also provides advanced IP and data solutions over Frame Relay such as voice/data Integrated Access Devices (IADs), IBM data center products and protocol converters specifically designed for Unisys environments.

Forward-looking statements are made in this release pursuant to the safe harbor provisions of the Private Securities Litigation reform Act of 1995.

Investors are cautioned that all forward looking statements are subject to risk and uncertainties, including, without limitation, the timing of new announcements or introductions by the company and its competitors, the hiring and retention of key employees, competitive pricing pressure, dependence on third parties for components and products, and general economic conditions in the United States and international markets, and the risk factors detailed from time to time in the Company's periodic reports and registration statements filed with the Securities and Exchange Commission.

To request a data sheet of any FastComm product, please contact the Company via phone (703) 318-7750; Fax (703) 787-4625; e-mail [info@fastcomm.com](mailto:info@fastcomm.com); or visit FastComm on the World Wide Web at [www.fastcomm.com](http://www.fastcomm.com). The Company's Form 8K relating to this transaction will be filed within 15 days and will be available at [www.sec.gov/edgar.htm](http://www.sec.gov/edgar.htm)

**PRODUCT DESCRIPTOR-** #Telecommunication Systems NEC, (3661200)

**TICKER SYMBOL(S)-** FSCX

**COMPANY-** FastComm Communications Corp.

**SECTION HEADING-** 3661200, (Telecommunication Systems NEC) .

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**10. Delphi Automotive Systems Files Proxy Statement, Issues Annual Report.**

NPA 00-18 61707870 NDN- 214-0401-1205-4



NO-AUTHOR

2000-03-31

PP. 6082

**DOCUMENT TYPE-** PR Newswire

**SOURCE OF ARTICLE(S)-** Newswire

**SPECIAL FEATURE(S)-** LOB COMPANY

**LANGUAGE-** English, (DEF)

TROY, Mich., March 31 /PRNewswire/ --

Delphi Automotive Systems (NYSE: DPH) today filed its first proxy statement with the Securities and Exchange Commission (SEC). The proxy statement and Delphi's annual report will be mailed to DPH shareholders today, Friday, March 31, 2000.

The proxy is available in the SEC EDGAR database located at [www.sec.gov/edgar](http://www.sec.gov/edgar) .

**PRODUCT DESCRIPTOR-** #Motor Vehicle Parts, (3714000)

**TICKER SYMBOL(S)-** DPH

**COMPANY-** Delphi Automotive Systems Corp.

**SECTION HEADING-** 3714000, (Motor Vehicle Parts) .

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**11. Global Cyber Sports.com - Comments on Listing and OTCBB Trading Status.**

NPA 00-16 61396310 NDN- 214-0399-3815-1



NO-AUTHOR

2000-04-10

PP. 1137

**DOCUMENT TYPE-** Business Wire

**SOURCE OF ARTICLE(S)-** Newswire

**SPECIAL FEATURE(S)-** COMPANY

**LANGUAGE-** English, (DEF)

Business Editors

BOURNEMOUTH, UK--(BUSINESS WIRE)--April 10, 2000

GLOBAL CYBER SPORTS.COM, INC. (OTCBB-GCSCE) announced that the NASD OTCBB will be changing Global Cyber Sports symbol to "GCSCE" effective April 7, 2000.

This symbol change is a routine measure that is implemented for numerous issuers, and is intended to advise

investors that these companies need to satisfy the NASD that it complies with the SEC's filing requirements including any SEC comments. The change does not indicate that Global Cyber Sports.Com has failed or will fail to satisfy the NASD or meet SEC requirements.

Global Cyber Sports filed its Form 10 registration statement with the SEC on March 2, 2000 and has not yet received comments. Global Cyber Sports has until May 3, 2000 to clear SEC comments and it believes it will be in compliance by that date. The registration review is subject to the schedules and resources of the SEC and Global Cyber Sports cannot speculate on the final date upon which the review process will be complete. Interested parties may view Global Cyber Sports' Form 10 registration by searching the SEC's database at [www.sec.gov/edgar](http://www.sec.gov/edgar)

About Global Cyber Sports, Inc.

Global Cyber Sports.Com, Inc. is a public company listed on NASDAQ, symbol OTCBB:GCSCE. The company is developing premier sports related Web sites from which it will offer high quality sports related merchandise to Web users through exciting and attractive E-shops, up to the minute news, sports gossip, live auctions and proprietary sports database information. Standalone merchandise sites will be linked or integrated with other sports related sites.

This press release contains forward-looking information within the meaning of section 27A of the Securities Act of 1933 and section 21E of the Securities Exchange Act of 1934 and is subject to the Safe Harbor created by those sections. This material contains statements about expected future events and/or financial results that are forward-looking in nature and subject to risks and uncertainties. For those statements, the Company claims the protection of the safe harbor for forward-looking statements provisions contained in the Private Securities Litigation Reform Act of 1995 and any amendments thereto. Such forward-looking statements by definition involve risks, uncertainties and other factors which may cause the actual results, performance or achievements of the Company to be materially different from the future results, performance or achievements expressed or implied by such forward-looking statements. In particular, there is no assurance that reserves, production, pricing levels or other factors pertaining to the manufacturing and retail operations will be sustained at the expected rates or levels over time. Discussions of factors, which may affect future results, are contained in the Company's recent filings. Under no circumstances does this press release constitute an offer to sell or a solicitation of an offer to buy the securities of the Company described in this press release in which such offer, solicitation or sale of securities would be unlawful prior to registration, qualification or filing under the securities laws of any jurisdiction.

**COMPANY-** Sports.com

## 12. John Wyatt Joins OneSoft as President and Chief Operating Officer.

NPA 00-14 60798583 NDN- 214-0398-1114-0



NO-AUTHOR

2000-03-27

PP. 1496

**DOCUMENT TYPE-** Business Wire

**SOURCE OF ARTICLE(S)-** Newswire

**SPECIAL FEATURE(S)-** LOB COMPANY

**LANGUAGE-** English, (DEF)

Business Editors

MCLEAN, Va.--(BUSINESS WIRE)--March 27, 2000

E-Business Visionary Joins Fast-Growing Company's World-Class

Executive Team

OneSoft Corporation, a leader in the fast-growing sell-side electronic commerce infrastructure software and application services marketplace, announced today that IT industry veteran, John Wyatt, has been named president and chief operating officer. OneSoft is an industry leader in delivering sell-side, XML-based

(extensible markup language) e-commerce solutions that are uniquely designed to help companies grow their revenue through Internet channels. OneSoft also pioneered the delivery of "software as a service" by providing its customers a total solution encompassing leading-edge software technology, application service provision and professional services either directly or through its partners.

"Adding John Wyatt to our already established world-class executive team is a pivotal development for OneSoft as we emerge as one of the leaders in next-generation XML-based e-commerce," said Jim MacIntyre, OneSoft founder, chairman and chief executive officer. "John's accomplishments over the past 25 years make him the ideal choice to round off our executive leadership team. His track record of consistently identifying market opportunities ahead of the curve is well known and we are confident he will continue on that course at OneSoft in the coming years."

"OneSoft's focus on enabling its e-commerce clients to capture revenue from B2B, B2C, and the new, emerging B2B2C internet distribution channels has positioned it well to emerge as a leader in today's e-commerce software scramble," said John Wyatt, OneSoft president and chief operation officer. "I'm committed to making sure that we operate in a way that maintains our competitive position, and keeps our clients current with their Internet business strategies while increasing their financial returns."

Most recently, Wyatt served as president of e-Business at MicroStrategy, Inc. (Nasdaq: MSTR), in Vienna, VA, where he leveraged the company's data mining and analytical technology capacities to build its fast growing e-Business unit from its inception.

Prior to MicroStrategy, Wyatt served for ten years with James Martin & Co., a software methods consulting firm headquartered in Fairfax, VA. During his time there, first as president and then as worldwide CEO, Wyatt guided the company as it grew from a small North American consulting firm to a global solutions provider with offices in nearly 20 countries.

"John is credited with bringing the Rapid Application Development methodology to market which changed the face of software development," MacIntyre added. "His experience in bringing these types of methodologies to market will allow OneSoft to more quickly deliver our best-of-breed B2B e-commerce software and services to our customers. We're very pleased to have him join our team."

As OneSoft's president and COO, Wyatt will head the company's operations, including sales, service, support, and education. OneSoft Corporation has also elected Wyatt to its board of directors.

A native of Australia, Wyatt has a B.A. in Economics from the University of New England in Armidale in New South Wales, Australia. His background also includes management consulting work with Arthur Anderson & Company and Touche Ross & Company.

#### About OneSoft Corporation

Headquartered in McLean, VA, OneSoft is the new breed of software company providing a total end-to-end e-commerce sell-side solution. OneSoft offers businesses the best of class in industry standard XML-based software and strategic, managed (ASP), and professional services either directly or through a superior network of partners. OneSoft's core solution, OneCommerce(TM), is a next-generation application platform uniquely designed to enable OneSoft customers to generate revenue through the emerging Internet sales channels (B2B2C) and optimized for the Microsoft Windows platform. The company currently has over 300 employees and offices in the Washington DC metro area, New York, and Los Angeles.

OneSoft has filed a registration statement with the Securities and Exchange Commission for an initial public offering of 4,500,000 shares of its common stock. The company can be reached at 1.800.687.0971 or [www.onesoft.com](http://www.onesoft.com)

Safe Harbor Statement under the Private Securities Litigation Reform Act of 1995: This release may contain forward-looking statements that involve risks and uncertainties. Important factors which could cause actual results to differ materially from those in the forward-looking statements are detailed in OneSoft's filings with the Securities and Exchange Commission, including its filing on Form S-1, as amended. A copy of the Company's filing may be obtained at [www.sec.gov/edgar](http://www.sec.gov/edgar)

**PRODUCT DESCRIPTOR-** #Computer Software, (7372000)

**COMPANY-** 1Soft Corp.

**SECTION HEADING-** 7372000, (Computer Software) .

#### SUBJECT TERM INDEX

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